



# **Climate change health vulnerability and adaptation assessment (VAA) for sound management of climate change-related health risks in Uganda**

## **Study report**

### **Submitted to:**

Ministry of Health

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## Table of Contents

<b>ACRONYMS AND ABBREVIATIONS .....</b>	<b>6</b>
<b>DEFINITIONS .....</b>	<b>9</b>
<b>1. INTRODUCTION AND BACKGROUND .....</b>	<b>10</b>
1.1 INTRODUCTION .....	10
1.2 BACKGROUND .....	11
<b>2. STATEMENT OF THE PROBLEM, JUSTIFICATION AND CONCEPTUAL FRAMEWORK .....</b>	<b>12</b>
2.1 STATEMENT OF THE PROBLEM .....	12
2.2 JUSTIFICATION .....	14
2.3 CONCEPTUAL FRAMEWORK .....	15
2.4 RESEARCH QUESTIONS .....	16
<b>3. STUDY OBJECTIVES .....</b>	<b>17</b>
<b>4. METHODOLOGY .....</b>	<b>18</b>
4.1 STUDY AREA .....	18
4.2 STUDY DESIGN .....	19
4.3 SAMPLE SIZE ESTIMATION .....	19
4.4 SAMPLING .....	20
4.5 IMPLEMENTATION MECHANISM .....	21
4.6 APPROACH .....	21
4.7 STUDY PROCEDURE .....	21
4.7.1 <i>The VAA assessment</i> .....	21
4.7.2 <i>Development of a Health National Adaptation Plan</i> .....	23
4.8 DATA COLLECTION METHODS AND TOOLS .....	24
4.8.1 <i>Quantitative</i> .....	24
4.8.2 <i>Qualitative</i> .....	24
4.9 STUDY VARIABLES .....	26
4.9.1 <i>Measurement of vulnerability</i> .....	26
4.9.2 <i>Measurement of health workforce climate change related impacts</i> .....	27
4.9.3 <i>Measurement of WASH and healthcare waste climate change related impacts</i> .....	28
4.9.1 <i>Measurement of energy services climate change related impacts</i> .....	28
4.9.2 <i>Measurement of infrastructure, technologies, and products climate change related impacts</i> .....	29
4.9.3 <i>Vulnerability assessment</i> .....	29
4.9.4 <i>Health risk and impact assessments</i> .....	30
4.10 ELIGIBILITY .....	34
4.11 DATA MANAGEMENT AND ANALYSIS PLAN .....	34
4.11.1 <i>Qualitative data</i> .....	34
4.12 QUALITY ASSURANCE AND QUALITY CONTROL PROCEDURES .....	35
4.13 METHODS MATRIX .....	35
4.14 VALIDATION AND DISSEMINATION OF STUDY FINDINGS .....	38
4.15 COMMUNITY ENGAGEMENT PLAN .....	38
4.16 ETHICAL CONSIDERATIONS .....	38
<b>5 RESULTS .....</b>	<b>39</b>



<b>5.1</b>	<b>CHARACTERISTICS OF THE STUDY HEALTHCARE FACILITIES (HCFS)</b> .....	<b>39</b>
5.1.1	LEVEL OF HEALTHCARE FACILITY .....	39
5.1.2	OWNERSHIP OF THE FACILITY .....	39
<b>5.2</b>	<b>EXPOSURE TO CLIMATE CHANGE RELATED HAZARDS IN HEALTHCARE FACILITIES IN UGANDA</b> .....	<b>40</b>
<b>5.3</b>	<b>CLIMATE-CHANGE RELATED RISKS IN HEALTHCARE FACILITIES IN UGANDA</b> .....	<b>ERROR! BOOKMARK NOT DEFINED.</b>
<b>5.4</b>	<b>VULNERABILITY TO DROUGHT</b> .....	<b>41</b>
5.4.1	VULNERABILITY OF THE HEALTH WORKFORCE TO DROUGHT .....	41
5.4.2	VULNERABILITY OF THE WASH COMPONENT TO DROUGHT .....	42
5.4.3	VULNERABILITY OF THE ENERGY COMPONENT TO DROUGHT.....	44
5.4.4	VULNERABILITY OF INFRASTRUCTURE, TECHNOLOGIES, PRODUCTION AND PROCESSES TO DROUGHT.....	45
<b>5.5</b>	<b>VULNERABILITY TO STORMS</b> .....	<b>47</b>
5.5.1	VULNERABILITY OF THE HEALTH WORKFORCE TO STORMS.....	47
5.5.2	VULNERABILITY OF WASH TO STORMS .....	49
5.5.3	VULNERABILITY OF THE ENERGY COMPONENT TO STORMS.....	50
5.5.4	VULNERABILITY OF INFRASTRUCTURE, TECHNOLOGIES, PRODUCTION AND PROCESSES TO STORMS.....	52
<b>5.6</b>	<b>VULNERABILITY TO FLOODS</b> .....	<b>55</b>
5.6.1	VULNERABILITY OF THE HEALTH WORKFORCE TO FLOODS .....	55
5.6.2	VULNERABILITY OF WATER, SANITATION AND HEALTHCARE WASTE TO FLOODS.....	58
5.6.3	VULNERABILITY OF ENERGY TO FLOODS .....	59
5.6.4	VULNERABILITY OF THE INFRASTRUCTURE, TECHNOLOGIES, PRODUCTION AND PROCESSES TO FLOODS.....	61
<b>5.7</b>	<b>VULNERABILITY TO WATER LEVEL RISE</b> .....	<b>65</b>
5.7.1	VULNERABILITY OF THE HEALTH WORKFORCE TO WATER LEVEL RISE.....	65
5.7.2	VULNERABILITY OF THE WASH COMPONENT TO WATER LEVEL RISE .....	67
5.7.3	VULNERABILITY OF THE ENERGY COMPONENT TO WATER-LEVEL RISE .....	68
5.7.4	VULNERABILITY OF INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS AND PROCESSES TO WATER LEVEL RISE .....	70
<b>5.8</b>	<b>VULNERABILITY TO LANDSLIDES</b> .....	<b>73</b>
5.8.1	VULNERABILITY OF THE HEALTH WORKFORCE TO LANDSLIDES .....	73
5.8.2	VULNERABILITY OF THE WASH COMPONENT TO LANDSLIDES .....	75
5.8.3	VULNERABILITY OF THE ENERGY COMPONENT TO LANDSLIDES .....	77
5.8.4	VULNERABILITY OF INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS AND PROCESSES TO LANDSLIDES.....	78
<b>5.9</b>	<b>VULNERABILITY TO HEAT WAVES</b> .....	<b>82</b>
5.9.1	VULNERABILITY OF THE HEALTH WORKFORCE TO HEAT WAVES.....	82
5.9.2	VULNERABILITY OF WASH AND HEALTHCARE WASTE TO HEAT WAVES .....	85
5.9.3	VULNERABILITY OF THE ENERGY COMPONENT TO HEAT WAVES.....	87
5.9.4	VULNERABILITY OF INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS AND PROCESSES TO HEAT WAVES .....	88
<b>5.10</b>	<b>VULNERABILITY TO LIGHTENING</b> .....	<b>90</b>
5.10.1	VULNERABILITY OF THE HEALTH WORKFORCE TO LIGHTENING.....	90
5.10.2	VULNERABILITY OF THE WATER, SANITATION AND HEALTHCARE WASTE TO LIGHTENING .....	92
5.10.3	VULNERABILITY OF ENERGY TO LIGHTENING .....	94
5.10.4	VULNERABILITY OF INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS AND PROCESSES TO LIGHTENING .....	95



<b>5.11</b>	<b>IMPACTS OF CLIMATE CHANGE ON DIFFERENT HCF COMPONENTS.....</b>	<b>100</b>
5.11.1	IMPACTS OF DROUGHT ON HEALTH WORKFORCE.....	101
5.11.2	IMPACTS OF OTHER HAZARDS ON HEALTH WORKFORCE.....	102
5.11.3	IMPACTS OF THE HAZARDS ON WASH AND HEALTHCARE WASTE.....	104
5.11.4	IMPACTS OF THE HAZARDS ON ENERGY.....	108
5.11.5	IMPACTS OF THE HAZARDS ON INFRASTRUCTURE, PRODUCTS AND PROCESSES.....	110
<b>6</b>	<b>CLIMATE SENSITIVE HEALTH OUTCOMES IN UGANDA.....</b>	<b>119</b>
6.1	INJURY AND MORTALITY FROM EXTREME WEATHER EVENTS.....	119
6.2	WATER-BORNE DISEASES.....	119
6.3	NON-COMMUNICABLE DISEASES.....	119
6.4	RESPIRATORY ILLNESSES.....	120
6.5	MALNUTRITION AND FOOD-BORNE DISEASES.....	120
6.6	ZOONOSES.....	120
6.7	VECTOR-BORNE DISEASES (MALARIA, SCHISTOSOMIASIS, LYMPHATIC FILARIASIS).....	121
6.7.1	MALARIA.....	121
6.7.2	SCHISTOSOMIASIS.....	122
6.7.3	LYMPHATIC FILARIASIS (ELEPHANTIASIS, HYDROCELE).....	122
6.8	MENTAL AND PSYCHOSOCIAL HEALTH.....	123
<b>7</b>	<b>POLICIES, GUIDELINES, AND DIRECTIVES THAT HAVE BEEN DISSEMINATED TO SUPPORT RESPONSE TO CLIMATE CHANGE CONCERNS OR TO INCREASE THE RESILIENCE OF COMMUNITIES AND THE HEALTH WORKFORCE.....</b>	<b>123</b>
<b>8</b>	<b>CONCLUSION AND RECOMMENDATIONS.....</b>	<b>124</b>
	REFERENCES.....	125



**List of Figures**

Figure 1: Climatology of mean-temperature and precipitation in Uganda ..... 13

Figure 2: Conceptual framework for climate-sensitive health risks (adapted from (World Health Organization, 2021b)) ..... 15

Figure 3: Map of Uganda showing the study districts ..... 18

Figure 4: Conducting a climate change and health vulnerability and adaptation assessment ..... 21

Figure 5: Vulnerability and Adaptation Assessment (WHO, 2013) ..... 23

Figure 6: Checklist for impact assessment for drought ..... 30

Figure 7: Definition of impact level, and likelihood for the HRA ..... 31

Figure 8: Semi-quantitative assessment matrix..... 32

Figure 9: Definition of impact level and likelihood for the HIA (adapted from Winkler et al. (2010)) ..... 33

Figure 10: Impact assessment matrix [adapted from Winkler et al. (2010)]..... 34

Figure 11: Level of healthcare facility ..... 39

Figure 12: Ownership of the facility..... 40

Figure 13: Hazards or exposure present at the healthcare facility..... 40

Figure 14: Total Dengue Cases per Region in Uganda ..... 121

Figure 15: Total Malaria incidence per Region in Uganda ..... 122



**List of Tables**

**Table 1: Vulnerability of the health workforce to drought among healthcare facilities in Uganda ..... 41**

**Table 2: Vulnerability of the WASH component to drought among healthcare facilities in Uganda ..... 43**

**Table 3: Vulnerability of the energy component to drought..... 44**

**Table 4: Vulnerability of infrastructure, technologies, production and processes to drought..... 45**

**Table 5: Vulnerability of the health workforce to storms ..... 47**

**Table 6: Vulnerability of WASH to storms among healthcare facilities in different regions of Uganda 49**

**Table 7: Vulnerability of the energy component to storms..... 51**

**Table 8: Vulnerability of infrastructure, technologies, production and processes to storms ..... 52**

**Table 9: Flood checklist for assessing vulnerabilities on workforce..... 56**

**Table 10: Flood checklist for assessing vulnerabilities on water, sanitation and healthcare waste..... 58**

**Table 11: Flood checklist for assessing vulnerabilities in energy ..... 60**

**Table 12: Flood checklist for assessing vulnerabilities in infrastructure, technologies production and processes ..... 61**

**Table 13: Water level rise checklist for assessing vulnerabilities on workforce..... 65**

**Table 14: Water level rise checklist for assessing vulnerabilities on water, sanitation and healthcare waste..... 67**

**Table 15: Water level rise checklist for assessing vulnerabilities on energy ..... 69**

**Table 16: Water level rise checklist for assessing infrastructure, technologies, products and processes ..... 70**

**Table 17: Vulnerability of the health workforce to landslides ..... 73**

**Table 18: Vulnerability of the WASH component to landslides ..... 75**

**Table 19: Vulnerability of the energy component to landslides ..... 77**

**Table 20: Vulnerability of infrastructure, technologies, products and processes to landslides ..... 78**

**Table 21: Vulnerability of the health workforce to heat waves ..... 83**

**Table 22: Heat wave checklist for assessing vulnerabilities on water, sanitation and healthcare waste ..... 84**

**Table 23: Vulnerability of WASH and healthcare waste to heat waves..... 85**

**Table 24: Vulnerability of the energy component to heat waves ..... 87**

**Table 25: Vulnerability of infrastructure, technologies, products and processes to heat waves ..... 88**



**Table 26: Lightening checklist for assessing vulnerabilities on workforce..... 90**

**Table 27: Lightning checklist for assessing vulnerability on water, sanitation and healthcare waste ... 92**

**Table 28: Lightning checklist for assessing vulnerabilities on energy ..... 94**

**Table 29: Lightning checklist on infrastructure, technologies, products and processes ..... 95**

***Table 30: Proportion of healthcare facilities that have observed the impacts of climate change related hazards on the different HCF components ..... 100***

***Table 31: Impacts of droughts on Health workforce in healthcare facilities in Uganda ..... 101***

***Table 32: Impacts of other hazards on Health Workforce in healthcare facilities in Uganda..... 103***

***Table 33: Impact of hazards on WASH and healthcare waste management in healthcare facilities in Uganda ..... 105***

***Table 34: Impact of the hazards on energy in healthcare facilities in Uganda..... 108***

***Table 35: Impact of Drought on Infrastructure, Technologies, Products and Processes in healthcare facilities in Uganda..... 110***

***Table 36: Impacts of Storms on infrastructure, technology and processes in Uganda..... 111***

***Table 37: Impact of floods on infrastructure, technologies, products and processes..... 112***

***Table 38: Impact of water level rise on infrastructure, technology, products, and processes ..... 114***

***Table 39: Impact of lightning on infrastructure, technologies, products and processes ..... 116***

***Table 40: Impacts of Landslides on infrastructure, technologies, products and processes in healthcare facilities in Uganda..... 117***



### Acronyms and Abbreviations

<b>COP</b>	Conference of Parties
<b>DFID</b>	Department for International Development
<b>EHD</b>	Environmental Health Department MoH
<b>FY</b>	Financial Year
<b>HSDP</b>	Health Sector Development Plan
<b>MoH</b>	Ministry of Health
<b>SDGs</b>	Sustainable Development Goals
<b>UBOS</b>	Uganda Bureau of Statistics
<b>UDHS</b>	Uganda Demographic Health Survey
<b>UN</b>	United Nations
<b>UNFCCC</b>	The United Nations Framework Convention on Climate Change
<b>VAA</b>	Vulnerability and Adaptation Assessment
<b>WB</b>	World Bank
<b>WHO</b>	World Health Organization



## Definitions

<b>Adaptation</b>	Adjustment in natural or human systems in response to actual or expected climatic stimuli or their effects, which moderates harm or exploits beneficial opportunities.
<b>Adaptive capacity</b>	The ability of a system to adjust to climate change (including climate variability and extremes) to moderate potential damages, take advantage of opportunities, or cope with consequences.
<b>Climate</b>	Climate characterizes the average weather conditions for a particular location over a long period of time (usually 30 years)
<b>Climate Change</b>	Climate change refers to changes in the earth's climate, especially the gradual rise in temperature caused by high levels of carbon dioxide and other gases
<b>Climate change hazard</b>	Process, phenomenon or human activity that may cause loss of life, injury or other health impact, property damage, social or economic disruption, or environmental degradation.
<b>Coping measures</b>	Short-term and immediate practices during a crisis that are oriented towards survival
<b>Disaster</b>	A disaster is a serious disruption, occurring over a relatively short time, of the functioning of a community or a society involving widespread human, material, economic or environmental loss and impacts, which exceeds the ability of the affected community or society to cope using its resources.
<b>Hazard</b>	Potential occurrence of a natural or human-induced physical event, trend or physical impact that may cause loss of life, injury, other health impact, or damage to or loss of property, infrastructure, livelihoods, service provision, ecosystems or environmental resources.
<b>Mitigation</b>	Mitigation measures are those actions that are taken to reduce the effect of climate change by focusing on the causes of climate change
<b>Public health risks</b>	Climate change affects social and environmental determinants of health including clean air, safe drinking water, sufficient food and secure shelter as well as increasing the prevalence of water-borne diseases. These are associated with noticeable possible health impacts.
<b>Resilience</b>	Resilience is the ability of a system, community or society exposed to hazards to resist, absorb, accommodate to and recover from the effects of a hazard in a timely and efficient manner, including through the preservation and restoration of its essential basic structures and functions.
<b>Risk</b>	Is the possibility of losing something of value
<b>Risk assessment</b>	Qualitative or quantitative scientific estimation of risks
<b>Vulnerability</b>	The inability to resist a hazard or to respond when a disaster has occurred

## 1. Introduction and background

### 1.1 Introduction

Climate change is described as a change in climate which is attributed directly or indirectly to human activity that alters the composition of the global atmosphere and which in addition to natural climate variability observed overcomes comparable time periods (GOU 2021, Climate Change Act). According to WHO (2002), McMichael et al. (2003), Confalonieri et al. (2007), WHO (2009) and WHO (2013), climate change is adversely affecting the health of populations around the world, and the greatest impacts are in low-income countries. Without proper mitigation and adaptation, climate change poses environmental and health risks to the general public and communities, especially in the developing world (World Bank, 2018). Adverse effects are observed as changes in the physical environment or biodata that have significant harmful effects on the composition, resilience or productivity of natural and managed ecosystems or the operation of the socio-economic systems or human health and welfare (GOU 2021, Climate Change Act). Climate change health impacts can be grouped into 1) Direct impacts, such as those arising from damages and illness due to increased frequency and severity of extreme weather events. 2) Environmental system mediated impacts, such as rising air pollution and changing patterns of vector-, food- and water-borne diseases. 3) Socially mediated effects that occur via the effect of climate change on social and human systems, such as health effects resulting from undernutrition, occupational heat stress and mental illness, as well as potential increases in population displacement, slowing of economic growth and poverty aggravation (WHO 2015:2).

There is also a risk of displacement of populations, destruction of property and sources of livelihood pushing people into poverty and its vicious cycle of ill health and loss of wellbeing (Black et al., 2011); World Bank, 2016). As population pressure builds, there is increased interaction between humans and animals/birds. This interaction is contributing to an increasing prevalence of pandemics/epidemics such as Avian/human influenza, Ebola, Marburg and more recently COVID-19 viral diseases.

Climate change health impacts include; reductions in air quality from smog and smoke resulting in air pollution-related diseases such as asthma, chronic obstructive airway diseases, cardiovascular diseases, and allergic ailments. Other diseases of concern include vector, food and water-borne diseases such as Malaria, Rift Valley Fever, Crimean Congo Viral Disease, Cholera, and Dysentery (World Bank, 2018) (WHO, 2018) (Robine, 2008) (Zhou G, 2004) (World Bank, 2016). Current literature also indicates that extreme temperatures have negative consequences on the environment, ecosystem, and human health. With recent increases in global temperatures, there has been a rise in the burden of heat-related illnesses, with a disproportionate impact on low- and middle income countries (Hasan et al. 2021) Lessons learned during the implementation of the avian and human influenza preparedness and response project revealed that limited use of preventive measures during food production, processing, handling, vending/retailing contribute to significant food contamination and subsequent transmission of infections (World Bank, 2014).

The most affected groups include; young children, the elderly, pregnant women, people with chronic illness, people with disabilities, individuals that work outdoors and the less privileged, e.g, the poor, remotely-located indigenous people, refugees [12356]. Climate change-related adverse events are stressful causing mental illnesses such as anxiety, depression, suicide and post-traumatic stress disorders (Guiney, 2012, Lin et al., 2008). In the health sector, climate change-related events such as storms, floods and heat waves can disrupt service delivery and if not addressed can negate previous

achievements or overwhelm the current capacity of the sector to respond (Mcmichael AJ, 2006); Pilkey OH, 2004).

Globally, climate change is recognized by the Sustainable Development Goals (SDG13) calling for climate action. At the regional level, an East –African policy for addressing climate change was put in place in 2009 by heads of states at their 11<sup>th</sup> summit that took place in Arusha, Tanzania. Nationally, Uganda is a signatory to the United Nations Framework Convention on Climate Change (UNFCCC) and under this framework participates in the annual Conferences of Parties (COPs). The current National Development Plan III and the National Climate change Policy 2015, highlight the need to tackle climate change by all sectors and GOU went ahead to enact the Climate Change Act 2021.

## 1.2 Background

Uganda is already experiencing the effects of climate change in form of increasing rainfall, changing patterns of rainfall, droughts, and warming up of places like Kabale, Kisoro and Nwoya, therefore, increasing cases of malaria (Environmental Alert, 2007) [MoH, 2016/17 HMIS data]. The country is experiencing impacts of vector-borne diseases such as malaria, rift valley fever, anthrax, Marburg, Crimean Congo Virus disease, measles and Rubella. Droughts are putting the country at an increased risk of anthrax and floods occurring due to heavy rains are accelerating outbreaks of cholera and other related diseases. The health sector has run short of resources to respond to the increasing numbers of outbreaks occurring concurrently (FY 2017/18 data from disease surveillance division at the Ugandan MoH). A total of 45 outbreaks were registered in the country in FY 2017/18 affecting 24 districts. The outbreaks affected a total of about 6,000 people out of whom about 80 (1.3%) died. The districts of Amudat, Arua, Buikwe, Hoima, Isingiro, Kagadi, Kakumiro, Kampala, Kasese, Kiboga, Kiruhura, Kween Kyankwanzi, Kyegegwa, Mityana, Nakaseke and Tororo are especially vulnerable to outbreaks of Cholera, Rift Valley Fever, Crimean Congo Viral disease, Marburg and anthrax. These districts have a total of 7,276,200 people at risk of suffering from outbreaks.

Recent national assessments in developed countries show that there is still low but progressively increasing awareness among local communities and climate and health experts about climate change as an issue of global concern (Afrobarometer, 2022). There are however very few studies done to explore the views of health professionals in the developing world. A review of existing strategic documents for the health sector in Uganda such as the health sector development plan (HSDP) shows that climate change has not been prioritized and as result, programs lack provisions that address climate change-related adaptation mechanisms (MoH, HSDP 2015 – 2020). One of the key challenges of climate change planning in the health sector in Uganda is the lack of a full national vulnerability assessment that would act as a basis for developing an informed health national adaptation plan.

Major environmental impacts include; extreme heat waves and wildfires, substantial precipitation; drought; failure in water catchment resulting in increased water and food scarcity; rising sea levels; ocean acidification; infrastructural damage; loss of biodiversity; deforestation, floods and landslides (Hepworth and Goulden, 2008).

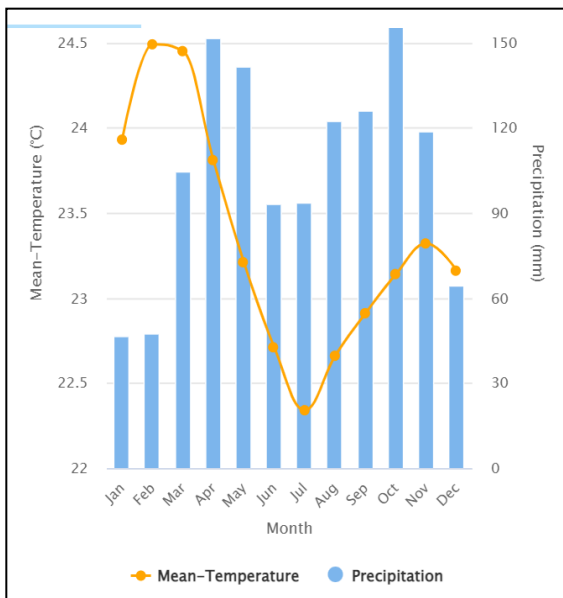
The government of Uganda passed a National Climate Change Act 2021 (MWE, 2021). The Act recommends several priorities for health sector adaptation to climate change and these include; assessing the impacts of climate change on health; establishing adaptive mechanisms and early warning systems for diseases related to climate change; conducting vulnerability assessment of the health sector to climate change impacts; developing a system for collection, management, storage and dissemination of health information; conducting surveillance of disease outbreaks and providing rapid responses to control of epidemics. The joint statement was signed by ministers of health of the WHO African region Yamoussoukro, Cote d'Ivoire 29<sup>th</sup> August to 2<sup>nd</sup> September 2011 [*resolution AFR/RC61/R2 on the*

**Framework for Public Health Adaptation to climate change].** The priorities are also aligned to the UN Framework Convention [Decision CP16 of the UNFCCC COP 16], on climate change which requires countries to integrate climate change-related issues within their national plans and budgets, the Kyoto protocol and Millennium Development Goals (MDGs).

## 2. Statement of the problem, justification and conceptual framework

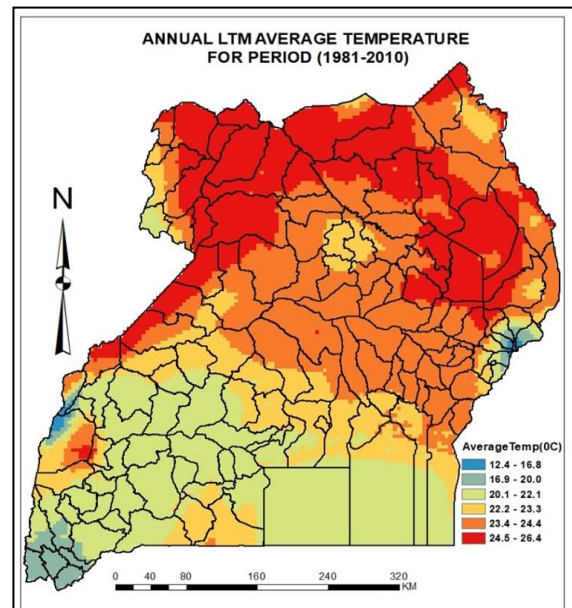
### 2.1 Statement of the problem

Climate-sensitive preventable diseases dominate Uganda’s disease burden. The 2021/22 annual health sector performance report puts malaria as the leading condition among all OPD diagnoses for all ages, accounting for 32.1% of all OPD attendances. This is followed by cough or cold (no pneumonia) at 20.4%. The duo are among the known climate-sensitive diseases (MoH, 2022). Rising temperatures and more frequent extreme weather events like heavy rains, drought, wind storms, and heat and cold waves can cost lives, directly increase transmission and spread of diseases, and undermine the environmental determinants of health, including clean air, water, sufficient food, and secure shelter (MoH, 2016:44). Further, such environment related negative outcomes can potentially deter the country’s efforts towards growth and economic transformation through different pathways such as eroding of the country’s financial and human resources, reduction in food production and the availability of potable water among others (NPA, NDPIII, 2020).

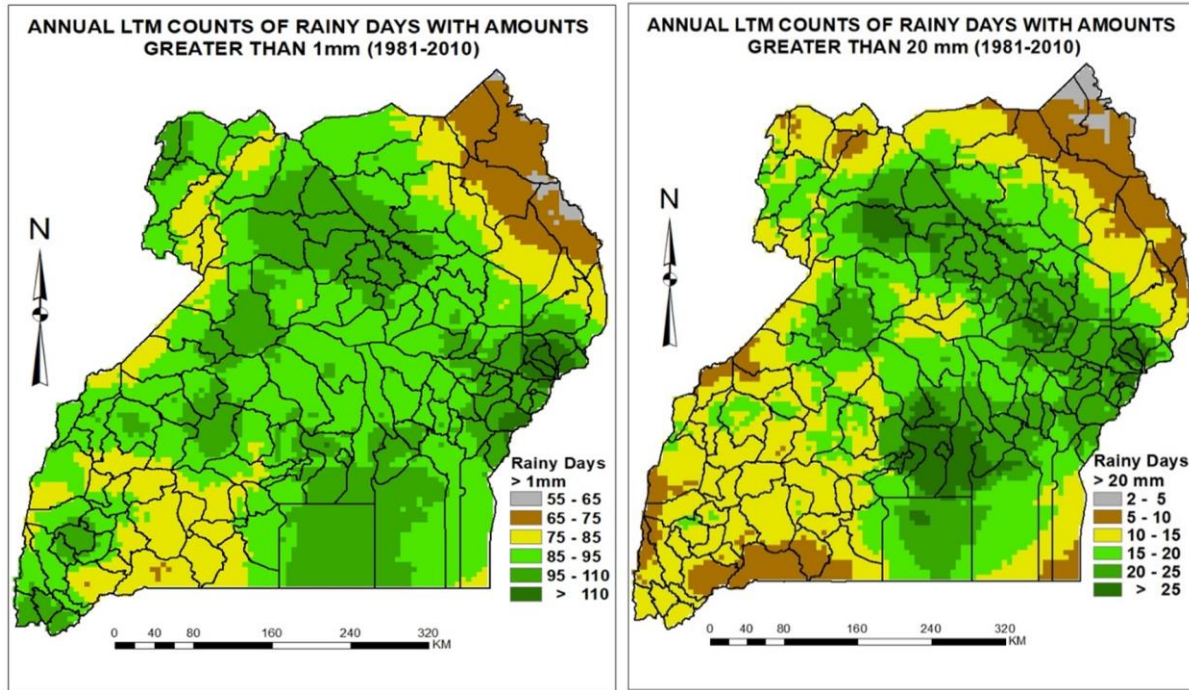


Monthly climatology of mean-temperature and precipitation in Uganda from 1991-2020

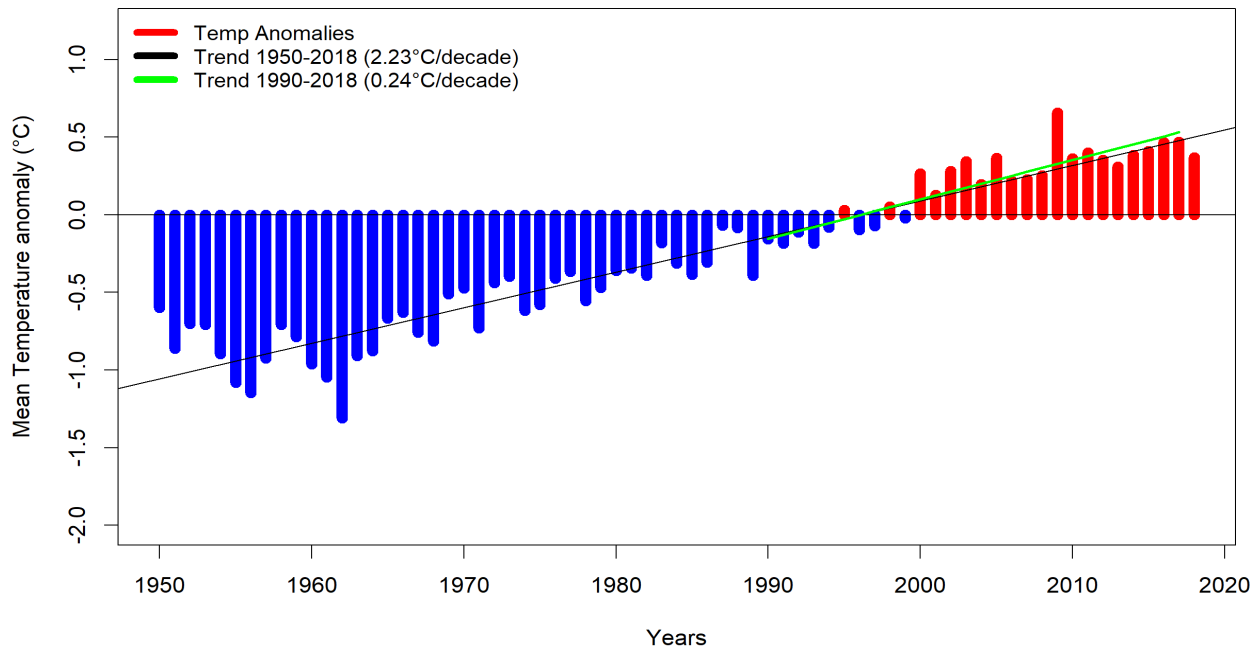
Source: *The World Bank Group, 2021*



Annual long-term mean average temperature for period (1981-2010)



### Temperature Anomaly over Uganda



**Figure 1: Climatology of mean-temperature and precipitation in Uganda**

According to the World Bank's estimates, around 200,000 Ugandans have been impacted annually by weather-related disasters for the past two decades (World Bank, 2021). This number includes the recent climate related hazards, such as the flooding in Kasese and along the Nile valley; the landslides in the Mt. Elgon and Rwenzori regions; and droughts in the cattle corridor region. The changes are mainly affecting the lives of the most vulnerable populations including; children, women, the elderly the



disabled, the poor, remotely-located indigenous peoples and refugees. If not addressed, the burden of the disease will continue stressing the already stretched health system. At the same time, the health sector faces a challenge of competing needs in tackling climate change concerns. There has also been noted inadequate technical and financial resources as well as limited awareness among health managers and health workers. Furthermore, there is a lack of tailored coordination and communication mechanisms between the sector, local governments and communities affecting the ability to mitigate and control emerging threats from climate change in time.

The country however has several ongoing activities related to preparedness under emergencies, for example, multi-sectoral disaster preparedness committees in place, there is provision within the MoH structures for task forces to handle health emergencies and staff are catered for (public health and environmental officers, epidemiologists, communication specialists, laboratory technicians and case management teams. The teams are however not well oriented on climate change and how it is related to health, for example, changes in disease epidemiology are surprising to teams both in geographical locations but also in numbers. Districts level structures especially lack adequate capacity and are failing to cope.

The problems outlined underpin the need for conducting a health climate change vulnerability and adaptation assessment (VAA), in order to quantify the issues, and link that quantification to potential health system adaptation solutions. Therefore, the VAA will inform the development of the Health National Adaptation Plan (H-NAP).

## **2.2 Justification**

Climate change is already having significant effects on human health. Districts in the cattle corridor (e.g., Amudat, Isingiro, Kakumiro, Kiboga, Kiruhura, Nakasongola and Nakaseke among others) are particularly at high risk and will be better protected through the implementation of strategic interventions. Other districts located in mountainous regions of Uganda including Kasese, Bundibugyo, Ntoroko in the Rwenzori, Mbale, Bududa, Manafwa, Sironko, Bulambuli, Kapchorwa in Elgon and Kisoro, Kabale, Rukiga, Rubanda, Kanungu and Rukungiri are prone to landslides and flooding (Namanya et al. 2021). The health systems in Uganda if strengthened to adapt, survive and recover from climate change-induced emergencies can be in a better position to cope with the situation (Environmental Alert, 2007; World Bank, 2018, Namanya et al, 2021). Building capacities of health workers and other stakeholders will go a long way in improving their competencies to cope and adapt to climate change (Namanya et al 2021). Improving leadership skills among health managers and improving coordination will help in aligning stakeholders to a common vision focusing on the need to prioritize climate change preparedness and response e.g. in the context of floods, frequent outbreaks, breakdown in infrastructure, sanitation, and waste management. Strengthening joint surveillance among health workers, environmental officers and members of the community will improve timeliness in the detection of threats and responding to emerging outbreaks. Establishing/strengthening robust monitoring and evaluation will help in documenting changing patterns in health and environmental risks and their outcomes and will help planners in better targeting their interventions. Strengthening linkages between the health system and communities will help in harnessing the indigenous knowledge and existing expertise in a situation where many health events are happening as a result of climate change. There is a strong need to prioritize a health systems approach to strengthen country preparedness in the context of climate change. The H-NAP will help to propose potential solutions to the challenges identified, will thus contribute increasing the resilience of the health system to climate change.

### 2.3 Conceptual framework

The vulnerability of the health sector to climate shocks can be due to factors such as the baseline climate, including the expected magnitude and frequency of extreme weather events, and geographical circumstances (World Health Organization, 2021b). Population vulnerability is also a function of the effectiveness and coverage of the health system and related institutions, reflected in the quality of policies and programmes such as surveillance and control programmes, and baseline morbidity and mortality conditions (World Health Organization, 2021b). Population characteristics such as demographic structure, prevalence of pre-existing medical conditions, and acquired factors such as immunity and genetic factors are important baseline vulnerability conditions. Other demographic and socioeconomic factors, including population density, social capital and distribution of resources, also play a critical role in determining vulnerability, often interacting with biological factors such as nutritional status that lead to differences in the ability to adapt or respond to exposures or early phases of illness. The end result of vulnerability is climate-sensitive health risks such as injury and mortality from extreme weather events, respiratory illnesses, zoonoses and malnutrition among others (Fig 2).

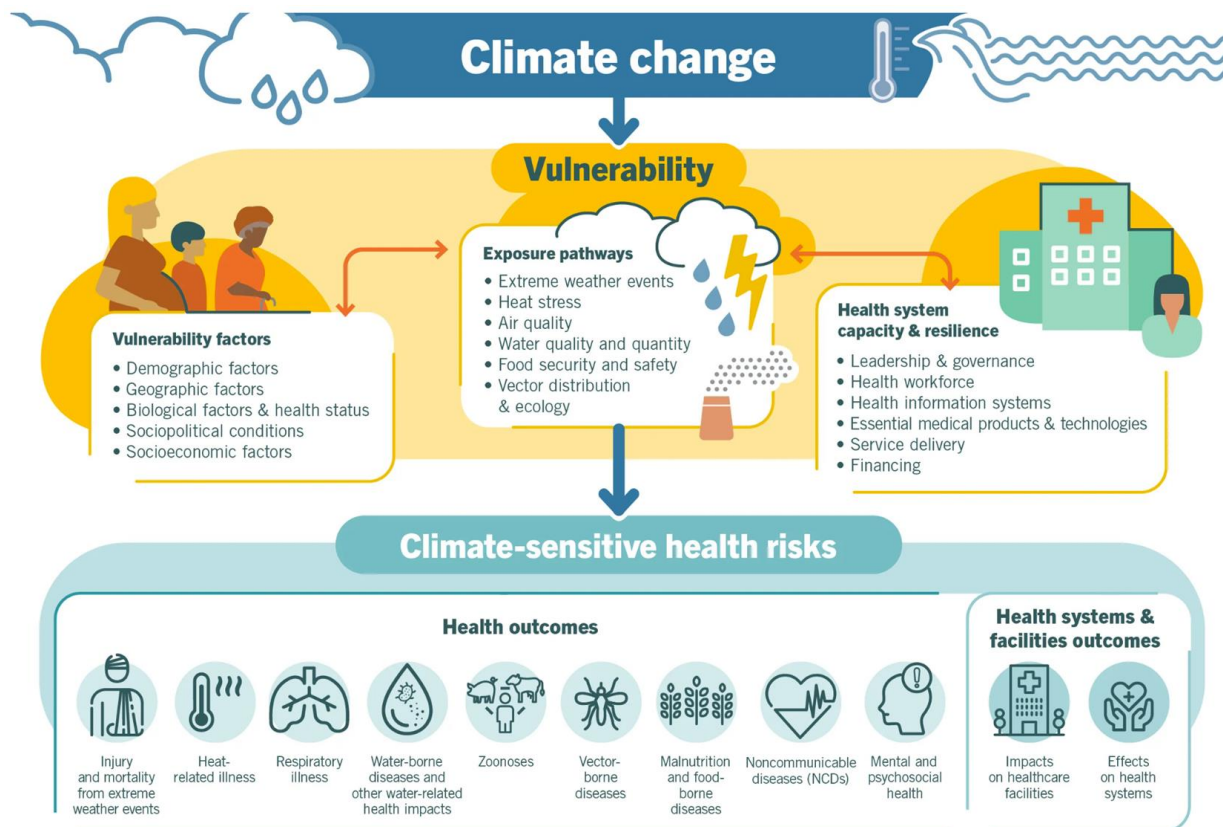


Figure 2: Conceptual framework for climate-sensitive health risks (adapted from (World Health Organization, 2021b))



## 2.4 Research questions

1. What is the extent of climate-change related risks (present and future risks) on the health sector in Uganda?
2. What are the potential impacts (present and future) of climate change on the health sector in Uganda?
3. What are the current risks of climate-sensitive diseases and health outcomes in Uganda?
4. What policies, programmes and adaptation mechanisms should be prioritized to effectively mitigate, adapt and respond to climate change-related risks and impacts in the health sector in Uganda?
5. How should monitoring and evaluation of mitigation, adaptation and response measures to climate-change related risks and impacts on the health sector in Uganda be done?



### 3. Study objectives

#### General objective

To conduct a national health climate change vulnerability and adaptation assessment to identify health risk factors and gaps for sound management of climate change-related health risks in Uganda

#### Specific objectives

1. To describe and quantify climate-change related risks (present and future risks) on the health sector in Uganda
2. To describe and quantify the potential impacts (present and future) of climate change on the health sector in Uganda
3. To describe current risks of climate-sensitive diseases and health outcomes in Uganda
4. To identify policies, programmes and adaptation mechanisms that should be prioritized to effectively mitigate, adapt and respond to climate change-related risks and impacts in the health sector in Uganda
5. To explore how monitoring and evaluation of mitigation, adaptation and response measures to climate-change related risks and impacts on the health sector in Uganda should be done
6. To use evidence from objectives 1-5 to inform the development of a National Health Adaptation Plan (H-NAP) for effective mitigation, adaptation and response to climate change in the health sector.

## 4. Methodology

### 4.1 Study area

Uganda is located in East Africa and lies across the equator, about 800 kilometres inland from the Indian Ocean. It lies between 10 29' South and 40 12' North latitude, 290 34 East and 350 0' East longitude. The country is landlocked, bordered by Kenya in the East; South Sudan in the North; the Democratic Republic of Congo in the West; Tanzania in the South; and Rwanda in the South West. It has a total area of 241,551 square kilometres, of which the land area covers 200,523 square kilometres (UBOS, 2016). According to the most recent estimates, Uganda's population is projected at 44.2 million people (UBOS, 2022), a population density of 173 persons per square kilometres (UBOS, 2018) and an average population growth rate of 3.0% (UBOS, 2016). Uganda like the rest of the world and more particularly the Least Developed Countries with the least capacity to adapt, is vulnerable to the negative impacts of climate change. It is a threat to its fragile ecosystems, people's livelihoods and ultimately the national economic development efforts. The proposed study was conducted in 43 districts, selected purposively and located in fifteen (15) regions across Uganda. The selection of districts was based on past occurrence of health hazards such as droughts, storms, floods, extreme temperatures. Selected districts for the study are shown in Figure 3.

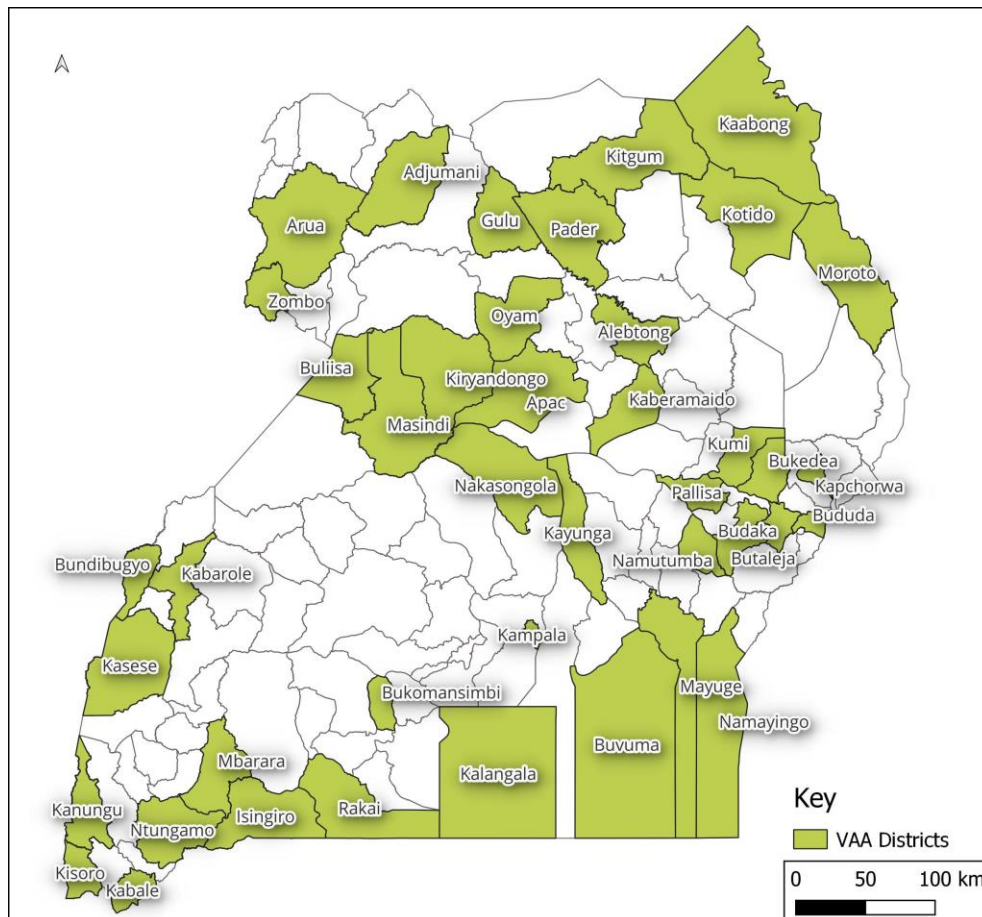


Figure 3: Map of Uganda showing the study districts

## 4.2 Study design

A healthcare facility-based cross-sectional study was used. Both qualitative and quantitative data collection methods were adopted.

## 4.3 Sample size estimation

A healthcare facility-based cross-sectional study was implemented. A sample of health facilities was selected to participate in the study. Selection of Health facilities was based on all those facilities, from the 43 selected districts, that reported into the Health Management Information System (DHIS2) in 2021, including health Centre IIs, Health Centre IIIs, Health Centre IVs and Hospitals. In addition, all regional referral hospitals (RRHs) WERE surveyed for a total of 15 RRHs. Selection was done using Yamane's formula for sample size calculation equation 1 (Yamane, 1967)

$$\text{Equation 1} \quad n_0 = \frac{N}{1 + N(e)^2}$$

where  $n_0$  is the sample size,  $N$  (see column for reporting health facilities plus VHTs per region) is the population size and  $e$  (equal to 5%) is the level of precision. The sample size  $n_0$  from equation 1, is adjusted with equation 2 since the population of Health Facilities is finite.

$$n = \frac{n_0}{1 + \frac{n_0 - 1}{N}}$$

This gave a sample size of **726 health facilities** divided by health facility levels as indicated in the table 2 below. No separate sample was drawn for villages, but rather, the health unit management committees (HUMIC) at the HF represented/responded on behalf of the community where the health facility was located.

**Table 2: Sample size of HFs to interview**

Region	District	# of Govt and PNFP Facilities	Sample Size	Sample size by HF Level			
				Hospitals	HCIVs	HCIIIs	HCIs
Acholi	Gulu	22	12	0	1	5	6
	Kitgum	34	17	2	1	7	7
	Pader	39	20	0	2	8	10
Ankole	Isingiro	50	26	0	4	10	12
	Mbarara	30	16	2	4	5	5
	Ntungamo	43	22	1	4	7	10
Bugisu	Kapchorwa	25	13	1	1	5	6
	Mbale	40	20	2	4	7	7
	Sironko	31	16	0	2	7	7

Bukedi	Budaka	16	9	0	1	6	2
	Butaleja	25	13	2	1	5	5
	Pallisa	22	12	1	0	5	6
Bunyoro	Buliisa	15	8	1	2	2	3
	Kiryandongo	24	13	1	1	5	6
	Masindi	41	21	1	3	8	9
Busoga	Mayuge	45	23	1	3	8	11
	Namayingo	31	16	0	1	7	8
	Namutumba	32	16	0	1	7	8
Kampala	Kampala	86	41	10	5	13	13
Karamoja	Kaabong	27	14	1	3	4	6
	Kotido	22	12	1	4	3	4
	Moroto	19	10	1	3	3	3
Kigezi	Kabale	60	30	2	4	8	16
	Kanungu	53	26	2	2	8	14
	Kisoro	44	22	2	3	8	9
Lango	Alebtong	19	10	0	1	4	5
	Apac	19	10	1	0	4	5
	Oyam	33	17	1	1	5	10
North Central	Buvuma	14	8	0	1	2	5
	Kayunga	29	15	1	2	5	7
	Nakasongola	35	18	1	2	7	8
South Central	Bukomansimbi	19	10	0	1	6	3
	Kalangala	22	12	0	2	4	6
	Rakai	44	22	1	0	8	13
Teso	Bukedea	14	8	0	1	3	4
	Kaberamaido	13	7	1	0	2	4
	Soroti	15	8	0	1	3	4
Tooro	Bundibugyo	29	15	1	3	5	6
	Kabarole	27	14	1	3	4	6
	Kasese	107	50	3	6	16	25
West Nile	Adjumani	43	22	1	2	8	11
	Arua	42	22	2	4	8	8
	Zombo	19	10	1	1	3	5
<b>Total</b>			<b>726</b>	<b>49</b>	<b>91</b>	<b>258</b>	<b>328</b>

#### 4.4 Sampling

We used multi-stage cluster random sampling techniques to distribute the sample size of health facilities (HFs). We used probability proportionate to size sampling (PPS) to distribute the sample size of health facilities across the regions, using the number of health facilities reported in DHIS2 in 2021 and the number of villages per region, as the basis for this distribution. A random sample of HFs per district by level (Hospital, HCIV, HCIII and HCII) was then drawn using PPS to get a representative sample. In the situation where there was a regional referral hospital among the selected districts, this was automatically included in the sub-sample of hospitals. Likewise, VHTs were sampled across the 15 regions using PPS based on the number of villages per district. We interviewed selected facilities; targeting the health facility in charge and the selected villages; taking one VHT to interview per village.

## 4.5 Implementation mechanism

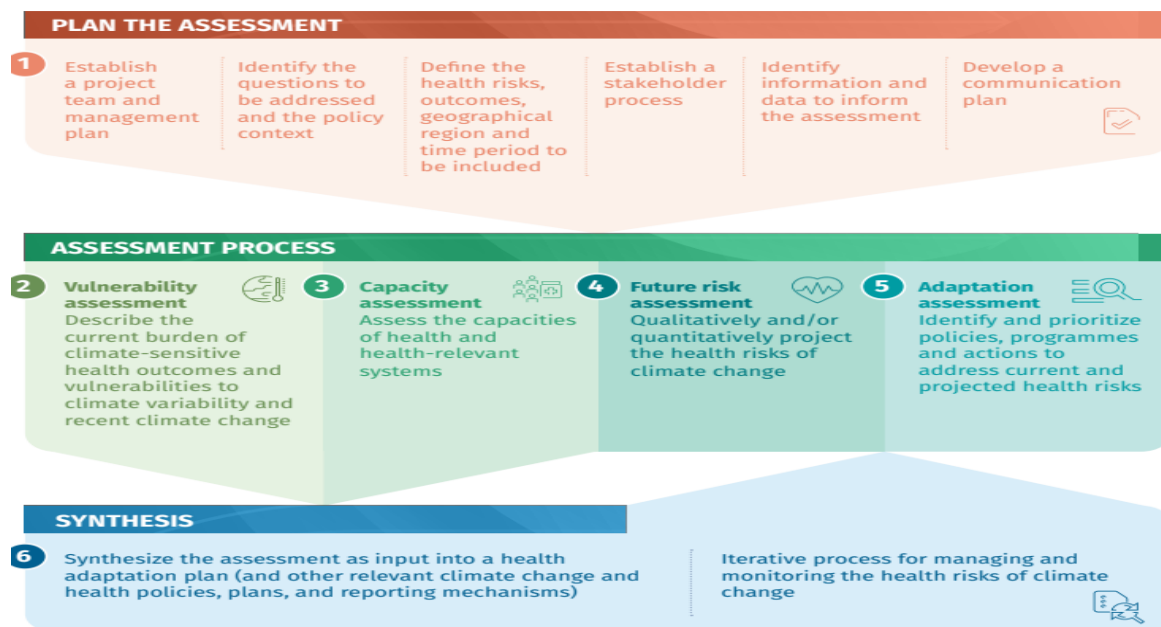
In line with the WHO (2013) guidelines for doing VAA, we proposed to conduct a series of activities. These activities included;

- a) conducting full national climate change health vulnerability
- b) conducting climate change health impacts assessment,
- c) conducting climate change adaptation assessment
- d) generating a National Health Plan for climate change.
- e) producing an iterative process for monitoring and management of health risks associated with climate change

## 4.6 Approach

The WHO (2013) methodological approach was used to design and implement a baseline vulnerability and adaptation assessment protocol. A comparison was made between desired situation and the findings of the assessment (gap analysis) and based on identified gaps, interventional plans were developed by respective sectors. Details of the approach are provided in the guide WHO (2013) *Protecting Health from Climate Change: Vulnerability and Adaptation Assessment*.

## 4.7 Study procedure



**Figure 4: Conducting a climate change and health vulnerability and adaptation assessment**

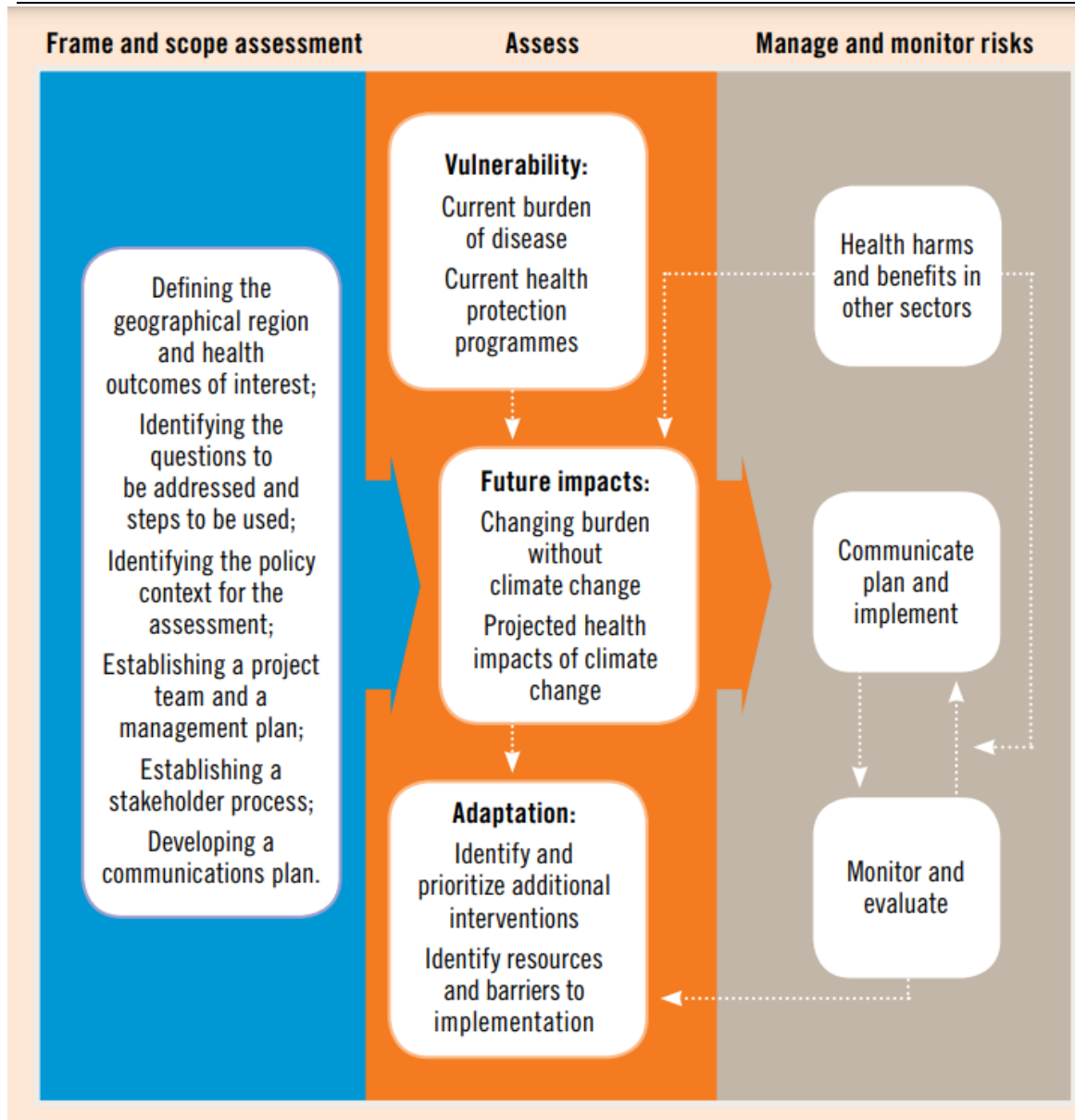
Based on the WHO 2013 Guidelines for conducting climate change Vulnerability and Adaptation

### 4.7.1 The VAA assessment

This started with

- a) Establishing a project team and management plan: MaKSPH identified the technical staff in key research areas and constituted a project team using the core competencies required to execute project assignments as the basis.

- b) Identifying health risks across geographical regions and health outcomes of interest: Data was reviewed from various sources including literature review, meteorological data, climate change preparedness and impact reports, DHIS2 and related databases, previous strategic plans and performance review reports and where there are data gaps, new data was collected to get a clear picture. In addition, key actors on climate change in the health sector and beyond were consulted on priority risks, geographical regions and health outcomes of interest. Two stakeholder engagement workshops are envisaged, i.e., one national stakeholder workshop to discuss preliminary findings from the VAA, and another national stakeholder workshop for consensus building on the H-NAP.
- c) Identifying the questions to be addressed and steps to be used: Consultative meetings that were held in “b” above were also be used to agree on questions that were addressed by the investigations. The questions were drafted putting into consideration the objectives of the assessment.
- d) Identifying the policy context for assessment: To identify the policy context for the assessment, global guiding documents on climate change were reviewed focusing on recommended policies, strategies and guiding principles for countries. Through literature search and key informant interviews with national and regional stakeholders, investigators narrowed down the policy context for assessment. Existing policy documents in the country were especially be scrutinized for guidance in prioritization.
- e) Establishing stakeholder processes and developing communication plans:
- f) The above steps evolved into the actual vulnerability and adaptation assessment involving:
- g) collecting and analysing data on the current burden of disease and health protection
- h) establishing future impacts i.e. changing burden without climate change and projected health impacts of climate change
- i) recommending priorities for climate change adaptation including identifying and selecting additional interventions and ascertaining resources and barriers to implementation.
- j) These processes produced a comprehensive VAA report that informed the development of the Health National Adaptation Plan.



**Figure 5: Vulnerability and Adaptation Assessment (WHO, 2013)**

The stages illustrated above are further described in the document *WHO (2013) Protecting health from climate change: Vulnerability and Adaptation Assessment*, pg. 14 – 52

**4.7.2 Development of a Health National Adaptation Plan.**

To develop the national Action plan, the following steps will be undertaken.

- Step One.** Setting goals and objectives of the plan. This will be undertaken in a consultative manner through key informative interviews with identified stakeholders by MaKSPH and MOH. Criteria will be developed upon which the goals and objectives will be evaluated for instance, based on the health development plan, and international commitments such as SDGs among others.
- Step Two:** Building concession on the shape and scope/priorities of the plan (what should be in and out, the role of each actor among others)



3) **Step three:** Collation of all existing evidence and new evidence, and identifying any missing evidence that needed to be brought to the discussion to inform the plan.

4) **Step Four:** Conduct a stakeholder’s workshop to discuss preliminary findings

The main objective of this workshop will be to assess and verify information collected during the landscape review, spatial maps and preliminary interviews. The workshop will be used to confirm initial thinking and directions and identify additional resources and stakeholders that should be part of the overall assessment. MakSPH will work closely with the Ministry of Health and other government partners to identify and invite relevant stakeholders to the workshop.

The key participants for this workshop will include technical staff, such as department directors in the ministries of health, environment, and agriculture (for example Ministry of Health, Ministry of Water and Environment, Ministry of Energy and Mineral Development; national climate change team; emergency and disaster risk management team; hydrometeorological agencies; and others, as well as the heads of vector-borne disease and other control programs; Civil society (community representatives, NGOs); academia/researchers; International agencies such as WHO and UN Development Programme (UNDP) country office and Development organizations and donors active in protecting population health, or in adapting to climate change.

5) **Step Five:** Estimating the costs of actions and of inaction to protect health based on different scenarios.

6) **Step Six:** Producing a monitoring and evaluation plan for monitoring climate change events and processes

7) **Step Seven:** Deliberations with stakeholders for consensus on the final plan

## **4.8 Data collection methods and tools**

### **4.8.1 Quantitative**

#### **4.8.1.1 Structured questionnaire**

This study adopted the checklists used by WHO to assess checklists to assess vulnerabilities in health care facilities in the context of climate change (World Health Organization, 2021a). A structured questionnaire was used to obtain data from each study healthcare facility. The questionnaire was used to assess the capacity to predict and respond to the impacts of climate change. In addition, it was used to evaluate the existing processes of responding to climate change-related disasters. A total of 726 health facilities were assessed for vulnerability to several climate change hazards including floods, storms, rising water levels, drought, heat waves, and cold waves

### **4.8.2 Qualitative**

#### **4.8.2.1 National level and regional level interviews**

To better understand the challenges and opportunities that climate-related shocks and stresses present for specific populations, communities, and health systems, a research team from MaKSPH conducted interviews with key informants at the ministry of health, ministerial departments and other government and non-government agencies including the humanitarian and donor organization in the area of disaster management. The humanitarian organizations to be interviewed included WHO, OXFAM, UNDP, UNHCR, International Committee of the Red Cross, UNICEF, UNFPA, World Bank and UN Women. Interviewees included technical staff, such as department directors in the ministries of health, environment, and agriculture (for example Ministry of Health, Ministry of Water and Environment, Ministry of Energy and Mineral Development; national climate change team; emergency and disaster risk management team; hydrometeorological agencies; and others, as well as the heads of vector-borne



disease and other control programs; Civil society (community representatives, NGOs); academia/researchers; International agencies such as WHO and UN Development Programme (UNDP) country office and Development organizations and donors active in protecting population health, or in adapting to climate change.

The interviews focused on 1) perceived climate change priorities of interest to the national level leaders and managers, 2) availability and functionality of a national climate change steering committee, 3) availability of policies and guidelines that a favourable environment for reducing vulnerabilities to climate change hazards and resulting outcomes, 4) mandate, the roles and responsibilities of the institutions and collaborations with the health sector, 5) systems in place to address climate change, 6) monitoring and evaluations systems, in place and their functionality as well as key indicators, 7) communication and information sharing, 8) availability of strategies and operational plans and levels of implementation of the plans, 9) capacity building plans, 10) preparedness and readiness of actors at various levels to respond, 11) resources allocation, 12) efforts in place to foster adaptation to climate change, and the extent to which global recommendations have been adopted/adapted. This qualitative assessment provided a glimpse of the extent to which current policies and programs managed the risks. At regional level, interviews were conducted with resource persons including meteorological officers, departments responsible for environmental courses in sitting Universities, directors of regional referral Hospitals, surveillance officers, members of disaster preparedness and response committees, civil society organizations and community groups with a special interest in climate change.

#### **4.8.2.2 Field/ site visits**

Field visits aided by photography enabled the research team to gain a deeper understanding of risks to health systems by visiting locations that could be considered hotspots of vulnerability (existing and projected) and provide a good representation of challenges and achievements. Field visits helped in the identification of the contextual risk factors (e.g. flood zones, location/ terrain) that increase vulnerability to climate change related events. Photography was used to document evidence of the contextual risk factors. The field visits also provided an opportunity for obtaining information about the current capacity to cope with significant weather-related events, such as flooding, drought or landslides. Conversely, the field visits helped the national risk and capacity assessment team to assess the existing gaps with regard to response to the health risks. These visits were informed by the priority climate-sensitive health risks identified, the modifications suggested to health adaptation policies and programs, and other issues raised during the workshop.

#### **4.8.2.3 Focus Group Discussions (FGDs)**

FGDs aided by an interview guide were used to explore knowledge of climate change, and associated risks, impacts, existing mitigation measures and suggestions for improving response to climate change hazards. Twenty FGDs were conducted. These were distributed equally across the study regions. Each FGD comprised of 6-10 participants and were conducted by an interviewer aided by a note taker. FGD participants included Community Health Workers (also known as VHTs) and members of health unit management committees and the discussions took place at healthcare facilities. FGD participants were purposively selected with the help of the healthcare facility in-charges and were expected to be attached to the study healthcare facility at the time of the study. We ensured that the FGDs were homogeneous in nature which allowed the reconstruction of collective ways of thinking, or even formation of groupthink phenomenon over various topics (Woźniak, 2014).

## 4.9 Study variables

### 4.9.1 Measurement of vulnerability

Vulnerability to climate change-related hazards was assessed by evaluating various components of healthcare facilities (i.e., health workforce; WASH and health care waste services; energy services; infrastructure, technologies, products and processes), and assigning scores to each component based on the items listed within them. The scores for individual items contributed to the total score for each component, and the overall vulnerability score for each hazard was calculated based on the cumulative scores of all components. Vulnerability was classified as 1) **High** if a healthcare facility was unprepared or unable to respond, 2) **Medium** if the HCF has basic or incomplete preparation and 3) **Low** if the HCF was prepared and able to respond. The table below shows the total score per component.

For each item, responses was range from High =2; Medium =1; Low =0			
Hazard	Component	Total items	Total score
FLOODS	<b>HEALTHWORK FORCE</b>		
	Human resources	9	18
	Capacity development	9	18
	Communication and awareness raising	9	18
	<b>Sub-total</b>	<b>27</b>	<b>54</b>
	<b>WASH AND HEALTHCARE WASTE</b>		
	Monitoring and assessment	8	16
	Risk management	13	26
	Health and safety regulation	7	14
	<b>Sub-total</b>		<b>56</b>
	<b>ENERGY SERVICES</b>		
	Monitoring and assessment	5	10
	Risk management	5	10
	Health and safety regulation	5	10
	<b>Sub-total</b>		<b>30</b>
		<b>INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS, PROCESSES</b>	
	Adaptation of current systems and infrastructures	35	70
	Promotion of new systems and technologies	10	20
	Sustainability of healthcare facility operations	12	24
	<b>Sub-total</b>		<b>114</b>
STORM	<b>HEALTHWORK FORCE</b>		
	Human resources	9	18
	Capacity development	10	20
	Communication and awareness raising	7	14
	<b>Sub-total</b>		<b>52</b>
	<b>WASH AND HEALTHCARE WASTE</b>		
	Monitoring and assessment	6	12
	Risk management	11	22
	Health and safety regulation	5	10
	<b>Sub-total</b>		<b>44</b>
	<b>ENERGY SERVICES</b>		
	Monitoring and assessment	5	10
	Risk management	5	10
	Health and safety regulation	5	10
	<b>Sub-total</b>		<b>30</b>
		<b>INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS, PROCESSES</b>	

	Adaptation of current systems and infrastructures	40	80
	Promotion of new systems and technologies	9	18
	Sustainability of healthcare facility operations	12	24
	<b>Sub-total</b>		<b>122</b>
<b>DROUGHT</b>	<b>HEALTHWORK FORCE</b>		
	Human resources	5	10
	Capacity development	8	16
	Communication and awareness raising	9	18
	<b>Sub-total</b>		<b>44</b>
	<b>WASH AND HEALTHCARE WASTE</b>		
	Monitoring and assessment	8	16
	Risk management	11	22
	Health and safety regulation	8	16
	<b>Sub-total</b>		<b>54</b>
	<b>ENERGY SERVICES</b>		
	Monitoring and assessment	5	10
	Risk management	5	10
	Health and safety regulation	6	12
	<b>Sub-total</b>		<b>32</b>
	<b>INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS, PROCESSES</b>		
	Adaptation of current systems and infrastructures	18	36
	Promotion of new systems and technologies	9	18
	Sustainability of healthcare facility operations	11	22
<b>Sub-total</b>		<b>76</b>	
<b>HEATWAVE</b>	<b>HEALTHWORK FORCE</b>		
	Human resources	6	12
	Capacity development	6	12
	Communication and awareness raising	6	12
	<b>Sub-total</b>		<b>36</b>
	<b>WASH AND HEALTHCARE WASTE</b>		
	Monitoring and assessment	5	10
	Risk management	9	18
	Health and safety regulation	6	12
	<b>Sub-total</b>		<b>40</b>
	<b>ENERGY SERVICES</b>		
	Monitoring and assessment	6	12
	Risk management	5	10
	Health and safety regulation	7	14
	<b>Sub-total</b>		<b>36</b>
	<b>INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS, PROCESSES</b>		
	Adaptation of current systems and infrastructures	20	40
	Promotion of new systems and technologies	9	18
	Sustainability of healthcare facility operations	7	14
<b>Sub-total</b>		<b>72</b>	

#### 4.9.2 Measurement of health workforce climate change related impacts

Impacts on human resource: The impacts of the different climate change-related hazards were classified as major, moderate or minor. Flood events that lead to 1) deaths or life-threatening injuries or illness (e.g. drowning, hypothermia and infectious diseases, such as diarrhoeal diseases, leptospirosis, cholera,

vector borne diseases), 2) health professionals not being able to arrive at or depart from the health care facility, 3) large loss of work capacity, 4) cessation of critical programmes or services, 5) significant reduction in performance capacity of health workforce, 6) effects on mental health of staff due to disaster trauma, loss of a family member, friends or patients, 7) increased demand for health services from infectious diseases (water-, food- and vector-borne diseases), animal bites (including poisonous animals), respiratory infections, zoonotic diseases, (rodent borne diseases such as, hantavirus pulmonary syndrome, leptospirosis), noncommunicable diseases, electrical shock and toxic chemicals exposure and 8) increased health workforce overload and stress were classified as major. Impacts were classified as moderate if they lead to 1) serious harm, injury or illness requiring hospitalization or medical treatment, 2) health professionals having difficulty in arriving at or departing from the health care facility, 3) a reduction in health workforce functions, 4) restrictions to provide services and programmes, 5) healthcare providers' inability to provide adequate care to patients, 6) increased work overload along with stress, and healthcare facility overcrowding. Low impact climate change-related hazards were those that lead to 1) minor injuries to health workers, not requiring immediate medical treatment, 2) difficulty in providing usual treatment and medication, 3) reduced primary services at home for communities, and 4) service delivery and programme delays.

#### **4.9.3 Measurement of WASH and healthcare waste climate change related impacts**

The impacts of different climate change-related hazards on WASH (Water, Sanitation, and Hygiene) and healthcare waste services in healthcare facilities were assessed and classified as major, moderate, or minor levels of impact. For each climate change-related hazard, such as flood events, the potential impacts on WASH and healthcare waste services were evaluated based on specific criteria. The impacts for floods were categorized as follows: Major Impact if there is 1) Disruption of wastewater and sewage systems, 2) water contamination 3) shortage of safe water, 4) unable to provide hygiene services 5) Damage to waste storage causing environmental contamination by biological and chemical hazards, 6) Lost sharps containers and hazardous waste bins, 7) Damage to emergency water sources, 8) Toilets unavailable, and 9) Disruption of wastewater and sewage systems. Moderate impacts on WASH and healthcare waste included; Temporary water supply interruption, Reduced capacity to provide safe water for drinking or cooking, Reduced capacity to provide disinfection or sterilization processes, Cross-contamination from damages to sewage system, Reduced water quality as animal faeces and sewage get washed into surface water, and reduced capacity to maintain waste collection and treatment. Minor impacts included Reduced capacity to access drinking water, Reduced capacity to use toilets, showers, etc, Reduced capacity to use laundry and dishwashing machines, Reduced capacity to provide cleaning services (floor, toilets, patient rooms, emergency rooms), Heavy sediment and pollution loads make treatment ineffective and Possible rodent infestation around rubbish bins Measurement of energy related impacts.

#### **4.9.1 Measurement of energy services climate change related impacts**

The impacts of the different climate change-related hazards on energy services was classified as major, moderate or minor. Flood events that was lead to 1) Power failure, 2) shutdown of cold storage systems, 3) interruption in providing health care services that require electricity such as dialysis, oxygen therapy, diagnosis equipment, 4) loss of vaccines, laboratorial supplies, drugs, parenteral nutrition and blood supplies, pharmaceuticals, food supply, and other essential refrigeration- dependent medical supplies, 5) damage to emergency generator or other sources of energy, 6) disruption of the fuel supply chain, 7) disruption of energy-dependent water pumping and treatment systems was classified as major impact. Moderate impacts on energy was include; 1) temporary power supply interruption, 2) difficulty in providing critical health care service deliveries (dialysis, oxygen therapy, diagnosis equipment), causing patients to be evacuated to other health facilities, 3) reduced capacity to provide services that need

electricity (laundry, dishwashing machines, etc.) and 4) reduced capacity to provide disinfection services that need electricity (autoclave, microwave, water boiler). Minor impacts was include flood events that lead to; 1) no ambient cooling, 2) loss of food or difficulty in refrigerating food and reduced capacity to follow boil water advisories.

#### **4.9.2 Measurement of infrastructure, technologies, and products climate change related impacts**

The impacts of different climate change-related hazards on infrastructures, technologies, products and processes in healthcare facilities were assessed and classified as major, moderate, or minor levels of impact. For each climate change-related hazard, such as flood events, the potential impacts were evaluated based on specific criteria. The impacts for floods are categorized as follows: Major Impact if there is, 1) flood damage or destruction of structural components (full or parts of the facility), 2) partial destruction by floods causing land erosion, 3) blocked transport systems and flooded ambulance stations, 4) damage to building access, 5) damage to machine room, 6) damage to critical equipment, 7) damage of internal and external communication and information systems, 8) loss or damage of health care facility essential supplies (medications, medical devices, drugs, laboratorial supplies, blood, pharmaceuticals, vaccines) 9) interruption of complex and emergency health care services (surgery, complex treatments, urgent care) 10) disruption of health care services delivery and operation, 11) cessation of services or prolonged disruption of services due to loss or damage, 12) breakdown of routine health care services (such as ambulatory, immunization, maternity room, pharmacy, medication for chronic diseases, dental, and other primary services), 13) interruption of diagnosis due to equipment damage, 14) contamination of medical devices, instruments and equipment, 15) interruption of supply chains, 16) long-term effect on the environment needing external assistance/interventions, 17) damage to internal transportation systems (elevators, ramps, corridors), and 18) increased immediate and long-term costs to recover from damage. Moderate impacts of floods on infrastructures, technologies, products and processes was include 1) disruption to communication and information systems and assets, 2) damage to road, disrupting access to health care facility 3) difficulty in transporting patients due to damaged or disabled transportation systems, 4) reduced capacity to deliver health care services due to damage and reduced supplies, 5) temporary suspension of service deliveries, 6) damage to paper medical record storage, 7) reduced capacity to access clinical and laboratorial supplies, 8) increased hospitalization rates requiring extra medical supplies and health workforce, 9) high demand for cleaning services in all facility buildings after flood event requiring extra personal protective equipment, 10) increased demand in costs for repairing or buying damaged or lost medical equipment and devices, needed for short-term recovery, and 11) increased costs due to necessary post flood repairs. Impact was classified as minor impacts if floods led to, 1) localized disruption of services with minor loss and damage, 2) damage or loss to health care facility documents and records, 3) no lasting effects on the external health care facility environment, 4) minimal impact on local operations and equipment that do not compromise health care service deliveries, 5) minimal impact on the supply chain, which can continue to support health care facility needs, 6) possible mold, indoor and outdoor, requiring special cleaning-up or essential personal protective equipment for cleaners and 7) increased demand for providing cleaning and disinfection supplies.

#### **4.9.3 Vulnerability assessment**

Analysis of vulnerability and impact assessment data collected from health facilities was done using WHO checklists provided in the document titled “Checklists to assess vulnerabilities in health care facilities in the context of climate change”. The checklists classified vulnerabilities of health facilities to each climate change hazard as red or high risk (unprepared; unable to respond), yellow or medium risk

(basic or incomplete preparation; and green or low level of response and low risk (prepared; able to respond). Hazards affecting vulnerable health facilities were mapped against affected sub-counties, districts and regions and DHIS2 data was analyzed to relate hazards that happened in the past to disease trends correlating to periods when each hazard happened.

HEALTH WORKFORCE		
LEVEL OF IMPACT		
MAJOR	MODERATE	MINOR
<ul style="list-style-type: none"> <li><input type="checkbox"/> Increased threat to the health workforce from infectious disease from water contamination and vector breeding sites</li> <li><input type="checkbox"/> Increased threat to the health workforce resulting in impacts to noncommunicable diseases (cardiovascular, respiratory diseases), from poor air quality and higher temperatures</li> <li><input type="checkbox"/> Drought-related illness to health workers requiring hospitalization</li> <li><input type="checkbox"/> Effects on mental health of staff leading to psychological stress</li> <li><input type="checkbox"/> Interruption of critical programmes or services availability with possible relocation to another facility (municipality or capital)</li> <li><input type="checkbox"/> Reduced performance capacity of health workforce</li> <li><input type="checkbox"/> Increased demand for health care due to drought-related infectious diseases (water-, food- and vector-borne diseases), cardiovascular, kidney and respiratory diseases, cancer (skin, bladder, lung), malnutrition and mental health issues</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Increased threat to the health workforce resulting in impacts related to high temperature, low air humidity and less water ingestion</li> <li><input type="checkbox"/> Possible illness to health workers requiring medical treatment</li> <li><input type="checkbox"/> Reduction of health workforce functions</li> <li><input type="checkbox"/> Reduced capacity of the health workforce to deliver health care due to lack of conditions to perform hygiene procedures and services (personal and work-related hygiene)</li> <li><input type="checkbox"/> Reduced productivity</li> <li><input type="checkbox"/> Possible increased risk of dustborne diseases (valley fever, meningococcal meningitis), leading to hospital admissions</li> <li><input type="checkbox"/> Increased risk of mortality associated with drought impacts (cardiopulmonary and respiratory diseases), and increasing demand for services from staff</li> </ul>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Drought-related illness among health workers not requiring immediate medical treatment</li> <li><input type="checkbox"/> Service delivery and programme delays</li> <li><input type="checkbox"/> Restrictions to provide health care services and programmes</li> <li><input type="checkbox"/> Reduced capacity for health workforce to perform hygiene procedures compromising safety</li> <li><input type="checkbox"/> Possible reduced capacity and health workforce performance in case of outbreaks</li> </ul>

Figure 6: Checklist for impact assessment for drought

#### 4.9.4 Health risk and impact assessments

The HRA included the identification of potential climate hazards linked to specific processes within the health sector, the identification and appraisal of existing control measures, and a semi-quantitative risk assessment. During the assessment, we based on self-reports and the literature to describe how the different climate hazards impacted the health sector. Once the potential impact pathways on the health sector were identified, literature providing evidence for the direction and magnitude of the potential health impacts was reviewed and reference added. At this point, the **Impact Level (IL)** (ranging from insignificant to catastrophic) and the **Likelihood or Frequency (LoF)** of the hazardous event occurring was determined for each of the identified climate hazards. In order to determine the likelihood or frequency of occurrence, the mitigation potential (i.e. the combination of technical effectiveness and acceptability of the proposed control measure) were considered. The combination of the likelihood or frequency of occurrence and the level of impact results were used to calculate the Risk score (RS). (RS =



IL x LoF; low risk: <6; moderate risk: 7–12; high risk: 13–32; and very high risk: ≥32). The HRA was based on the modified Delphi approach (Rowe and Wright, 1999), which was also used by Winkler et al. (2015) to undertake a health risk assessment of waste reuse business models proposed for Kampala. The Delphi technique is recommended for use in judgment and forecasting situations in which pure model-based statistical methods are not practicable. During the assessment, the research assistants guided the healthcare managers (one per healthcare facility) to make individual/ independent assessments to facilitate agreement on the final ranking.

IMPACT LEVEL (I)		
Category	Score	Description
Insignificant	1	No health consequences anticipated and no impact on normal operations
Minor impact	2	Impact not resulting in any perceivable or measurable health effect; easily manageable disruptions to operation; no rise in complaints anticipated
Moderate impact	4	Impact resulting in minor disability (e.g. fever, headache, diarrhoea, small injuries) or unease (e.g. noise, malodours); may lead to complaints or minor community annoyance; operations may be disrupted for short duration
Major impact	8	Impact resulting in moderate disability (e.g. acute intoxication, malaria, injury) or minor disability of long duration; may lead to legal complaints and major community concerns; operations could be significantly affected by the impact
Catastrophic impact	16	Impact resulting in severe disability, chronic disease or even loss of life; major investigation by regulator with prosecution are likely; can lead to complete failure of system
LIKELIHOOD or FREQUENCY (LoF)		
Category	Score	Description
Very unlikely	1	In consideration of the technical effectiveness and local acceptability of proposed control measures, it is very unlikely that exposure to the health hazard will occur (odds: <5%). Frequency: once every 5 years
Unlikely	2	In consideration of the technical effectiveness and local acceptability of proposed control measures, it is unlikely that exposure to the health hazard will occur (odds: 5–40%). Frequency: once a year
Possible	3	In consideration of the technical effectiveness and local acceptability of proposed control measures, it is possible that exposure to the health hazard will occur (odds: 41-60%). Frequency: once a month
Likely	4	In consideration of the technical effectiveness and local acceptability of proposed control measures, it is likely that exposure to the health hazard will occur (odds: 61-95%). Frequency: once a week
Almost certain	5	In consideration of the technical effectiveness and local acceptability of proposed control measures, it is almost certain that exposure to the health hazard will occur (odds: >95%). Frequency: once a day

Figure 7: Definition of impact level, and likelihood for the HRA

Risk score: (RS) = (IL) x (LoF) Very high risk >32 High risk 13–32 Moderate risk 7–12 Low risk <6		IMPACT LEVEL (IL)				
		Insignificant (1)	Minor impact (2)	Moderate impact (4)	Major impact (8)	Catastrophic impact (16)
LIKELIHOOD or FREQUENCY (LoF)	Very unlikely (1)	1	2	4	8	16
	Unlikely (2)	2	4	8	16	32
	Possible (3)	3	6	12	24	48
	Likely (4)	4	8	16	32	64
	Almost certain (5)	5	10	20	40	80

Figure 8: Semi-quantitative assessment matrix

### Health Impact assessment (HIA)

Impact assessment was done using hazard by hazard checklists from WHO. Dimensions for analysis included Impacts on, the health workforce, water, sanitation and health care waste management, energy and infrastructure, technologies, products and processes. Impacts were classified by facility as major, moderate or minor. Facility data were aggregated to establish the proportions of health facilities in each category of impact. Data were also be disaggregated by region, level of health facility and by ownership (public versus private). Figure 4 is an example of a checklist for impact assessment for drought. The impact assessment aimed at establishing the potential impacts of climate hazards on the health sector at community level under the assumption that the control measures proposed by the HRA were deployed. This included consideration of both potential health benefits (reduced healthcare provider absence) and adverse health impacts (the destruction of healthcare facility infrastructure). A literature analysis was used to provide evidence for the direction and magnitude of the potential health impacts. During the impact assessment, a semi-quantitative risk assessment was undertaken to characterise the nature (positive or negative) and magnitude (minor to major) of the climate hazards. For this purpose, the **Impact Level (IL)** (ranging from major negative impact to major positive impact), the LoF of the impact to occur and the estimated **number of people affected (PA)** was determined for each of the identified potential impact on the health sector. **Assumption:** The total number of people affected was assumed to be equivalent to the catchment population of the healthcare facility. The combination of the IL with the LoF and the estimated number of people affected resulted in the magnitude of the impact on the health sector (Magnitude = IL x LoF x PA; low positive impact: 0–4; moderate positive impact: 10–4,499; high positive impact: ≥4,500; low negative impact: 0– - 4; moderate negative impact: -10– -4,499; and high negative impact: ≤-4,500. The rating for the HIA was based on the modified Delphi approach used by Rowe and Wright (1999).



IMPACT LEVEL (IL)		
Category	Score	Description
Major positive impact	1	Impact reduces incidence of diseases or injury, resulting in severe disability, chronic disease or even loss of life
Moderate positive impact	0.5	Impact reduces incidence of diseases or injury, resulting in moderate disability that may require hospitalisation (e.g. acute intoxication, malaria, injury) or minor disability of long duration
Minor positive impact	0.1	Impact reduces incidence of disease or injury, resulting in minor disability of short duration (e.g. acute diarrhoea, acute respiratory infection) that does not require hospitalization
Insignificant	0	Impact not resulting in any perceivable or measurable health effect
Minor negative impact	-0.1	Impact increases incidence of diseases or injury, resulting in minor disability of short duration (e.g. acute diarrhoea, acute respiratory infection) that does not require hospitalization
Moderate negative impact	-0.5	Impact increases incidence of diseases or injury, resulting in moderate disability that may require hospitalisation (e.g. acute intoxication, malaria, injury) or minor disability of long duration
Major negative impact	-1	Impact increases incidence of diseases or injury, resulting in severe disability, chronic disease or even loss of life
PEOPLE AFFECTED (PA)		
Category	Score	Description
Individual cases	1	A few individuals are concerned by the impact (e.g. road traffic accidents)
Specific population	100	A relatively small specific population group is concerned by the impact (e.g. people living in proximity to an operation)
Medium population group	1,000	A medium size population group is concerned by the impact (e.g. people living downstream a river that may be contaminated by an operation)
Large population group	10,000	A large population group is concerned by the impact (e.g. consumers of a widely used product of an operation)
Major population group	100,000	A major population group is concerned by the impact (e.g. a small city that will gain access to safe drinking water)
LIKELIHOOD or FREQUENCY (LoF)		
Category	Score	Description
Very unlikely	0.05	It is very unlikely that the impact will occur (odds: <5%). Frequency: once every 5 years
Unlikely	0.3	It is unlikely that the impact will occur (odds: 5–40%). Frequency: once a year
Possible	0.5	It is possible that the impact will occur (odds: 41-60%). Frequency: once a month
Likely	0.7	It is likely that the impact will occur (odds: 61-95%). Frequency: once a week
Almost certain	0.95	It is almost certain that the impact will occur (odds: >95%). Frequency: once a day

Figure 9: Definition of impact level and likelihood for the HIA (adapted from Winkler et al. (2010))

		PEOPLE AFFECTED (PA)					
		Individual cases	Specific population	Medium population group	Large population group	Major population	
		1	100	1,000	10,000	100,000	
IMPACT LEVEL (IL)	Major positive impact	1	0.05	30	500	7,000	95,000
	Moderate positive impact	0.5	0.03	15	250	3,500	47,500
	Minor positive impact	0.1	0.01	3	50	700	9,500
	Insignificant	0	0.00	0.00	0.00	0.00	0.00
	Minor negative impact	-0.1	-0.01	-3	-50	-700	-9,500
	Moderate negative impact	-0.5	-0.03	-15	-250	-3,500	-47,500
	Major negative impact	-1	-0.05	-30	-500	-7,000	-95,000
		0.05	0.3	0.5	0.7	0.95	
		Very unlikely	Unlikely	Possible	Likely	Almost certain	
		LIKELIHOOD or FREQUENCY (LoF)					

Figure 10: Impact assessment matrix [adapted from Winkler et al. (2010)]

#### 4.10 Eligibility

All healthcare facilities in the study districts (as determined by the sample estimates) were studied. For the qualitative component, we conducted interviews with only those individuals who were involved in either climate change related work or the delivery of healthcare services. Only VHTs at the reference healthcare facilities who had been residents for at least three years and consented to participate were included in the sample. Three years were considered adequate for observing weather patterns in the areas where the healthcare facilities were located.

Healthcare facilities whose managers declined to participate were excluded. VHTs who are sick or mentally unsound were also excluded from our sample.

#### 4.11 Data management and analysis plan

A digital questionnaire was preloaded on mobile devices such as phones and tablets, and data collected using the KoboCollect mobile application. The questionnaire was designed with appropriate skip patterns and validation criteria to enable accurate data capture. During data collection, research assistants were required to upload the data daily to the cloud server for quality control purposes. Upon submission, data was downloaded into the Microsoft Excel program for cleaning. Data cleaning involved the removal of unwanted or duplicate observations from the dataset (de-duplication), fixing structural errors such as typos, or incorrect capitalization, filtering unwanted outliers, handle missing data, and validation. Common inconsistencies were communicated to field teams through their supervisors to ensure that caution was taken to avoid future errors. To avoid loss of data, datasets were backed up at the end of each data collection day on a central computer and another copy on a flash drive was kept with the data supervisor. After all, data had been collected and entered, it was transferred to Stata for analysis. STATA version 16.0 was used for analyses. Descriptive statistics such as, means and standard deviations, and medians, and interquartile range were used to summarize continuous data while frequencies and proportions were used to summarize data.

##### 4.11.1 Qualitative data

All qualitative interviews were conducted in English. All the interviews were tape-recorded to reduce recall bias by the researcher. The audio files were then be transcribed verbatim. All transcripts were

categorized and coded using the ATLAS ti software. Thereafter, themes were developed and summarized using a data master sheet. The information generated from the different KIs was triangulated to give more meaning to the study findings. Thematic content analysis was used to analyse the transcripts. To ensure the reliability of the coding frame, intercoder or interrater assessments was undertaken during the analysis. Intercoder reliability stems from interrelated coding and this looks at the consistency, reliability, transparency and validity of relevant codes, themes, concepts and words. To ensure reliability of the coding process, two qualitative experts independently developed a standardised codebook with its definitions, which was afterwards discussed to facilitate deliberations on the codes, emerging themes and subthemes (Clodhna O'Connor, 2020, Daniel Tunner, 2022). This process facilitated robustness of the coding frame and its application. Intercoder reliability was calculated using a percent agreement between coefficient indices, which is a common statistical approach in measuring codes and themes (Clodhna O'Connor, 2020). These percentage agreements, including disagreements, were calculated manually to allow for multiple coding of datasets. Intercoder reliability is useful for thematic and content analysis in qualitative methodology, not only reflections and interpretations but also for trustworthiness and quantifying diverse empirical data. Moreover, this data analysis tool is also systematic to avoid duplications, identify limitations and define conceptual relations. Intercoder reliability is also useful for other researchers to replicate the study and consider other interpretations and knowledge fields (Niek Mouter, 2012).

#### 4.12 Quality assurance and quality control procedures

Research assistants (RAs) were recruited from a well-established network of RAs that have participated in successful research projects. All RAs were trained on the research protocol and ethical issues surrounding the study to ensure quality data collection. The consultant only recruited RAs who were well conversant with English and a local language likely to be used by some study participants. The researcher, together with the RAs conducted a pre-test of the quantitative data collection tools. This was aimed at enabling the RAs to familiarize themselves with the data collection tools and also correct any errors if discovered. The pre-test activity was conducted in a district that was not be included in the final sample. In addition, the researcher instituted a data quality control system which included review and editing of data from the field to ensure completeness. The quality control (QC) team conducted a sample of re-interviews to ensure consistency and accuracy of the data. To ensure quality quantitative data entry, the data entry screen was designed with skips and restrictions to ensure quality data entry. Double data entry was also be carried out to further minimize possible errors. On the other hand, the consultant ensured that qualitative data was collected by experienced qualitative researchers with sound competencies in probing and eliciting information from the study participants.

#### 4.13 Methods matrix

Objective	Data collection method and tools	Sample size	Respondents	Analysis plan
<p>To describe and quantify climate-change related risks (present and future risks) on the health sector in Uganda</p> <p>To describe and quantify the potential impacts (present and future) of climate change on the health sector in Uganda</p>	Structured questionnaire preloaded on KoboCollect mobile data collection application. Adopted the checklists used by WHO to assess vulnerabilities in healthcare facilities in the context of climate change. We was focus on the different components of the healthcare facility including; the health workforce, WASH and healthcare waste, energy, and infrastructure, technologies, products, and processes	726 HCFs	Healthcare facility managers	Descriptive statistics (frequencies and proportions) was performed to summarize data
	Field visits	726 HCFs		
	Hazard Identification template	726 HCFs		
	Focus group discussions using an FGD guide	20	VHTs and members of health unit management committees	Thematic content analysis was used to analyse the transcripts. ATLAS ti 23.0 was aid the analysis.
To describe current risks of climate-sensitive diseases and health outcomes in Uganda	Data abstraction form	43 districts/726 healthcare facilities	Source: HMIS data	Descriptive statistics
To identify policies, programmes, and adaptation mechanisms that should be prioritized to effectively mitigate, adapt, and respond to climate change-related risks and impacts in the health sector in Uganda	Key informant interviews (KIs) using a KI interview guide.	30 KIIs  36	<ul style="list-style-type: none"> <li>Officials from relevant government ministries and departments (MOH, MWE, MAAIF, national climate change team; emergency and disaster risk management team; hydrometeorological agencies; and others, as well as the heads of vector-borne disease and other</li> </ul>	Thematic content analysis was used to analyse the transcripts. ATLAS ti 23.0 was aid the analysis.

			<p>control programs)</p> <ul style="list-style-type: none"> <li>• Technical staff of non-government agencies and humanitarian organizations</li> <li>• Academia/researchers</li> <li>• Civil society (community representatives)</li> </ul>	
<p>To explore how monitoring and evaluation of mitigation, adaptation, and response measures to climate-change-related risks and impacts on the health sector in Uganda should be done</p>	<p>Key informant interviews (KIIs) using a KI interview guide.</p>	<p>30 KIIs</p>	<ul style="list-style-type: none"> <li>• Officials from relevant government ministries and departments (MOH, MWE, MAAIF, national climate change team; emergency and disaster risk management team; hydrometeorological agencies; and others, heads of vector-borne disease and other control programs)</li> <li>• Technical staff of NGOs and humanitarian organizations</li> <li>• Academia/researchers</li> <li>• Civil society (community representatives)</li> </ul>	<p>Thematic content analysis was used to analyse the transcripts. ATLAS ti 23.0 was aid the analysis.</p>

## 4.14 Validation and dissemination of study findings

### 4.14.1.1 *Hold a vision-building workshop to develop recommendations and prepare a summary report*

The vision-building workshop will provide an avenue for discussing the climate-associated health impacts and opportunities for mitigating the impact of climate change. During the same workshop, the key stakeholders will develop recommendations and prepare a summary report. The report will provide a comprehensive account of the latest in climate and health information in the country. It will detail impacts, opportunities, a systems assessment, and recommendations for actions and investments. In addition, it will incorporate factors such as the likelihood and timing of the threat, competing demands, windows of opportunity based on current and planned projects and investments, and stakeholder concerns and preferences.

### 4.14.1.2 *Present and validate report findings and recommendations*

The report is anticipated to provide a comprehensive overview of the latest climate and health information in the country, summarizing risks and opportunities, assessing systems, and recommending actions and investments. This stage will bring together participants in the launch and vision-building workshops with decision-makers and other stakeholders to present the findings of the diagnostic and discuss priority recommendations. The validation workshop is expected to result in agreed priorities and next steps to increase resilience and low-carbon growth in the context of the capacity of health systems and barriers and constraints to investments.

## 4.15 Community engagement plan

This study was conceptualized after consultation with the Ministry of Health, which has the mandate to manage the health sector. Aside, other key players such as the Ministry of Water and Environment, the private sector, and the communities served, especially by healthcare facilities in the high-risk zones for climate shocks were consulted. During the implementation of the study, the village health team and members of the health unit management committees were involved in the mobilization of study participants in order to increase their participation in the study. Furthermore, VHTs with a minimum of a diploma were involved in the data collection process thus creating a sense of ownership of the study findings. Also, VHTs, healthcare facility managers, and the district health managers were involved in the validation of study findings, and review of policy briefs to ensure that all their problems are fronted to the policymakers.

## 4.16 Ethical considerations

Ethical approval was obtained from Makerere University School of Public Health Research Ethics Committee (HDREC) and the Uganda National Council for Science and Technology (UNCST). Permission to interview the district managers and other stakeholders was obtained from the relevant employers. Informed written consent was obtained from all study participants.

## 5 RESULTS

### 5.1 Characteristics of the study Healthcare Facilities (HCFs)

#### 5.1.1 Level of Healthcare facility

About 45.8% were health centre IIIs, 40.9% were health centre IIs, 8.7% were health centre IVs, 4.3% were general hospitals and only 0.3% were regional referral hospitals (Fig 2)

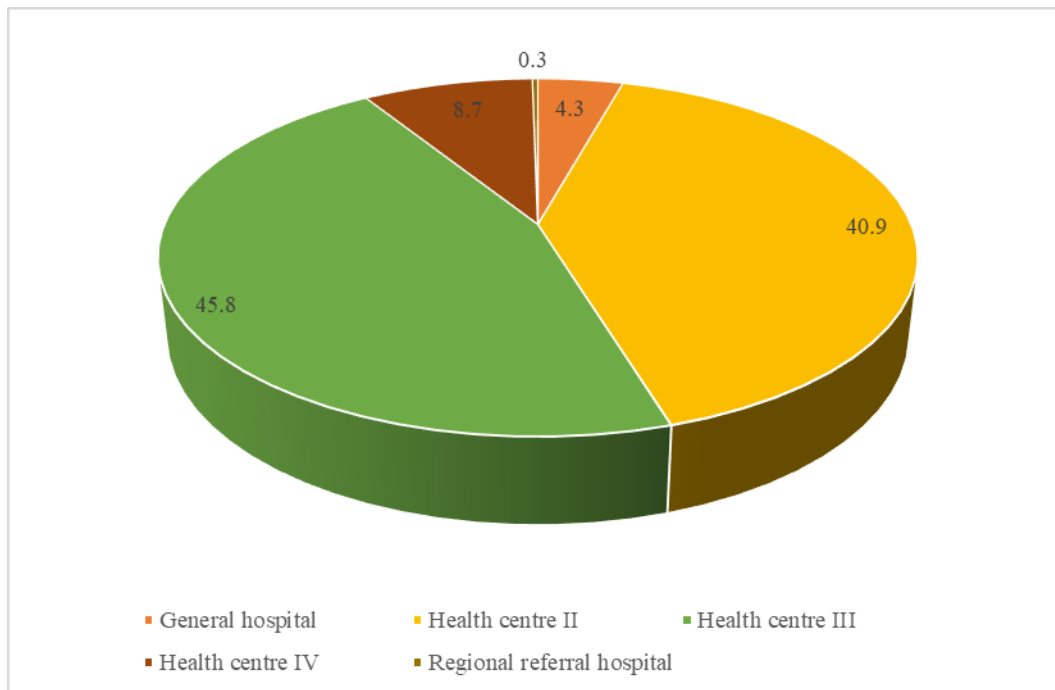
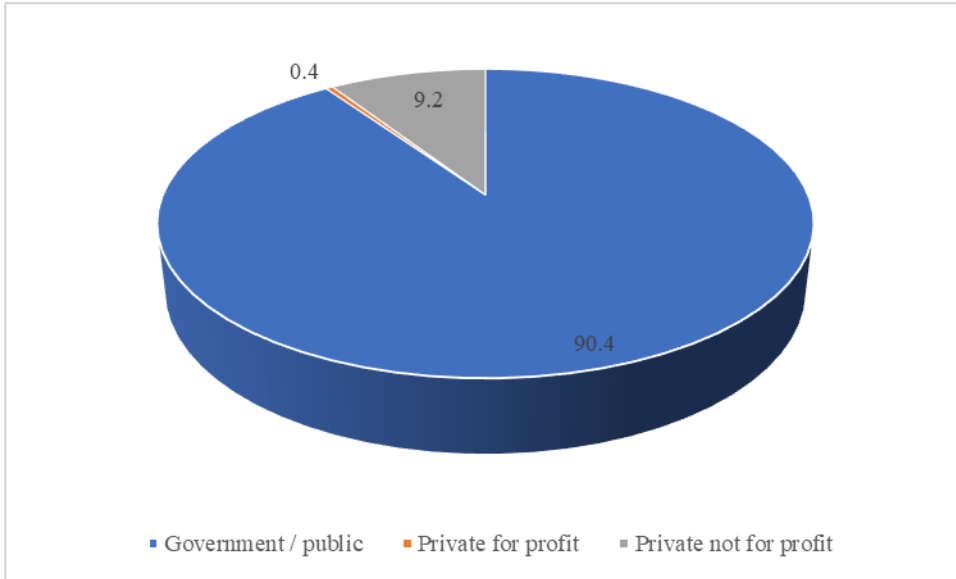


Figure 11: Level of healthcare facility

#### 5.1.2 Ownership of the facility

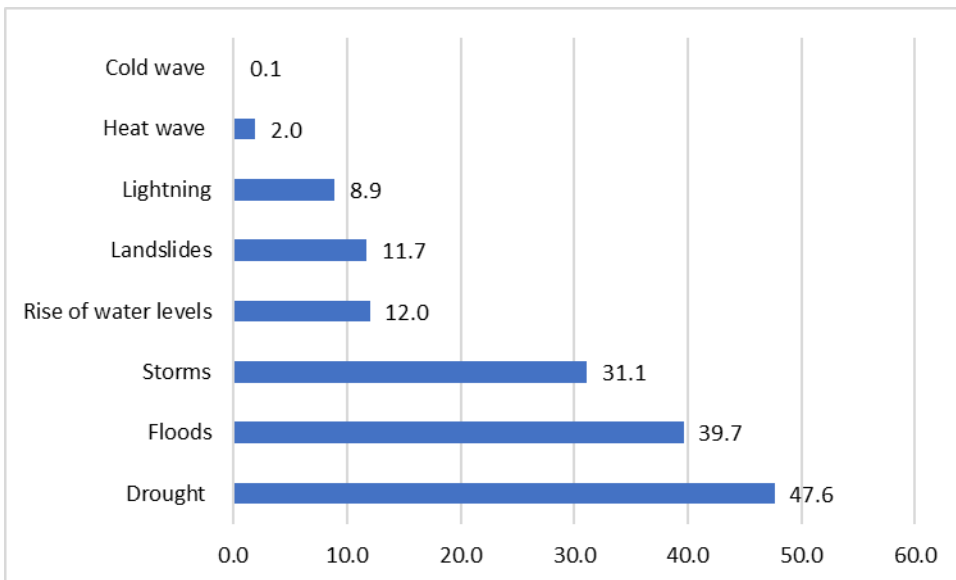
Almost all, 90.4% of the healthcare facilities were owned by the government, 9.2% were private not for profit and 0.4% were private for profit (Fig 3)



**Figure 12: Ownership of the facility**

**5.2 Exposure to Climate Change related hazards in Healthcare Facilities in Uganda**

Nearly half, 47.6% of the HCFs were exposed to drought, 39.7% were exposed to floods, 31.1% were exposed to storms, 12.0% were exposed to water level rise, 11.7% were exposed to landslides, 8.9% were exposed to lightening, 2.0% were exposed to heat wave and 0.1% were exposed to a cold wave. (Figure 1).



**Figure 13: Hazards or exposure present at the healthcare facility**



### 5.3 Vulnerability to drought

#### 5.3.1 Vulnerability of the health workforce to drought

More than half (58.1%) of the HCFs were classified as highly vulnerable due to non-participation of the health workforce in adaptation plans and policies. A significant proportion (60.4%) of the HCFs did not provide their workforce with sunscreen, hat, and plenty of drinking water for carrying out outdoor work, demonstrating high vulnerability to drought. Nearly half (49.3%) showed high vulnerability, lacking provisions for drinking for their workforce. Over half (56.0%) had high vulnerability since their HCWs lacked training to identify health conditions worsened by drought. A significant portion (61.6%) exhibited high vulnerability, because their staff lacked knowledge and resources for emergency preparedness. (Table 2).

**Table 1: Vulnerability of the health workforce to drought among healthcare facilities in Uganda**

WORKFORCE	Vulnerability level		
	High	Medium	Low
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
<b>The health workforce</b>			
<b>Human Resource</b>			
Participates in drought, water, and climate change adaptation plans and policies	198 (58.1)	98 (28.7)	45 (13.2)
Equipped with a plan to identify minimum needs for health workers to ensure operational	178 (52.2)	109 (32.0)	54 (15.8)
Equipped with a plan for scheduling outdoor work for cooler times of the day and reducing	201 (58.9)	90 (26.4)	50 (14.7)
Provided with sunscreen, hat, and plenty of drinking water for staff carrying out outdoor	206 (60.4)	93 (27.3)	42 (12.3)
Provided with drinking water and stimulated regularly for appropriate water intake	168 (49.3)	113 (33.1)	60 (17.6)
<b>Capacity Development</b>			
Trained to identify health conditions made worse by drought	191 (56.0)	91 (26.7)	59 (17.3)
Equipped with knowledge, experience, training, and resources to manage emergency preparedness plans and response measures to reduce drought risks and impacts	210 (61.6)	94 (27.6)	37 (10.9)
Trained in multi-hazard assessments	243 (71.3)	72 (21.1)	26 (7.6)
Trained to manage hazardous chemicals	192 (56.3)	97 (28.4)	52 (15.2)
Trained on how to treat stored water for human consumption	114 (33.4)	116 (34.0)	111 (32.6)
Trained or prepared to quantify drought-sensitive diseases considering the special drought patterns	187 (54.8)	112 (32.8)	42 (12.3)
Able to convey protective strategies for public health emergencies, in case of high-temperature effects, and water and food contamination to patients, staff, and communities	175 (51.3)	123 (36.1)	43 (12.6)
Trained to an appropriate standard to maintain the correct level of safety of electrical power supply, in both routine and emergency/disaster situations	217 (63.6)	87 (25.5)	37 (10.9)
<b>Communication and awareness-raising</b>			
Aware of the different impacts of drought on human health	73 (21.4)	162 (47.5)	106 (31.1)
Informed of air pollution advisories and warnings	144 (42.2)	131(38.4)	66 (19.4)
Prepared with clear messaging about water and food safety	137 (40.2)	148 (43.4)	56 (16.4)

during and after a drought			
Informed on how to use and follow a surveillance system to track health outcomes	125 (36.7)	124 (36.4)	92 (27.0)
Following guidance on risk assessments to assist in the identification, planning, monitoring and evaluation of risk reduction and adaptation strategies associated with direct and indirect impacts of drought associated with direct and indirect impacts of drought	185 (54.3)	123 (36.1)	33 (9.7)
Regularly participating in community disaster planning committees to: improve knowledge on how to reduce risks, as well as be prepared and respond to direct and indirect impacts of drought hazard through adaptation measures	204 (59.8)	101 (29.6)	36 (10.6)
Following an educational strategy to improve knowledge in the community on the social and economic aspects of drought impacts, and how to reduce health risks and impacts	178 (52.2)	119(34.9)	44 (12.9)
Provided with an effective emergency risk communication plan	228 (66.9)	75 (22.0)	38 (11.1)
Aware of keeping the facility environment cool (e.g., Keep windows that are exposed to the sun closed during the day and open at night when the temperature has dropped; close curtains that receive morning or afternoon sun; turn off nonessential lights and electrical equipment that generate heat; sleeping in a cooler room or use electric fans for some relief if temperatures are below 35°C)	97 (28.4)	123 (36.1)	121 (35.5)
<b>Monitoring and assessment</b>			
Verifies water safety conditions, which include updated risk assessments to map water resources and water supplies for the facility	126 (37.0)	132 (38.7)	83 (24.3)
Has an updated plan to map risks to the water and sanitation infrastructure to identify where services could be disrupted from water scarcity	163 (47.8)	112 (32.8)	66 (19.4)
Regularly inspects the rainwater harvesting system for damage and contamination	117 (34.3)	119 (34.9)	105 (30.8)
Has an evaluation system to monitor water drips, leaks and unnecessary flows in bathrooms, laundry facilities, kitchen, etc.; and perform prompt repairs to avoid losses avoid loss	129 (37.8)	112 (32.8)	100 (29.3)
Verifies safety conditions and proper functioning of all elements of the water distribution system in preparation for drought valves, pipes and connections, and water disinfection)	132 (38.7)	127 (37.2)	82 (24.0)
Has information on the water system installation that ensures lower risk of being contaminated	121 (35.5)	138 (40.5)	82 (24.0)
Has a water quality monitoring plan for human consumption	162 (47.5)	108 (31.7)	71 (20.8)
Has a monitoring plan for potable water	178 (52.2)	110 (32.3)	53 (15.5)

### 5.3.2 Vulnerability of the WASH component to drought

Nearly half (44.6%) of healthcare facilities demonstrated high vulnerability due to the absence of a water management plan to identify water contamination. More than half (53.7%) showed high vulnerability, lacking a contingency plan for monitoring and reducing contaminant concentrations. Over one-third (36.7%) demonstrated high vulnerability, storing chemicals without protection from excessive heat. More than half (54.3%) were highly vulnerable, lacking onsite water purification equipment. A significant majority (61.0%) of healthcare facilities exhibited high vulnerability, lacking a long-term drought management plan. Over 61.6% showed high vulnerability, lacking established procedures for water procurement, transport, and safe storage. Nearly 60% (59.2%) showed high

vulnerability, lacking a contingency plan for the delivery of safe water during drought and emergencies. (Table 3).

**Table 2: Vulnerability of the WASH component to drought among healthcare facilities in Uganda**

WATER, SANITATION, AND HEALTHCARE WASTE;	Vulnerability level		
	High	Medium	Low
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
<b>The health care facility</b>			
<b>Risk Management</b>			
Has a water management plan to identify water contamination	152 (44.6)	125 (36.7)	64 (18.8)
Has a contingency plan for monitoring and reducing contaminant concentrations in the facility water system supplies	183 (53.7)	105 (30.8)	53 (15.5)
Has a water management system to avoid or reduce vector breeding sites	139 (40.8)	107 (31.4)	95 (27.9)
Has anti-mosquito breeding measures to avoid vector-borne diseases	98 (28.7)	123 (36.1)	120 (35.2)
Has a rainwater catchment system with safe water storage	107 (31.4)	105(30.8)	129 (37.8)
Has water storage tanks with appropriate covers to prevent contamination	94 (27.6)	105 (30.8)	142 (41.6)
Has water storage that is protected from direct sunlight	142 (41.6)	86 (25.2)	113 (33.1)
Has chemicals stored away from excessive heat	125 (36.7)	86 (25.2)	130 (38.1)
Provides sufficient drinking water to staff, patients, and visitors	101 (29.6)	132 (38.7)	108 (31.7)
Has onsite water purification equipment to provide safe drinking water	185 (54.3)	78 (22.9)	78 (22.9)
Has a surveillance system for diseases related to water quality and sanitation	100 (29.3)	132 (38.7)	109 (32.0)
<b>Health and Safety Regulations</b>			
Has a long-term drought management plan, including the identification of available alternative safe water sources	208 (61.0)	93 (27.3)	40 (11.7)
Has established procedures for procuring, transporting, and safely storing water	210 (61.6)	88 (25.8)	43 (12.6)
Works with water utility agencies to prevent suspension of services	188 (55.1)	89 (26.1)	64 (18.8)
Has a water safety plan in place, in case of water contamination	188 (55.1)	107 (31.4)	46 (13.5)
Has a plan to conserve and manage water to reduce water usage, specifically in case of prolonged drought	156 (45.7)	118 (34.6)	67 (19.6)
Has a cross-sectoral water management plan to conserve and protect local or alternative water sources	186 (54.5)	108 (31.7)	47 (13.8)
Has a mechanism or regulation to carry out sanitary inspections of alternative forms of water supply (e.g. Wells, dams, cisterns, fountains and water trucks), and when necessary, establish a temporary ban on use, until improvements are made to sanitary conditions	149 (43.7)	106 (31.1)	86 (25.2)
Has a contingency plan to ensure effective and timely	202 (59.2)	89 (26.1)	50 (14.7)

delivery of safe water during drought and emergencies over the short- and long-term			
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### 5.3.3 Vulnerability of the energy component to drought

Nearly half (44.3%) of HCFs were classified as highly vulnerable due to irregular assessments of their energy system's ability to cope with drought conditions. A significant majority (73.0%) demonstrated high vulnerability, lacking adequate emergency backup generator coverage for critical service areas during and after events. Similarly, a considerable majority (72.7%) exhibited high vulnerability by not regularly checking their emergency backup generators. Over 57.8% were vulnerable because they did not have an emergency plan for power outages in the short- and long-term (Table 4).

**Table 3: Vulnerability of the energy component to drought**

ENERGY	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Monitoring and Assessment			
The health care facility	High	Medium	Low
Regularly assesses its energy system to ensure it can cope with drought conditions	151 (44.3)	119 (34.9)	71 (20.8)
HCF has an emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during and after the event	249 (73.0)	37 (10.9)	55 (16.1)
Periodically checks the emergency backup generator (including fuel, where relevant)	248 (72.7)	37 (10.9)	56 (16.4)
Assesses regularly heating, ventilation and air conditioning systems	185 (54.3)	90 (26.4)	66 (19.4)
Assesses whether renewable energy (if available, such as solar) is sufficient to power critical equipment	121 (35.5)	122 (35.8)	98 (28.7)
Risk Management			
Has appliance thermometers in the refrigerator and freezer to determine if food, vaccines and other essential refrigeration-dependent medical supplies are safe	55 (16.1)	53 (15.5)	233 (68.3)
Has adequate daylight to ensure proper visibility during a power outage	62 (18.2)	66 (19.4)	213 (62.5)
Works with energy utility agencies to prevent suspension of electricity services	169 (49.6)	89 (26.1)	83 (24.3)
Has power-operated doors that can be opened manually to permit exit in case of power failure	205 (60.1)	34 (10.0)	102 (29.9)
Has a clear guidance on heat-risk management for the maintenance of critical infrastructure	211 (61.9)	82 (24.0)	48 (14.1)
Health and safety regulations			
Has an emergency plan for power outages in the short- and long-term	197 (57.8)	87 (25.5)	57 (16.7)
Has a plan or regulation to determine ways to reduce overall energy use	175 (51.3)	111 (32.6)	55 (16.1)
Works with energy utility agencies to prevent suspension of electricity services	185 (54.3)	82 (24.0)	74 (31.7)
Has an emergency plan to ensure availability of adequate lighting, communication and information systems, and refrigeration and sterilization equipment during a drought	139 (40.8)	128 (37.5)	74 (21.7)
Has a plan to evacuate patients to a cooling station if the	245 (71.8)	59 (17.3)	37 (10.9)

facility has lost power and has no other source of energy			
Has a plan to ensure that the walls and roofs of the facility are insulated	194 (56.9)	82 (24.0)	65 (19.1)

### 5.3.4 Vulnerability of infrastructure, technologies, production and processes to drought

A significant majority (75.1%) demonstrated high vulnerability since the health workforce was not prepared and trained for periods of extreme drought. About 70.4% of the HCFs were highly vulnerable since they were unable to perform assessments of drought conditions and implement preventive actions. More than half, 57.8% of the HCFs were highly vulnerable since they did not assess the performance and vulnerabilities of each critical part of the facility (structural and nonstructural elements) that can be affected by hot temperatures. Around 71% (71.0%) showed high vulnerability, lacking a monitoring and early warning system for drought impacts. Over 72.1% showed high vulnerability, lacking a mechanism to filter indoor and ambient air pollutants. (Table 5)

**Table 4: Vulnerability of infrastructure, technologies, production and processes to drought**

INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS AND PROCESSES:	Vulnerability level		
	High	Medium	Low
<b>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</b>			
<b>Adaptation of current systems and infrastructures</b>			
<b>The health care facility</b>			
Has health workforce preparedness and training for periods of extreme drought in place	256 (75.1)	64 (18.8)	21 (6.2)
Performs assessments of drought conditions – current, past trends and future changes – to implement preventive actions	240 (70.4)	82 (24.0)	19 (5.6)
Assesses the performance and vulnerabilities of each critical part of the facility (structural and nonstructural elements) that can be affected by hot temperatures	197 (57.8)	104 (30.5)	40 (11.7)
Has a monitoring and early warning system integrated with other areas to manage risks related to drought impacts on the facility	242 (71.0)	72 (21.1)	27 (7.9)
Has a mechanism to rapidly supply or restore water services to the facility	183 (53.7)	98 (28.7)	60 (17.6)
Conducts ongoing and post drought evaluations to identify success and weakness to improve preventive measures	232 (68.0)	90 (26.4)	19 (5.6)
Assesses the capacity of heating, ventilation and air-conditioning systems to deal with increasing heat	204 (59.8)	91 (26.7)	46 (13.5)
Has exterior shading devices, trees or other architectural features that mitigate heat and dryness	102 (29.9)	132 (38.7)	107 (31.4)
Has openable windows to provide for ventilation and to maintain habitable conditions	47 (13.8)	90 (26.4)	204 (59.8)
Installs reflective white roofs to reduce heat impacts	178 (52.2)	82 (24.0)	81 (23.8)
Has pavements and roofs designed to withstand extreme temperatures or solar radiation	167 (49.0)	111 (32.6)	63 (18.5)
Has a mechanism to filter indoor and ambient air pollutants	246 (72.1)	63 (18.5)	32 (9.4)
Has a system for cooling the environment	241 (70.7)	70 (20.5)	30 (8.8)
Identifies vulnerabilities to implement actions to reduce impacts	214 (62.8)	98 (28.7)	29 (8.5)
Stimulates increase of water intake by staff and patients	122 (35.8)	141 (41.3)	78 (22.9)



Stores chemicals away from excessive heat	94 (27.6)	109 (32.0)	138 (40.5)
Has a coordinated team across the health sector with a key stakeholder group including different levels of government to manage the risks of public health emergency related to drought	173 (50.7)	112 (32.8)	56 (16.4)
Has an effective risk communication plan to communicate clear messages of the danger of heatwaves and dehydration emphasizing health protection as a priority	184 (54.0)	109 (32.0)	48 (14.1)
<b>Promotion of new systems and technologies</b>			
Has an information system between the health sector and meteorological services to communicate about the climate hazard	248 (72.7)	69 (20.2)	24 (7.0)
Has a syndromic surveillance system for drought-related illnesses	196 (57.5)	101 (29.6)	44 (12.9)
Have an assessment plan for identifying vulnerability conditions considering the degree or extent of potential damage or loss in the event of a drought	233 (68.3)	79 (23.2)	29 (8.5)
Has identified capacities, resources and needs to better cope and manage a drought event	239 (70.1)	81 (23.8)	21 (6.2)
Has an established set of procedures to continually evaluate and implement risk management plans to stay responsive to the needs of the facility in ongoing and post drought events post drought events	236 (69.2)	85 (24.9)	20 (5.9)
Ensure information and communication flow between health workforce and policy makers, particularly, during high stress situations and demands created by emergencies	154 (45.2)	133 (39.0)	54 (15.8)
Has trees and plants which are resilient to drought surrounding the facility	78 (22.9)	129 (37.8)	134 (39.3)
Has an information system for tracking and monitoring diseases following drought events	135 (39.6)	124 (36.4)	82 (24.0)
Has measures that improve health performance, based on a history of climate variability in the region or locality	185 (54.3)	113 (33.1)	43 (12.6)
<b>Sustainability of Healthcare facility Operations</b>			
Has procedures for procuring, transporting and safely storing water supplies	175 (51.3)	113 (33.1)	53 (15.5)
Has a defined and sustained budget as part of core budgeting for emergency preparedness and response to drought risks	248 (72.7)	74 (21.7)	19 (5.6)
Has established partnerships between the facility, community and local authorities to reduce vulnerabilities in the surrounding areas	160 (46.9)	129 (37.8)	52 (15.2)
Has trees and leafy plants near windows to provide natural cooling	113 (33.1)	131 (38.4)	97 (28.4)
Has a plan to conserve and manage water to reduce water usage, specifically in case of prolonged drought	139 (40.8)	145 (42.5)	57 (16.7)
Has a plan for relocating supplies and services in case of outbreaks and epidemics that may overwhelm the facility or increase demand due to severe drought	207 (60.7)	104 (30.5)	30 (8.8)
Has established requirements or provided incentives to encourage water conservation in the facility and also in the communities	209 (61.3)	98 (28.7)	34 (10.0)

Has a coordinated plan with health municipal department heads to ensure appropriate preparations for ongoing drought conditions	222 (65.1)	93 (27.3)	26 (7.6)
Explores the relationship between social learning and adaptation measures in the face of drought threats to identify and implement the best behavioral responses from successful health facilities	212 (62.2)	108 (31.7)	21 (6.2)
Undertakes risk assessments of the supply chain for essential medical and nonmedical products	150 (44.0)	129 (37.8)	62 (18.2)
Has secure access to essential backup food sources via multiple agreements with different vendors and through cooperative agreements with other healthcare facilities	252 (73.9)	65 (19.1)	24 (7.0)

## 5.4 Vulnerability to storms

### 5.4.1 Vulnerability of the health workforce to storms

More than three quarters, 83.0% (185/223) of the HCFs were highly vulnerable to storms because their workforce was unprepared with a post-storm employee recovery assistance programme according to staff needs.

(Table 11).

**Table 5: Vulnerability of the health workforce to storms**

WORKFORCE	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Human Resource			
The health workforce	High	Medium	Low
Provided with programs for supporting staff with regards to mental health, injuries, medical treatment and related support measures	108 (48.4)	91 (40.8)	24 (10.8)
Equipped with an emergency plan for shift relay or replacement of health professionals to ensure that staff get adequate rest after their high-demand duties from a severe storm event	150 (67.3)	48 (21.5)	25 (11.2)
Prepared with a contingency plan for accessing additional health workforce to strengthen performance capacity	146 (65.5)	65 (29.1)	12 (5.4)
Provided with an information system to manage occupational safety and health in the facility during a storm	126 (56.5)	69 (30.9)	28 (12.6)
Provided with a post-storm employee recovery assistance program according to staff needs	185 (83.0)	23 (10.3)	15 (6.7)
Equipped with a coordinated plan, including volunteers on stand-by, to assist during an emergency or to support health professionals	156 (70.0)	44 (19.7)	23 (10.3)
Provided with full personal protective equipment, especially for clean-up crews (including waterproof safety boots, goggles, work gloves and masks)	66 (29.6)	98 (43.9)	59 (26.5)
Provided with safe water and food during an event	131 (58.7)	65 (29.1)	27 (12.1)
Capacity Development			
Trained on public health and climate change hazards, including health impacts related to different kinds of storms	171 (76.7)	41 (18.4)	11 (4.9)



Equipped with knowledge, experience, training and resources to manage storm risk reduction at the facility and in the local communities	168 (75.7)	41 (18.5)	13 (5.9)
Engaged in the development of plans and responses to storm risks	168 (75.3)	39 (17.5)	16 (7.2)
Prepared and able to implement risk reduction actions to protect themselves	125 (56.1)	80 (35.9)	18 (8.1)
Equipped with a contingency plan for continuing to provide services at other facilities or in the local communities (primary health care), if necessary	122 (54.7)	74 (33.2)	27 (12.1)
Trained to manage hazardous chemicals in emergency situations	151 (67.7)	43 (19.3)	29 (13.0)
Trained in multi-hazard assessments	169 (76.5)	37 (16.7)	15 (6.8)
Trained to maintain correct level of water quality controls in an emergency or disaster situations	171 (77.0)	36 (16.2)	15 (6.7)
Trained to an appropriate standard to maintain the correct level of safety of electrical power supply, in both routine and emergency/disaster situations	168 (76.4)	37 (16.8)	(6.8)15
Trained to detect posttraumatic stress disorder among staff to take prompt action	105 (47.1)	90 (40.4)	28 (12.6)
<b>Communication and awareness-raising</b>			
Provided with a safe internal communication system, especially in emergency situations	99 (45.0)	78 (35.4)	43 (19.5)
Aware of contingency plans for accessing and leaving the facility during flood and strong wind emergencies, and health workforce transportation	156 (70.6)	52 (23.5)	13 (5.9)
Regularly participating in community disaster planning committees to: improve knowledge on how to reduce risks, be prepared and respond to storm hazards, and recover better than before through adaptation measures	142 (64.5)	66 (30.0)	12 (5.4)
Prepared with clear messaging about water and food safety during and after a storm	128 (57.9)	72 (32.6)	21 (9.5)
Prepared with clear messaging, and staff trained on exit and evacuation routes that are clearly marked and free of obstacles to enable emergency evacuation	163 (73.8)	44 (19.9)	14 (6.3)
Equipped with a community health educational Programme to assist the community in reducing vulnerability to storm impacts	120 (53.8)	78 (35.0)	25 (11.2)
Equipped with a community health educational Programme to improve community health in the face of storm risks	110 (49.3)	87 (39.0)	25 (11.2)
<b>Monitoring and assessment</b>			
Assess the capacity of the existing stormwater management system, to ensure adequacy for anticipated 50- or 100-year storm events today	171 (77.7)	42(19.1)	7 (3.2)
Verify water safety conditions, including updated risk assessments to map water resources and water supplies for the facility	102 (45.9)	85 (38.3)	35 (15.8)
Regularly assess its sanitation systems for any possible damage in the event of storms and severe winds	71 (32.1)	114 (51.6)	36 (16.3)
Have information on water system installation that ensures lower risk of contamination	73 (33.2)	98 (33.2)	49 (33.2)

Have a water quality monitoring plan for drinking water during and after the event	108 (48.9)	83 (37.6)	30 (13.6)
Monitor sewer overflows to fix pumps in advance of a storm and after the event	129 (64.5)	47 (23.5)	24 (12.0)

#### 5.4.2 Vulnerability of WASH to storms

Majority, 75.6% of the HCFs were highly vulnerable to storms since they lacked a stormwater management system able to cope with storm-caused floods. Nearly two-thirds, 62.7% did not have a schedule for emptying latrines in advance of storms to avoid overflows and 59.9% did not have an assessment plan that mapped risks to WASH infrastructure to identify where services could be disrupted during storms, floods and landslides. (Table 6).

**Table 6: Vulnerability of WASH to storms among healthcare facilities in different regions of Uganda**

WATER, SANITATION, AND HEALTHCARE WASTE;	Vulnerability levels		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Risk Management	High	Medium	Low
The healthcare facility			
Have a storm water management system able to cope with storm-caused floods	167 (75.6)	43 (19.5)	11 (5.0)
Have a storm water management system to avoid standing water near the facility	132 (59.2)	65 (29.1)	26 (11.7)
Store hazardous chemicals, radioactive and biological wastes in a safe place and on a level above the ground floor	110(51.6)	59 (27.7)	44 (20.7)
Have a schedule for emptying latrines in advance of storms to avoid overflows	136 (62.7)	48 (22.1)	33 (15.2)
Have water storage tanks supported and anchored to resist strong winds and rainfall	72 (32.7)	63 (28.6)	85 (38.6)
Have a safe system for waste disposal after a storm	95 (43.2)	87 (39.5)	38 (17.3)
Have an established safe management approach to health care waste transport (including hazardous waste) during and after a storm	126 (56.8)	62 (27.9)	34 (15.3)
Have onsite water purification equipment to provide safe drinking water	116 (52.5)	56 (25.3)	49 (22.2)
Have non return valves installed on water supply pipes to prevent backflows, in case of flooding	124 (59.9)	44 (21.3)	39 (18.8)
Have a surveillance system for diseases related to water	71 (32.0)	76 (34.2)	75(33.8)

quality and sanitation			
Provide appropriate covers for water storage tanks to prevent damage and water contamination	65 (30.7)	82(38.7)	65 (30.7)
<b>Health and Safety Regulations</b>			
Have an assessment plan that maps risks to water and sanitation infrastructures to identify where services could be disrupted during storms, floods and landslides	133 (59.9)	74 (33.3)	15 (6.8)
Have an emergency water supply plan	118 (53.4)	65 (29.4)	38 (17.2)
Have the plan to verify safety conditions and proper functioning of all elements of the water distribution system, including storage tanks, cisterns, valves, pipes and connections, as well as water disinfection to avoid or reduce impacts from a storm	123 (56.7)	69 (31.8)	25 (11.5)
Have a contingency plan to ensure effective and timely delivery of safe water during extreme temperatures and emergencies over the short- and long-term	133 (59.9)	61 (27.5)	28 (12.6)
Have an emergency plan for maintenance and restoration of waste management systems	112 (50.2)	80 (35.9)	31 (13.9)

#### 5.4.3 Vulnerability of the energy component to storms

Vulnerability was assessed based on monitoring and assessment; risk management; and health and safety regulations. Close to half, 49.1% of the HCFs were highly vulnerable to storms since they were unprepared to assess their energy system to ensure that it could cope with storm events and minimize their impacts, 22.6% had a medium vulnerability to storms since they had basic daylight to ensure proper visibility during a power outage, and 65.1% were highly vulnerable to storms since they lacked an emergency plan for power outages in the short- and long-term (before, during and after a storm). Over 78% of the HCFs did not periodically check their emergency backup generators (including fuel, where relevant) (Table 13).

**Table 7: Vulnerability of the energy component to storms**

ENERGY	Vulnerability levels		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Monitoring and Assessment	High	Medium	Low
The health care facility			
Regularly assess its energy system to ensure that it can cope with storm events and minimize their impacts	109 (49.1)	74 (33.3)	39 (17.6)
Have an emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during and after the event	145 (79.7)	13 (7.1)	24 (13.2)
Periodically check emergency backup generators (including fuel, where relevant)	128 (78.0)	12 (7.3)	24 (14.6)
Identify priority areas within the facility which would require emergency power when needed	82 (37.6)	76 (34.9)	60 (27.5)
Assess whether renewable energy (if available, such as solar) is sufficient to power critical equipment	74 (34.1)	88 (40.6)	55 (25.3)
Risk Management			
Have a secure place to protect the backup generator (e.g., Elevated and anchored in areas prone to floods and strong winds; including fuel or battery storage, where relevant) from damage	118 (70.2)	22 (13.1)	28 (16.7)
Have appliance thermometers in the refrigerator and freezer to determine if food, vaccines and other essential refrigeration-dependent medical supplies are safe	37 (17.0)	45 (20.6)	136 (62.4)
Have adequate daylight to ensure proper visibility during a power outage	28 (12.7)	50 (22.6)	143 (64.7)
Have power-operated doors that can be opened manually to permit exit during power failure	83 (52.9)	22 (14.0)	52 (33.1)
Have a clear guidance to alert staff on safety measures	142 (65.4)	47 (21.7)	28 (12.9)
Health and safety regulation			
Have an emergency plan for power outages in the short- and long-term (before, during and after a storm)	142 (65.1)	51 (23.4)	25 (11.5)
Work with energy utility agencies to prevent suspension of electricity services	111 (55.0)	56 (27.7)	35 (17.3)
Have a management plan for intermittent energy supplies or	133 (62.1)	51 (23.8)	30 (14.0)

system failure			
Have a plan or regulation to determine ways to reduce overall energy use	126 (58.3)	63 (29.2)	27 (12.5)
Have an emergency plan to ensure the availability of adequate lighting, communication, and information systems, as well as refrigeration and sterilization equipment during a storm	106 (48.8)	77 (35.5)	34 (15.7)

#### 5.4.4 Vulnerability of infrastructure, technologies, production and processes to storms

Vulnerability was assessed based on adaptation of current systems and infrastructures; and promotion of new systems and technologies and sustainability of healthcare facility operations. About 56.8% of the HCFs were highly vulnerable to storms since they were unable to provide knowledge, experience and resources to reduce disaster risk related to storms. About 40.5% of the HCFs were highly vulnerable to storms since they were unable to work with the local government to support vulnerable local populations to actively participate in risk reduction management, policy making, planning and implementation. About 67.1% of the HCFs were highly vulnerable to storms since they were unable to conduct climate risk and vulnerability assessments for all facility sectors to identify risk scenarios, vulnerabilities and the facility's response capacity. Over 76.0% of the HCFs were highly vulnerable to storms since they did not have a monitoring and early warning system to manage and reduce the risks of storm related health effects (Table 14)

**Table 8: Vulnerability of infrastructure, technologies, production and processes to storms**

INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS AND PROCESSES	Vulnerability levels		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Adaptation of current systems and infrastructures	High	Medium	Low
<b>The health care facility</b>			
Have knowledge, experience (considering previous damages) and resources (including human, material, financial, supplies chain and logistics) to reduce disaster risk related to storms	126 (56.8)	74 (33.3)	22 (9.9)
Work with the local government to support vulnerable local populations to actively participate in risk reduction management, policy making, planning and implementation	90 (40.5)	99 (44.6)	33 (14.9)
Conduct climate risk and vulnerability assessments for all facility sectors to identify risk scenarios, vulnerabilities and the facility's response capacity	149 (67.1)	58 (67.1)	15 (67.1)
Have a monitoring and early warning system to manage and reduce the risks of storm related health effects	168 (76.0)	37 (76.0)	16 (76.0)
Utilize the assessed information as a basis to plan and prioritize measures to reduce risk impact	129 (59.7)	70 (32.4)	17 (7.9)
In their annual planning consider how climate risks may change in the future	149 (67.1)	56 (25.2)	17 (7.7)
Have resources available to adopt risk reduction measures on the building and its infrastructure, technologies, products and processes	153 (68.9)	56 (25.2)	13 (5.9)
Regularly update these assessments, considering emerging scientific information	160 (73.7)	46 (21.2)	11 (5.1)
Have a schedule to inspect the facility regularly, both internally and externally, for signs of deterioration (e.g., broken plaster, cracks or sinking structural elements) to avoid or reduce storm	92 (41.3)	90 (40.4)	41 (18.4)

impacts (including flood impacts)			
Evaluate the condition and safety of structural and nonstructural elements of the facility, impacted by previous exposures to storms or similar hazards	100 (44.8)	78 (35.0)	45 (20.2)
Have an effective emergency risk communication plan to reduce risks and impacts for health workers and patients	115 (51.8)	82 (36.9)	25 (11.3)
Have a contingency plan in place for safe and efficient personnel evacuation (including health staff and patients) before, during and following a storm	153 (69.9)	52 (23.7)	14 (6.4)
Have a plan to transfer critical equipment and medical supplies to another health care facility or to a secure storage	113 (51.6)	74 (33.8)	32 (14.6)
Have a plan for relocating medical devices, medicines, mobile equipment and other supplies and services in case of operational disruption or outbreaks and epidemics that overwhelm the facility	116 (53.0)	66 (30.1)	37 (16.9)
Have evaluation tools (e.g., Forms) to identify damages and minimum needs in terms of health workers and medical supplies to ensure continuous functioning of services	144 (65.2)	56 (25.3)	21 (9.5)
Have a mechanism for providing prompt maintenance and repair of equipment required for essential services	106 (48.0)	86 (38.9)	29 (13.1)
Have procedures to store food and bottled water on shelves that will be safely out of the way of contaminated water in case of flooding	147 (67.7)	42 (19.4)	28 (12.9)
Have established procedures or plans for procuring, transporting and storing bottled water and food supplies during an emergency	165 (76.0)	35 (16.1)	17 (7.8)
Have established procedures for procuring, and safely transporting and storing medical devices, vaccines, pharmaceuticals, parenteral nutrition and blood supplies, laboratorial supplies, and other essential medical supplies	119 (54.1)	67 (30.5)	34 (15.5)
Assess the performance and vulnerabilities of each critical part of the facility (structural and nonstructural elements) that can be affected by storm hazards	100 (44.8)	94 (42.2)	29 (13.0)
Calculate possible losses and implement measures to reduce impacts	129 (57.8)	75 (33.6)	19 (8.5)
Have a plan to house staff at the health care facility if shelter in place is required (sleeping rooms, food, water)	102 (45.9)	79 (35.6)	41 (18.5)
Have roof drainage systems and adequate capacity in the event of excessive rainfall	74 (33.3)	84 (37.8)	64 (28.8)
Have roofs that are leak-proof and insulated	75 (33.6)	78 (35.0)	70 (31.4)
Have safe roofing designed to withstand wind velocity of 175-250 kph (e.g. In a high intensity tropical storm)	94 (42.3)	91 (41.0)	37 (16.7)
Have rooftop structures and equipment which have been reviewed for anticipated storm and high wind speeds	131 (58.7)	60 (26.9)	32 (14.3)
Have machine rooms that are resistant to flooding or high wind/rooftop damage	146 (69.5)	39 (18.6)	25 (11.9)
Have stairwell construction fortified against high-wind events	141 (74.6)	32 (16.9)	16 (8.5)
Have measures in place to remove mosquito breeding sites	53 (23.8)	99 (44.4)	71 (31.8)
Have glass walls, doors and windows able to resist basic wind speeds up to 200-250 kph	101 (46.8)	76 (35.2)	39 (18.1)
Have laminated or protected glass windows to prevent risk of shattering during a lightning event	111 (51.2)	72 (33.2)	34 (15.7)
Have leak proof windows and doors with wind protection devices	107 (48.6)	72 (32.7)	41 (18.6)



Have walls that are protected and insulated against moisture and mold	87 (39.2)	79 (35.6)	56 (25.2)
Ensure removal of equipment and power supplies from basements and ground floor level to avoid damage from flooding	103 (50.0)	69 (33.5)	34 (16.5)
Have health care agreements with other health care providers for additional health services and clinical resources	105 (47.5)	79 (35.7)	37 (16.7)
Have a coordinated mechanism across the health sector in different levels of government, to manage the response and risks of public health emergencies and disasters (including sharing of resources and supplies, transferring of patients, and health workforce support)	88 (39.6)	92 (41.4)	42 (18.9)
Have a plan on continuity of operational processes during a storm and for building back better through training and workshops	135 (61.4)	71 (32.3)	14 (6.4)
Conduct site and building maintenance procedures that include specifications on how the weather may affect the safety and continued functioning of the facility	133 (59.9)	68 (30.6)	21 (9.5)
Have a space within or external to the facility for the storage and stockpiling of additional supplies, considering ease of access, security, temperature, ventilation, light exposure and humidity	100 (45.2)	83 (37.6)	38 (17.2)
Have an established poststorm recovery plan for all infrastructure (structural and nonstructural elements) of the facility	176 (80.0)	34 (15.5)	10 (4.5)
Have an information system between the health sector and meteorological services to communicate about climate hazards	170 (78.0)	39 (17.9)	9 (4.1)

**Storm checklist on INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS AND PROCESSES**

INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS, AND PROCESSES: The health care facility	Vulnerability levels		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Promotion of new systems and technologies	High	Medium	Low
The healthcare facility			
Have an established plan to review, evaluate, and catalog climate risks related to storms for the healthcare facility location	184 (83.3)	30 (13.6)	7 (3.2)
Have an established plan to review, evaluate, and catalog risks related to storms for the healthcare facility supply chain	174 (78.4)	43 (19.4)	5 (2.3)
Have an established, clear, and consistent knowledge transfer procedure in case of a public health emergency	142 (63.7)	61 (27.4)	20 (9.0)
Have electronic patient health records available to other receiving facilities in case of evacuation	156 (71.9)	41 (18.9)	20 (9.2)
Ensure information and communication flow between the health workforce and policymakers, particularly during high-stress situations and demands created by emergencies	77 (34.5)	102 (45.7)	44 (19.7)
Have information and communication systems safely secured with backup arrangement (via cloud, satellite) to satisfy the facility's demand	160 (73.4)	41 (18.8)	17 (7.8)
Have an information system for tracking and monitoring diseases following storm events	98 (43.9)	80 (35.9)	45 (20.2)
Have more than one access route, especially if the	107 (48.0)	59 (26.5)	57 (25.6)



facility is critical to higher demand following a storm event			
Review building code design baselines against storm, lightning, wind speeds, and rainfall volumes, and map each risk	170 (76.6)	39 (17.6)	13 (5.9)
<b>Sustainability of Healthcare facility Operations</b>			
Have a defined and sustained budget as part of core budgeting for emergency preparedness and response, including for storm hazards	180 (81.4)	32 (14.5)	9 (4.1)
Improve adaptive governance capacity regarding evaluation and measures for risk identification, risk reduction and response	158 (71.2)	53 (23.9)	11 (5.0)
Have trees planted in a secure place that will not block access to the facility or fall on the building during an event	49 (22.1)	102 (45.9)	71 (32.0)
Have established partnerships between the facility, community and local authorities to identify and reduce vulnerabilities in the surrounding areas	80 (35.9)	94 (42.2)	49 (22.0)
Have an access route for public transportation which is likely to remain operational during or immediately following a storm event	80 (36.0)	70 (31.5)	72 (32.4)
Have a secure storage for critical chemicals and materials to avoid their damage or release during or following a storm event	123 (55.4)	64 (28.8)	35 (15.8)
Have estimates of the consumption of essential medical, pharmaceutical, nutritional and laboratorial supplies, personal protective equipment, food, etc. (such as amount used per week), using the most likely storm scenario (including flood impact)	92 (41.4)	81 (36.5)	49 (22.1)
Undertake risk assessments of the supply chain for essential medical and nonmedical products	103 (46.2)	81 (36.3)	39 (17.5)
Have a secure plan to ensure continuity of the facility's supply and delivery chain	72 (32.3)	80 (35.9)	71 (31.8)
Have secure access to essential backup services such as sterilization, laundry, and cleaning services, via multiple agreements with different facilities to maintain the functioning of critical services during or immediately following a storm event	119 (54.3)	59 (26.9)	41 (18.7)
Have secure access to essential backup food sources via multiple agreements with different vendors and through cooperative agreements with other healthcare facilities to maintain the functioning of critical services	151 (70.9)	44 (20.7)	18 (8.5)

## 5.5 Vulnerability to floods

### 5.5.1 Vulnerability of the health workforce to floods

Vulnerability was assessed based on human resources; capacity development; communication and awareness raising; and monitoring and assessment. Close to half, 48.7% of the HCFs were highly vulnerable to floods since the health workforce was unable to be provided with programmes for supporting staff with regard to mental health, injuries, medical treatment and related support

measures. About 56.9% of the HCFs were highly vulnerable to floods since the health workforce was unable to be equipped with an emergency plan for shift relay or replacement of health professionals to ensure that staff get adequate rest. About 61.5% of the HCFs were highly vulnerable to floods since the health workforce as unable to be prepared with a contingency plan for accessing additional health workforce to strengthen performance capacity. More than half, 55.3% of the HCFs were highly vulnerable since the health workforce was unable to be provided with an information system to manage occupational safety and health in the facility during a flood to strengthen performance capacity (Table 20).

**Table 9: Flood checklist for assessing vulnerabilities on workforce**

WORKFORCE	Vulnerability levels		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Human resource	High	Medium	Low
The health workforce is			
Provided with programmes for supporting staff with regard to mental health, injuries, medical treatment and related support measures	138 (48.7)	112 (39.6)	33 (11.7)
Equipped with an emergency plan for shift relay or replacement of health professionals to ensure that staff get adequate rest	161 (56.9)	89 (31.4)	33 (11.7)
Prepared with a contingency plan for accessing additional health workforce to strengthen performance capacity	174 (61.5)	76 (26.9)	33 (11.7)
Provided with an information system to manage occupational safety and health in the facility during a flood to strengthen performance capacity	157 (55.3)	94 (33.1)	33 (11.6)
Equipped with an emergency plan to protect health workers from multiple biological and chemical hazards	170 (59.9)	85 (29.9)	28 (9.9)
Provided with a post-flood employee recovery assistance program according to staff needs	222 (79.3)	40 (14.3)	18 (6.4)
Equipped with a coordinated plan, including volunteers on standby, to assist during an emergency or to support health professionals	199 (71.1)	56 (20.0)	25 (8.9)
The healthcare workforce is provided with full personal protective equipment, especially for clean-up crews (including waterproof safety boots, goggles, work gloves and masks)	90 (31.9)	131 (46.5)	61 (21.6)
The healthcare workforce is provided with safe water and food during a flood event	166 (59.1)	75 (26.7)	40 (14.2)
Capacity development			
The healthcare workforce is trained on public health and climate change hazards including health impacts related to floods	214 (75.6)	51 (18.0)	18 (6.4)
The healthcare workforce is equipped with knowledge, experience, training and resources to manage flood risk reduction at the facility and in the local communities	195 (68.7)	72 (25.4)	17 (6.0)

The healthcare workforce is engaged in the development of plans and responses to flood risk	201 (71.3)	66 (23.4)	15 (5.3)
The healthcare workforce is prepared and able to implement risk-reduction actions to protect themselves	172 (60.6)	90 (31.7)	22 (7.7)
The health care workforce is prepared with a contingency plan for additional health workforce to strengthen performance capacity	185 (65.8)	75 (26.7)	21 (7.5)
The health care workforce is prepared with a contingency plan for continuing to provide services at other facilities or in the local communities (primary health care), if necessary	153 (53.9)	94 (33.5)	34 (12.1)
The healthcare workforce is trained to detect posttraumatic stress disorder among staff to take prompt action	156 (54.9)	90 (31.7)	38 (13.4)
The healthcare workforce is trained to manage hazardous chemicals in emergency situations	188 (66.2)	64 (22.5)	30 (10.6)
The health care workforce is trained to an appropriate standard to maintain the correct level of safety of electrical power supply, in both routine and emergency/disaster situations	202 (72.1)	58 (20.7)	20 (7.1)
<b>Communication and awareness-raising</b>			
The healthcare workforce is provided with a safe internal communication system, especially in emergency situations	137 (48.8)	100 (35.6)	44 (15.7)
The healthcare workforce is informed on how to use and follow a surveillance system to track health outcomes	87 (30.7)	120 (42.4)	76 (26.9)
The healthcare workforce is aware of contingency plans for accessing and leaving the facility during flood emergencies, and health workforce transportation	195 (68.9)	64 (22.6)	24 (8.5)
The healthcare workforce is regularly participating in community disaster planning committees to: improve knowledge on how to reduce risks, be prepared and respond to floods, and recover better than before through adaptation measures	165 (58.5)	98 (34.8)	19 (6.7)
The healthcare workforce is prepared with clear messaging about water and food safety during and after a flood	166 (58.5)	85 (29.9)	33 (11.6)
The healthcare workforce is prepared with clear messaging, and staff trained on exit and evacuation routes that are clearly marked and free of obstacles to enable emergency evacuation)	202 (71.6)	57 (20.2)	23 (8.2)
The healthcare workforce is equipped with a flood plan or programme with clear instructions on how to proceed during flood emergency situations	214 (75.6)	51 (18.0)	18 (6.4)
The healthcare workforce is equipped with a community health educational programme to assist the community in reducing vulnerabilities to flood impacts	157 (55.5)	99 (35.0)	27 (9.5)

The healthcare workforce is equipped with a community health educational programme to improve community health in the face of flood risks	152 (53.7)	97 (34.3)	34 (12.0)
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### 5.5.2 Vulnerability of water, sanitation and healthcare waste to floods

Vulnerability was assessed based on risk management; and health and safety regulations. About 60.5% of the HCFs were highly vulnerable to floods since they were unprepared to have an updated assessment plan to map risks to the sanitation infrastructure in place and to identify where services could be disrupted by floods. About 46.5% of the HCFs were highly vulnerable to floods since they were unprepared to verify water safety conditions, including updated risk assessments to map water resources and water supplies. About 55.4% of the HCFs were highly vulnerable to floods since they were unprepared to have a quality monitoring plan for drinking water during and after the event. About 37.3% of the HCFs were highly vulnerable to floods since they were unprepared to regularly assess their sanitation system for any possible damage in the event of flooding. About 44.9% of the HCFs were highly vulnerable to floods since they were unprepared to regularly verify safety conditions and proper functioning of all elements of the water distribution system, including storage tanks, cisterns, valves, pipes and connections, and water disinfection (Table 21).

**Table 10: Flood checklist for assessing vulnerabilities on water, sanitation and healthcare waste**

<b>WATER, SANITATION AND HEALTHCARE WASTE</b>	<b>Vulnerability levels</b>		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
<b>Monitoring and assessment</b>	<b>High</b>	<b>Medium</b>	<b>Low</b>
Healthcare facilities have an updated assessment plan to map risks to the sanitation infrastructure in place and to identify where services could be disrupted by floods	170 (60.5)	82 (29.2)	29 (10.3)
Healthcare facilities verify water safety conditions, including updated risk assessments to map water resources and water supplies for the facility	131 (46.5)	100 (35.5)	51 (18.1)
Healthcare facilities have a quality monitoring plan for drinking water during and after the event	157 (55.4)	83 (29.3)	43 (15.2)
Healthcare facilities regularly assess their sanitation system for any possible damage in the event of flooding	106 (37.3)	118 (41.5)	58 (20.4)
Healthcare facilities monitor sewer overflows in order to fix pumps in advance of the flood season	152 (60.3)	64 (25.4)	36 (14.3)
Healthcare facilities regularly verify safety conditions and proper functioning of all elements of the water distribution system, including storage tanks, cisterns, valves, pipes and connections, and water disinfection	124 (44.9)	103 (37.3)	49 (17.8)
Healthcare facilities have information on water system installation that ensures a lower risk of contamination	113 (40.4)	110 (39.3)	57 (20.4)
The healthcare facilities conduct a waste audit to reduce waste as much as possible	136 (48.6)	87 (31.1)	54 (19.3)
<b>Risk Management</b>			
Healthcare facilities have a natural floodwater infiltration system to reduce the risk of facility flooding	192 (68.6)	52 (18.5)	36 (12.9)
Healthcare facilities have anti-mosquito breeding measures	91 (32.2)	113 (39.9)	79 (27.9)
Healthcare facilities have scheduled for emptying latrines in	171 (60.2)	72 (25.4)	33 (11.6)

advance of the flood season to avoid overflows			
Healthcare facilities have safe healthcare waste storage place	76 (26.9)	110 (38.9)	97 (34.3)
Healthcare facilities have safe waste disposal systems before, during and after floods	118 (41.8)	90 (31.9)	74 (26.2)
Healthcare facilities have established a safe management approach to healthcare waste transport (including hazardous waste) in case of floods	169 (60.1)	77 (27.4)	35 (12.5)
Healthcare facilities have chemical, radioactive and biological hazardous waste stored in a safe place and on a level above the ground floor	166 (62.4)	69 (25.9)	31 (11.7)
Healthcare facilities have water storage tanks appropriately covered to prevent access or contamination, and safety located for flooding events	88 (31.3)	86 (30.6)	107 (38.1)
Healthcare facilities have a site water purification equipment to provide safe drinking water	161 (57.7)	61 (21.9)	57 (20.4)
Healthcare facilities have nonreturn valves installed on water supply pipes to prevent backflows	142 (50.9)	63 (22.6)	58 (20.4)
Healthcare facilities have waste pits that are able to withstand flood events	138 (50.0)	79 (28.6)	59 (21.4)
Healthcare facilities have a surveillance system for diseases related to water quality and sanitation	68 (23.9)	129 (45.4)	87 (30.6)
Healthcare facilities keep waste sealed in rubbish bins to avoid rodents	61 (21.6)	112 (39.7)	109 (38.7)
<b>Health and safety regulation</b>			
Healthcare facilities have an emergency water supply plan	169 (59.7)	62 (21.8)	52 (18.4)
Healthcare facilities have staff who are trained to an appropriate standard to maintain the correct level of safety of water quality controls, use of supplies and alternative sources	160 (56.9)	77 (27.4)	44 (15.7)
Healthcare facilities have a water safety plan in place, in case of water contamination	166 (58.7)	68 (24.0)	49 (17.3)
Healthcare facilities have a mechanism or regulation to carry out sanitary inspections of water supply, and when necessary, establish a temporary ban on the use, until improvements are made	135 (47.9)	97 (34.4)	50 (17.7)
Healthcare facilities have a contingency plan to ensure effective and timely delivery of safe water during floods and emergencies over the short- and long-term	195 (69.6)	60 (21.4)	25 (8.9)
Healthcare facilities have a plan to provide and maintain adequate cleaning and disinfection supplies (such as chlorine, filters or other water treatment technology, and rapid water testing kit)for water safety	126 (45.0)	111 (39.6)	43 (15.4)
Healthcare facilities have an emergency plan for the maintenance and restoration of waste management systems	151 (53.5)	94 (33.3)	37 (13.1)

### 5.5.3 Vulnerability of energy to floods

Vulnerability was assessed based on monitoring and assessment; risk management; and health and safety regulations. About 56.7% of the HCFs had a high vulnerability to drought since they were unprepared to regularly assess their energy systems to ensure that they can cope with flood events.

More than three quarters, 76.4% of the HCFs were highly vulnerable to floods since they were unprepared to regularly have an emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during and after a flood event. About 72.7% of the HCFs were highly vulnerable to floods since they were unprepared to periodically check emergency backup generators (including fuel, where relevant). About 37.0% of the HCFs were highly vulnerable to floods since they were unprepared to assess whether renewable energy (if available, such as solar) is sufficient to power critical equipment (Table 22).

**Table 11: Flood checklist for assessing vulnerabilities in energy**

ENERGY	Vulnerability levels		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Monitoring and assessment	High	Medium	Low
Healthcare facilities regularly assess their energy systems to ensure that they can cope with flood events	156 (56.7)	91 (33.1)	28 (9.9)
Healthcare facilities regularly have an emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during and after a flood event	188 (76.4)	28 (11.4)	30 (12.2)
Healthcare facilities periodically check emergency backup generators (including fuel, where relevant)	160 (72.7)	34 (15.5)	26 (11.8)
Healthcare facilities assess whether renewable energy (if available, such as solar) is sufficient to power critical equipment	102 (37.0)	110 (39.9)	65 (23.6)
Healthcare facilities identify priority areas within the facility which would require emergency power during and after a flood event	109 (38.7)	103 (36.5)	70 (24.8)
Risk Management			
Healthcare facilities have a secure place to protect the backup generator (e.g. an elevated place; including fuel or battery storage, where relevant) from flood waters	149 (67.1)	41 (18.5)	32 (14.4)
Healthcare facilities have adequate daylight to ensure proper visibility during a power outage including fuel or battery storage, where relevant) from flood waters	56 (20.1)	97 (34.9)	128 (46.0)
Healthcare facilities have power-operated doors that can easily be opened manually to permit exit in case of power failure	118 (54.6)	35 (16.2)	63 (29.2)
Healthcare facilities have appliance thermometers in the refrigerator and freezer to determine if food, vaccines and other essential refrigeration-dependent medical supplies are safe	50 (18.1)	64 (23.1)	163 (58.8)
Healthcare facilities have clear guidance to alert staff on safety measures (e.g., never restore power when the power is off until a professional inspects and ensures the integrity of the electrical system; do not use electrical equipment that has been exposed to flood waters until checked by an electrician; unless power is off, never enter flooded areas or touch electrical equipment if the ground is wet)	153 (55.4)	77 (27.9)	46 (16.7)
Health and safety regulation			



Healthcare facilities have an emergency plan for power outages in the short- and long-term (before, during and after a flood)	169 (60.4)	70 (25.0)	41 (14.6)
Healthcare facilities work with energy utility agencies to prevent the suspension of electricity services	157 (58.4)	63 (23.4)	49 (18.2)
Healthcare facilities have a management plan for intermittent energy supplies or system failure	157 (56.3)	80 (28.7)	42 (15.1)
Healthcare facilities have a plan or regulation to determine ways to reduce overall energy use	147 (51.8)	87 (30.6)	46 (16.2)
Healthcare facilities have an emergency plan to ensure the availability of adequate lighting, communication and information systems, and refrigeration and sterilization equipment during a flood	148 (52.5)	89 (31.8)	41 (14.6)

#### 5.5.4 Vulnerability of the infrastructure, technologies, production and processes to floods

Vulnerability was assessed based on adaptation of current systems and infrastructures; and promotion of new systems and technologies and sustainability of healthcare facility operations. About 56.2% of the HCFs were highly vulnerable to floods since they didn't have the knowledge, experience (considering previous damages) and resources (including human, material, financial, supplies chain and logistics) to manage flood risk reduction prepared and trained for periods of extreme drought. About 59.2% of the HCFs were highly vulnerable to floods since they were unable to provide greater advocacy on health workforce education to cover climate change risks and responses. About 37.0% of the HCFs were highly vulnerable to floods since they were unable to work with the local government to support vulnerable local populations to actively participate in risk reduction management, policy making, planning and implementation. About 70.1% of the HCFs were highly vulnerable since they were unable to conduct climate risk and vulnerability assessments for all facility sectors to identify risk scenarios, vulnerabilities and the facility's response capacity (Table 23).

**Table 12: Flood checklist for assessing vulnerabilities in infrastructure, technologies production and processes**

INFRASTRUCTURE, TECHNOLOGIES, PRODUCTION AND PROCESSES	Vulnerability levels		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Adaptation of current systems and infrastructures	High	Medium	Low
Healthcare facilities have the knowledge, experience (considering previous damages) and resources (including human, material, financial, supplies chain and logistics) to manage flood risk reduction	159 (56.2)	106 (37.5)	18 (6.4)
Healthcare facilities provide greater advocacy on health workforce education to cover climate change risks and responses	168 (59.2)	93 (32.7)	23 (8.1)
Healthcare facilities work with the local government to support vulnerable local populations to actively participate in risk reduction management, policy making, planning and implementation	105 (37.0)	148 (52.1)	31 (10.9)
Healthcare facilities conduct climate risk and vulnerability assessments for all facility sectors to identify risk	199 (70.1)	74 (26.1)	11 (3.9)



scenarios, vulnerabilities and the facility's response capacity			
Healthcare facilities utilize the assessed information as a basis to plan and prioritize measures to reduce risk impact	157 (56.1)	102 (36.4)	21 (7.5)
Healthcare facilities in their annual planning consider how climate risks may change in the future	168 (59.4)	91 (32.1)	24 (8.5)
Healthcare facilities have resources available to adopt risk reduction measures on the building and its infrastructure, technologies, products and processes	186 (65.5)	82 (28.9)	16 (5.6)
Healthcare facilities regularly update these assessments, considering emerging scientific information	199 (71.1)	67 (23.9)	14 (5.0)
Healthcare facilities have a schedule to inspect the facility regularly, both internally and externally, for signs of deterioration (e.g., cracks or sinking structural elements) to avoid or reduce flood impacts	97 (34.2)	130 (45.8)	57 (20.1)
Healthcare facilities evaluate the condition and safety of structural and non-structural elements impacted by previous exposure to flood	138 (49.8)	102 (36.8)	37 (13.4)
Healthcare facilities have a safe location for critical services and equipment in a flood emergency situation	180 (63.8)	73 (25.9)	29 (10.3)
Healthcare facilities have a safety plan to prevent medical and laboratory equipment supplies, and food packages from being exposed to flood waters	142 (50.2)	94 (33.2)	47 (16.6)
Healthcare facilities have procedures to store food and bottled water on shelves that will be safely out of the way of contaminated water in case of flooding	153 (55.6)	75 (27.3)	47 (5.1)
Healthcare facilities have an effective emergency risk communication plan to reduce risks and impacts for health workers and patients	145 (51.2)	98 (34.6)	40 (14.1)
Healthcare facilities have a contingency plan in place for safe and efficient personnel evacuation (including health staff and patients) before, during and following a flood	190 (67.1)	74 (26.1)	19 (6.7)
Healthcare facilities have a clear and consistent mechanism for secure evacuation of health workers and patients	184 (64.8)	80 (28.2)	20 (7.0)
Healthcare facilities have a plan to transfer critical equipment and medical supplies to another healthcare facility or to a secure storage	152 (53.5)	90 (31.7)	42 (14.8)
Healthcare facilities explore the relationship between social learning and adaptation measures in the face of flood threats to identify and implement the best behavioural responses from successful health facilities	163 (57.8)	98 (34.8)	21 (7.4)
Healthcare facilities have evaluation tools (e.g., forms) to identify damages and minimum needs in terms of health workers and medical supplies to ensure continuous functioning of services	175 (61.6)	78 (27.8)	27 (9.6)
Healthcare facilities have a mechanism for providing prompt maintenance and repair of equipment required for essential services	128 (45.1)	117 (41.2)	39 (13.7)

Healthcare facilities have a plan for relocating medical devices, medicines, mobile equipment and other supplies and services in case of operational disruption or outbreaks and epidemics that overwhelm the facility	156 (55.5)	89 (31.7)	36 (12.8)
Healthcare facilities have walls protected and insulated against moisture and mould	108 (38.3)	101 (35.8)	73 (25.9)
Healthcare facilities assess the performance and vulnerabilities of each critical part of the facility (structural and non-structural elements) that can be affected by floods	127 (44.7)	110 (38.7)	47 (16.5)
Healthcare facilities have measures to remove mosquito breeding sites	60 (21.2)	118 (41.8)	104 (36.9)
Healthcare facilities have roof drainage systems for rainfall	71 (25.1)	107 (37.8)	105 (37.1)
Healthcare facilities have rooftop structures and equipment revised for anticipated increased rainfall	121 (42.9)	87 (30.9)	73 (25.9)
Healthcare facilities have roofs that are leak-proof and insulated	96 (34.1)	98 (34.9)	87 (40.0)
Healthcare facilities have machine rooms that are resistant to flooding or rooftop damage	154 (60.1)	61 (23.8)	41 (16.0)
Healthcare facilities ensure the removal of equipment and power supplies from basements and ground floor levels to avoid damage from flooding	131 (48.2)	81 (29.8)	60 (22.1)
Healthcare facilities have a coordinated mechanism across the health sector in different levels of government, to manage the response and risks resulting from public health emergencies and disasters (including sharing of resources and supplies, transferring of patients, and health workforce support)	133 (47.5)	110 (39.3)	37 (13.2)
Healthcare facilities have established procedures for procuring, and safely transporting and storing medical devices, pharmaceuticals, vaccines, laboratory supplies, parenteral nutrition and blood supplies, and other essential medical supplies	129 (46.1)	91 (32.5)	60 (21.4)
Healthcare facilities have established procedures or plans for procuring, transporting and storing bottled water and food supplies during an emergency	182 (66.4)	71 (25.9)	21 (7.7)
Healthcare facilities have a space within or external to the facility for the storage and stockpiling of additional supplies, considering ease of access, security, temperature, ventilation, light exposure and humidity	148 (52.7)	91 (32.0)	42 (14.8)
Healthcare facilities have a plan to house staff at the healthcare facility if shelter is required (sleeping areas, food, water)	116 (41.6)	104 (37.3)	59 (21.1)
Healthcare facilities have an established post-flood recovery plan for all infrastructure facilities (structural and non-structural elements)	211 (75.4)	52 (18.6)	17 (6.1)
<b>Promotion of new systems and technologies</b>			
Healthcare facilities conduct the promotion of new	133 (60.2)	62 (28.1)	26 (11.8)

systems and technologies			
Healthcare facilities have an information system between the health sector and meteorological services to communicate about climate hazards	202 (71.6)	60 (21.3)	20 (7.1)
Healthcare facilities have an established plan to review, evaluate and catalogue climate risks related to floods for the healthcare facility's location	213 (75.3)	53 (18.7)	17 (6.0)
Healthcare facilities have an established plan to review, evaluate and catalogue risks related to floods for the healthcare facility's supply chain	204 (71.8)	60 (21.1)	20 (7.1)
Healthcare facilities have electronic patient health records to make available to other receiving healthcare facilities, in case of evacuation	187 (69.0)	57 (21.0)	27 (10.0)
Healthcare facilities have information and communication systems safely secured with backup arrangements (via cloud, satellite) to satisfy the facility's demand	195 (67.5)	61 (22.0)	21 (7.6)
Healthcare facilities ensure information and communication flow between the health workforce and policymakers, particularly during high-stress situations and demands created by emergencies	128 (45.2)	116 (50.0)	39 (13.8)
Healthcare facilities have an established, clear and consistent knowledge transfer procedure for a public health emergency	148 (52.3)	108 (38.2)	27 (9.5)
Healthcare facilities have identified capacities, resources and needs to better cope with and manage floods	190 (67.4)	75 (26.6)	17 (6.0)
Healthcare facilities perform site and building maintenance procedures that include specifications on how the weather may affect the safety and continued functioning of the facility	168 (59.6)	90 (31.9)	24 (8.5)
Healthcare facilities have an information system for tracking and monitoring diseases following flood events	118 (41.8)	119 (41.2)	45 (16.0)
<b>Sustainability of healthcare facility operations</b>			
Healthcare facilities have adaptive governance capacity regarding evaluation and measures for risk identification, risk reduction and response	163 (57.8)	99 (35.1)	20 (7.1)
Healthcare facilities have partnerships established between the facility, community and local authorities to reduce vulnerabilities in the surrounding areas	115 (40.5)	134 (47.2)	35 (12.3)
Healthcare facilities have secure storage for hazardous chemicals to avoid their damage or release during a flood event	155 (55.4)	79 (28.2)	46 (16.4)
Healthcare facilities have a defined and sustained budget as part of core budgeting for emergency preparedness and response to flood events	224 (79.2)	44 (15.5)	15 (5.3)
Healthcare facilities have an access route for public transportation which is likely to remain operational during or immediately following a flood event	145 (51.4)	79 (28.0)	58 (20.6)
Healthcare facilities review building code design baselines against rainfall volumes, and map each risk	194 (68.8)	64 (22.7)	24 (8.5)

Healthcare facilities have trees planted in a secure place that will not block access to the facility or fall on the building during an event	67 (23.7)	111 (39.2)	105 (37.1)
Healthcare facilities have estimates of the consumption (such as the amount used per week) of essential medical, pharmaceutical, nutritional and laboratory supplies, personal protective equipment, food, etc., using the most likely flood scenario	117 (41.7)	101 (36.1)	62 (22.1)
Healthcare facilities undertake risk assessments of the supply chain for essential medical and nonmedical products	115 (40.6)	120 (42.4)	48 (17.0)
Healthcare facilities have a secure plan to ensure continuity of the facility's supply and delivery chain	100 (35.5)	111 (39.4)	71 (25.2)
Healthcare facilities have secure access to essential backup services, such as sterilization, laundry and cleaning services, via multiple agreements with different facilities to maintain the functioning of critical services	146 (53.5)	84 (30.8)	43 (15.8)
Healthcare facilities have secure access to essential backup food sources via multiple agreements with different vendors, and through cooperative agreements with other facilities to maintain the functioning of critical services	198 (72.5)	58 (21.2)	17 (6.2)

## 5.6 Vulnerability to water level rise

### 5.6.1 Vulnerability of the health workforce to water level rise

Vulnerability was assessed based on human resources; capacity development; communication and awareness raising; and monitoring and assessment. About 27.9% of the HCFs were highly vulnerable to rise of water levels since the health workforce was unprepared to be aware of the potential risks of water-level rise to the health care facility and to themselves. About 62.8% of the HCFs were highly vulnerable to water-level rise since the health workforce was unprepared to be equipped with a programme for assistance for mental health, injuries, medical treatment. About 66.3% of the HCFs were highly vulnerable to rise of water levels since the healthcare workforce was unprepared to be protected from impacts of water level rise surges. About 65.1% of the HCFs were highly vulnerable to rise of water level rise since the health workforce was unprepared to be equipped with an emergency plan to protect health workers from multiple biological and chemical hazards. About 39.5% of the HCFs were highly vulnerable to rise of water rise since the health workforce was unprepared to be provided with full personal protective equipment, especially, for clean-up crews (Table 29).

**Table 13: Water level rise checklist for assessing vulnerabilities on workforce**

WORKFORCE	Vulnerability levels		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Human resource	High	Medium	Low
The healthcare workforce is aware of the potential risks of water-level rise to the health care facility and to themselves	24 (27.9)	35 (40.7)	27 (31.4)

The healthcare workforce is equipped with a programme for assistance for mental health, injuries, medical treatment, etc	54 (62.8)	22 (25.6)	10 (11.6)
The healthcare workforce is protected from impacts of storm surges	57 (66.3)	20 (23.3)	9 (10.5)
The healthcare workforce is equipped with an emergency plan to protect health workers from multiple biological and chemical hazards	56 (65.1)	26 (30.2)	4 (4.7)
The healthcare workforce is provided with full personal protective equipment, especially, for clean-up crews (including waterproof safety boots, goggles, work gloves and masks)	34 (39.5)	30 (34.9)	22 (25.6)
<b>Capacity development</b>			
The healthcare workforce is equipped with knowledge, experience, training and resources to manage risks and to be prepared to address actions to reduce impacts from water-level rise	49 (57.0)	32 (37.2)	5 (5.8)
The healthcare workforce is prepared and able to implement risk reduction actions and recover better than before the event	50 (58.1)	28 (32.6)	8 (9.3)
The healthcare workforce is trained on public health climate change issues related to effects of water-level rise on human health	62 (72.1)	19 (22.1)	5 (5.8)
The healthcare workforce is trained to manage hazardous chemicals in emergency situations	51 (59.3)	30 (34.9)	5 (5.8)
The healthcare workforce is engaged in the development of plans and responses to water-level rise and storm surge risks	60 (69.8)	22 (25.6)	4 (4.7)
The healthcare workforce is prepared and able to implement risk reduction actions for protecting themselves	43 (50.0)	33 (38.4)	10 (11.6)
The healthcare workforce is prepared with contingency plan for storm surges and floods	61 (70.9)	19 (22.1)	6 (7.0)
The healthcare workforce is trained to maintain the correct level of water safety, quality control, and treatment supplies, in both routine and water-level rise-related events	56 (65.1)	24 (27.9)	6 (7.0)
The healthcare workforce is trained in multiphaser assessments	60 (69.8)	23 (26.7)	3 (3.5)
The healthcare workforce is trained to an appropriate standard to maintain the correct level of safety of electrical power supply, in both routine and emergency/disaster situations	67 (77.9)	14 (16.3)	5 (5.8)
The healthcare workforce is trained to detect posttraumatic stress disorder among staff to take prompt action	48 (55.8)	29 (33.7)	9 (10.5)
<b>Communication and awareness raising</b>			
The healthcare workforce is provided with an established information system for managing occupational safety and health in emergency situations	37 (43.0)	36 (41.9)	13 (15.1)
The healthcare workforce is regularly participating in community disaster planning committees to: improve knowledge on how to reduce risks, be prepared and respond to water-level rise risks, and recover better than before through adaptation measures	45 (52.3)	34 (39.5)	7 (8.1)
The healthcare workforce is provided with a contingency plan for continuing to provide services at other facilities or in communities (primary health care), if necessary	43 (50.0)	30 (34.9)	13 (15.1)
The healthcare workforce is prepared with clear messaging	45 (52.3)	31 (36.0)	10 (11.6)

about water and food safety during and after a storm surge event			
The healthcare workforce is informed on how to reduce risks and vulnerabilities to flood and storm surge events resulting from water-level rise	50 (58.1)	27 (31.4)	9 (10.5)

### 5.6.2 Vulnerability of the WASH component to water level rise

Vulnerability was assessed based on risk management; and health and safety regulations. About 64.0% of the HCFs were highly vulnerable to water level rise since they were unable to have an updated assessment plan to map risks to the water and sanitation infrastructure in place to identify where services could be disrupted from water-level rise. About 43.0% of the HCFs were highly vulnerable since they were unable to regularly assessed its sanitation system for any possible damage from water-level rise impacts. Nearly two thirds, 59.3% of the HCFs were highly vulnerable since they were unable to have an evaluation system to monitor its water system or supply before, during, and after a storm surge event (Table 30).

**Table 14: Water level rise checklist for assessing vulnerabilities on water, sanitation and healthcare waste**

WATER, SANITATION AND HEALTHCARE WASTE	Vulnerability levels		
	High	Medium	Low
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
<b>Monitoring and assessment</b>	<b>High</b>	<b>Medium</b>	<b>Low</b>
Healthcare facilities have an updated assessment plan to map risks to the water and sanitation infrastructure in place to identify where services could be disrupted from water-level rise	55 (64.0)	23 (26.7)	8 (9.3)
Healthcare facilities have regularly assessed its sanitation system for any possible damage from water-level rise impacts	37 (43.0)	35 (40.7)	14 (16.3)
Healthcare facilities have an evaluation system to monitor its water system or supply before, during, and after a water-level rise surge event	51 (59.3)	29 (33.7)	6 (7.0)
Healthcare facilities have a contingency plan for monitoring and reducing contaminant concentrations in the facility's water supply system	46 (53.5)	33 (38.4)	7 (8.1)
Healthcare facilities regularly verify safety conditions and proper functioning of all elements of the water distribution system as early action for water-level rise (e.g. storage tanks, cisterns, valves, pipes and connections, and water disinfection)	46 (53.5)	26 (30.2)	14 (16.3)
Healthcare facilities have a water quality monitoring plan for human consumption	43 (50.0)	33 (38.4)	10 (11.6)
<b>Risk management</b>			



Healthcare facilities have a mechanism to protect freshwater sources around the facility from all types of contamination, including saline intrusion	44 (51.2)	23 (26.7)	19 (22.1)
Healthcare facilities have a safe water and wastewater management system for water-level rise impacts, including standing water near the facility	50 (58.1)	26 (30.2)	10 (11.6)
Healthcare facilities store waste in a safe place to avoid release in case of flooding	38 (44.2)	27 (31.4)	21 (24.4)
Healthcare facilities store hazardous chemical, radioactive and biological waste in a safe place and on a level above the ground floor	46 (53.5)	19 (22.1)	21 (24.4)
Healthcare facilities have a schedule for emptying latrines regularly and in advance of flooding from high tides to avoid overflows	50 (58.1)	22 (25.6)	14 (16.3)
Healthcare facilities have safe waste disposal of debris after a high tide event	43 (50.0)	31 (36.0)	12 (14.0)
Healthcare facilities have an established safe management approach for health care waste transport (including hazardous waste) during and after a flood event due to water-level rise	48 (55.8)	29 (33.7)	9 (10.5)
Healthcare facilities provide appropriate covers for water storage tanks to prevent damage, water contamination and saline water intrusion in case of flooding related to water-level rise	43 (50.0)	24 (27.9)	19 (22.1)
Healthcare facilities have nonreturn valves installed in water supply pipes to prevent backflows, in case of flooding	55 (64.0)	20 (23.3)	11 (12.8)
Healthcare facilities build waste pits to withstand flood events	51 (59.3)	23 (26.7)	12 (14.0)
Healthcare facilities have onsite water purification equipment to provide safe drinking water	52 (60.5)	26 (30.2)	8 (9.3)
<b>Health and safety regulations</b>			
Healthcare facilities have an alternative water source to supply the facility	33 (38.4)	23 (26.7)	30 (34.9)
Healthcare facilities have a water safety plan in place, in case of water contamination	50 (58.1)	20 (23.3)	16 (18.6)
Healthcare facilities have a mechanism or regulation to carry out sanitary inspections of water supply, and when necessary, establish a temporary ban on use, until improvements are made	40 (46.5)	31 (36.0)	15 (17.4)
Healthcare facilities have a contingency plan to ensure effective and timely delivery of safe water during floods and emergencies over the short- and mid-term	49 (57.0)	27 (31.4)	10 (11.6)
Healthcare facilities have a coordinated cross-sectoral water management plan to protect local or alternative water sources	44 (51.2)	29 (33.7)	13 (15.1)

### 5.6.3 Vulnerability of the energy component to water-level rise

About 67.9% of the HCFs were highly vulnerable to rise of water levels since they were unprepared to regularly assess its energy system to ensure that it can cope with water-level rise events. About 73.3% of the HCFs were highly vulnerable since they were unprepared to have an emergency backup



generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during and after the event. About 71.4% of the HCFs were highly vulnerable since they unprepared to periodically check the emergency backup generator (including fuel, where relevant). About 36.9% of the HCFs were highly vulnerable since they were unprepared to assess whether renewable energy (if available, such as solar) is sufficient to power critical equipment. About 68.1% of the HCFs were highly vulnerable since they were unprepared have a secure place to protect the backup generator (Table 31).

**Table 15: Water level rise checklist for assessing vulnerabilities on energy**

ENERGY	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Monitoring and assessment	High	Medium	Low
Healthcare facilities regularly assess its energy system to ensure that it can cope with water-level rise events	55 (67.9)	13 (16.0)	13 (16.0)
Healthcare facilities have an emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during and after the event	55 (73.3)	8 (10.7)	12 (16.0)
Healthcare facilities periodically check the emergency backup generator (including fuel, where relevant)	50 (71.4)	10 (14.3)	10 (14.3)
Healthcare facilities assess whether renewable energy (if available, such as solar) is sufficient to power critical equipment	31 (36.9)	28 (33.3)	25 (29.8)
Risk management			
Healthcare facilities have a secure place to protect the backup generator (e.g. an elevated place; including fuel or battery storage, where relevant) from damage	47 (68.1)	14 (20.3)	8 (11.6)
Healthcare facilities have appliance thermometers in the refrigerator and freezer to determine if food, vaccines and other essential refrigeration-dependent medical supplies are safe	22 (27.2)	19 (23.5)	40 (49.4)
Healthcare facilities have adequate daylight to ensure proper visibility during power outage	25 (29.8)	20 (23.8)	39 (46.4)
Healthcare facilities have power-operated doors that can be opened manually to permit exit in case of power failure	31 (52.1)	14 (19.7)	20 (28.2)
Healthcare facilities have a safety backup for telecommunication and information systems (e.g. via cloud and satellite)	61 (76.3)	13 (16.3)	6 (7.5)
Healthcare facilities have a clear guidance to alert staff on safety measures (e.g. never restore power when the power is off, until a professional inspects and ensures the integrity of the electrical system; do not use electrical equipment that has been exposed to flood waters until checked by an electrician; unless power is off, never enter flooded areas or touch electrical equipment if the ground is wet)	51 (60.7)	25 (29.8)	8 (9.5)

Health and safety regulation			
Healthcare facilities have an emergency plan for power outages in the short- and long-term (before, during and after a water-level rise flood event)	61 (71.8)	14 (16.5)	10 (11.8)
Healthcare facilities work with energy utility agencies to prevent suspension of electricity services	49 (60.5)	20 (24.7)	12 (14.8)
Healthcare facilities have a management plan for intermittent energy supplies or system failure	52 (61.2)	23 (27.1)	10 (11.8)
Healthcare facilities have a plan or regulation to determine ways to reduce overall energy use	48 (55.8)	26 (30.2)	12 (14.0)
Healthcare facilities have an emergency plan to ensure availability of adequate lighting, communication and information systems, as well as refrigeration and sterilization equipment during a water level rise	50 (58.8)	24 (28.2)	11 (12.9)

#### 5.6.4 Vulnerability of infrastructure, technologies, products and processes to water level rise

About 79.8% of the HCFs were highly vulnerable since they were unprepared to provide health workforce training to cover climate change risks and responses regarding water-level rise. About 74.1% of the HCFs were highly vulnerable since they were unprepared to have monitoring and early warning system integrated with other areas to manage and reduce risks from storm surges and floods related to water-level rise. About 58.8% of the HCFs were highly vulnerable since they were unprepared to have knowledge, experience (considering previous damages) and resources (including human, material, financial, supplies chain and logistics) to manage risks from water-level rise (Table 32).

**Table 16: Water level rise checklist for assessing infrastructure, technologies, products and processes**

INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS AND PROCESSES	Vulnerability levels		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
<b>Adaptation of current systems and infrastructures</b>	<b>High</b>	<b>Medium</b>	<b>Low</b>
Healthcare facilities provide health workforce training to cover climate change risks and responses regarding water-level rise	67 (79.8)	15 (17.9)	2 (2.4)
Healthcare facilities have a monitoring and early warning system integrated with other areas to manage and reduce risks from storm surges and floods related to water-level rise	63 (74.1)	18 (21.2)	4 (4.7)
Healthcare facilities have knowledge, experience (considering previous damages) and resources (including human, material, financial, supplies chain and logistics) to manage risks from water-level rise	50 (58.8)	30 (35.3)	5 (5.9)
Healthcare facilities work with the local government to support vulnerable local populations to actively participate in risk reduction management, policy making, planning and implementation	37 (43.0)	38 (44.2)	11 (12.8)
Healthcare facilities map the facility's location relative to water-level rise hazards	48 (55.8)	27 (31.4)	11 (12.8)

Healthcare facilities assess the performance and vulnerabilities of each critical part of the facility (structural and non-structural elements) that can be affected by water-level rise hazards	52 (60.5)	25 (29.1)	9 (10.5)
Healthcare facilities have a plan for assessing vulnerable public infrastructure along the coastal area of the health facility (e.g. transit systems and roads, water and sewage systems, energy infrastructure, alternative routes for other healthcare facilities, logistics and supply chain for medical and laboratory supplies, drinking water, food and other supplies)	55 (64.7)	36 (30.6)	4 (4.7)
Healthcare facilities in their annual planning consider how climate risks may change in future	63 (75.0)	16 (19.0)	5 (6.0)
Healthcare facilities have resources available to adopt risk reduction measures to the facility and its infrastructure, technologies, products and processes	64 (75.3)	12 (14.1)	9 (10.9)
Healthcare facilities regularly update these assessments, considering emerging scientific information	58 (68.2)	24 (28.2)	3 (3.5)
Healthcare facilities have a schedule to inspect the facility regularly, both internally and externally, for signs of deterioration (e.g. broken plaster, cracks, corrosion, or sinking structural elements) to avoid or reduce water-level rise impacts	44 (51.2)	30 (34.9)	12 (14.0)
Healthcare facilities evaluate the condition and safety of structural and non-structural elements impacts resulting from previous exposure to water-level rise event	49 (57.6)	26 (30.6)	10 (11.8)
Healthcare facilities have evaluation tools (e.g. forms) to check and identify damages and the minimum needs in terms of health workers, medical supplies and other essential supplies and services to ensure that operational care service functions continue during and after a storm surge event	59 (70.2)	18 (21.4)	7 (8.3)
Healthcare facilities have funding to protect the facility and vulnerable assets from water-level rise	66 (78.6)	13 (15.5)	5 (6.0)
Healthcare facilities have an evacuation plan to transfer critical medical, laboratory and administration equipment to another healthcare facility or to safety storage or location in a storm surge emergency situation.	61 (73.5)	14 (16.9)	8 (9.6)
Healthcare facilities have established procedures for safely procuring, transporting and storing medical supplies (medical devices, pharmaceuticals, vaccines, laboratory supplies, parenteral nutrition and blood supplies, and other essential healthcare supplies)	48 (56.5)	19 (22.4)	18 (21.2)
Healthcare facilities have established procedures for safely procuring, transporting and storing bottled water and food supplies during an emergency	55 (64.7)	22 (25.9)	8 (9.4)
Healthcare facilities have an effective emergency risk communication plan to reduce risks and impacts for health workers and patients	59 (68.6)	19 (22.1)	8 (9.3)
Healthcare facilities have a contingency plan in place for safe and efficient personnel evacuation (including health staff and patients) before, during and following a water rise level	57 (67.1)	21 (24.7)	7 (8.2)
Healthcare facilities have a clear and consistent mechanism for secure evacuation of health workers and patients	63 (73.3)	18 (20.9)	5 (5.8)
Healthcare facilities have evacuation routes above flood	60 (70.6)	19 (22.4)	6 (7.1)

elevation			
Healthcare facilities have a plan to transfer critical equipment and medical supplies to another facility or to a safe storage	52 (61.2)	21 (24.7)	12 (14.1)
Healthcare facilities implement anti-mosquito breeding measures	32 (37.6)	30 (35.3)	23 (27.1)
Healthcare facilities have walls protected and insulated against moisture and mould	49 (57.6)	20 (23.5)	16 (18.8)
Healthcare facilities have machine rooms resistant to storm surge damage	56 (68.3)	15 (18.3)	11 (13.4)
Healthcare facilities have water-resistant interior construction	48 (57.1)	24 (28.6)	12 (14.3)
Healthcare facilities ensure the removal of equipment and power supplies from basements and ground floor levels to avoid damage from flooding	46 (56.1)	25 (30.5)	11 (13.4)
Healthcare facilities have a coordinated mechanism across the health sector at different levels of government, to manage the response and risks of public health emergencies and disasters (including sharing of resources and supplies, transferring of patients, and health workforce support)	51 (62.2)	19 (23.2)	12 (14.6)
Healthcare facilities estimate the possible risks and losses and adapt to reduce impacts	50 (61.0)	25 (30.5)	7 (8.5)
<b>Promotion of new systems technologies</b>			
Healthcare facilities have an information system between the health sector and meteorological services to communicate about storm surge hazards	53 (66.3)	23 (28.8)	4 (5.0)
Healthcare facilities have electronic patient health records to make available to other receiving healthcare facilities in case of evacuation	50 (64.1)	6 (7.7)	22 (28.2)
Healthcare facilities have implemented measures to respond to water-level rise scenarios and threats (e.g. water pump stations, floodplain mapping, assessing future water-level rise impacts)	63 (74.1)	20 (23.5)	2 (2.4)
Healthcare facilities have mitigation measures in place to respond to water-level rise scenarios and threats identified, including engineering, planning, as well as preparedness solutions for the facility and community surroundings (e.g. stormwater pump stations, floodplain mapping, assessing future climate change impacts)	59 (69.4)	23 (27.1)	3 (3.5)
<b>Sustainability of healthcare facility operations</b>			
Healthcare facilities review building code design baselines against water-level rise to assess the risks, impacts, and possible loss	57 (67.1)	25 (29.4)	3 (3.5)
Healthcare facilities have adaptive governance capacity regarding evaluation and measures for risk identification, risk reduction, and response to water-level rise conditions	60 (70.6)	23 (27.1)	2 (2.4)
Healthcare facilities have established partnerships between the facility, community, and local authorities to reduce vulnerabilities in the surrounding areas	41 (47.7)	34 (39.5)	11 (12.8)
Healthcare facilities have healthcare coalitions and partnerships with local healthcare providers for strategic decision-making on health services and clinical resources	41 (47.7)	35 (40.7)	10 (11.6)

Healthcare facilities have a route for public transportation that is likely to remain operational during or immediately following a flood event	48 (56.5)	25 (29.4)	12 (14.1)
Healthcare facilities have salt-resistant trees and plants	52 (65.0)	21 (26.3)	7 (8.8)
Healthcare facilities have trees planted in a secure place that will not block access to the facility or fall on the building in case of land erosion or wave actions	32 (38.1)	28 (33.3)	24 (28.6)
Healthcare facilities have secure storage for hazardous chemicals to avoid their damage or release during an event	48 (55.8)	24 (27.9)	14 (16.3)
Healthcare facilities undertake risk assessments of the supply chain for essential medical and nonmedical products	39 (45.3)	29 (33.7)	18 (20.9)
Healthcare facilities have secure access to essential backup services such as sterilization, laundry and cleaning services, via multiple agreements with different facilities to maintain the functioning of critical services during or immediately following an event	46 (55.4)	24 (28.9)	13 (15.7)
Healthcare facilities have secure access to essential backup food sources via multiple agreements with different vendors and through cooperative agreements with other facilities to maintain the functioning of critical services during or immediately following an event	55 (67.1)	19 (23.2)	8 (9.8)
Healthcare facilities have a coordinated plan with municipal health department heads to ensure appropriate preparations for the ongoing water-level rise	55 (66.3)	23 (27.7)	5 (6.0)
Healthcare facilities have a post-flood recovery plan related to water-level rise for the entire infrastructure (structural and non-structural elements) of the facility (e.g. clearance, removal and disposal of debris; demolition of critically damaged, or repair of less damaged, structural elements; repositioning of equipment and furniture; reassessment of risks)	61 (72.6)	15 (17.9)	8 (9.5)
Healthcare facilities have a plan to consider relocating the facility	66 (82.5)	8 (10.0)	6 (7.5)

## 5.7 Vulnerability to landslides

### 5.7.1 Vulnerability of the health workforce to landslides

About 55.4% of the HCFs were highly vulnerable since the health workforce were unable to be provided with programs for supporting staff with regard to mental health, injuries, medical treatment and related support measures. About 69.9% of the HCFs were highly vulnerable since the health workforce were unable to be equipped with an emergency plan for shift relay or replacement of health professionals to ensure that staff get adequate rest. About 75.9% of the HCFs were highly vulnerable since the health workforce were unable to be prepared with a contingency plan for accessing additional health workforce to strengthen performance capacity (Table 56).

**Table 17: Vulnerability of the health workforce to landslides**

WORKFORCE	Vulnerability level
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>	

Human Resource	High	Medium	Low
The health workforce is provided with programs for supporting staff with regard to mental health, injuries, medical treatment and related support measures	46 (55.4)	30 (36.4)	7 (8.4)
The health workforce is equipped with an emergency plan for shift relay or replacement of health professionals to ensure that staff get adequate rest	58 (69.9)	14 (16.9)	11 (13.3)
The health workforce is prepared with a contingency plan for accessing additional health workforce to strengthen performance capacity	63 (75.9)	17 (20.8)	3 (3.6)
The health workforce is provided with an information system to manage occupational safety and health in the facility during a landslide	57 (68.7)	23 (27.7)	3 (3.6)
The health workforce is equipped with an emergency plan to protect health workers from multiple biological and chemical hazards	52 (62.7)	26 (31.3)	5 (6.0)
The health workforce is provided with a post-landslide employee recovery assistance Programme according to staff needs	74 (90.2)	6 (7.3)	2 (2.44)
The health workforce is equipped with a coordinated plan. including volunteers on standby, to assist during an emergency or to support health professionals	55 (66.3)	21 (25.3)	7 (8.4)
The health workforce is provided with full personal protective equipment. especially for clean-up crews (including waterproof safety boots, goggles, work gloves and masks)	28 (34.2)	40 (48.8)	14 (17.1)
The health workforce is provided with safe water and food during a landslide event	52 (64.2)	21 (25.9)	8 (9.9)
<b>Capacity development</b>			
The health workforce is trained on public health and climate change hazards Including health impacts related to landslides	65 (78.3)	13 (15.7)	5 (6.0)
The health workforce is equipped with knowledge, experience, training and resources to manage landslide risk reduction at the facility and in the local communities	63 (75.1)	16 (19.3)	4 (4.8)
The health workforce is engaged in the development of plans and responses to landslide risks	67 (80.7)	13 (15.7)	3 (3.6)
The health workforce is prepared and able to implement risk-reduction actions to protect themselves	53 (63.9)	28 (33.7)	2 (2.4)
The health workforce is prepared with a contingency plan for additional health workforce to strengthen performance capacity	67 (80.7)	12 (14.5)	4 (4.8)
The health workforce is prepared with a contingency plan for continuing to provide services at other facilities or in the local communities (primary health care), if necessary	48 (57.8)	31 (37.4)	4 (4.8)
The health workforce is trained to detect posttraumatic stress disorder among staff to take prompt action	39 (47.0)	40 (48.2)	4 (4.8)
The health workforce is trained to manage hazardous chemicals in emergency situations of a landslide	62 (74.7)	18 (21.7)	3 (3.6)
The health workforce is trained to an appropriate standard to maintain the correct level of safety of electrical power supply, in both routine and emergency/disaster situations	70 (84.3)	10 (12.1)	3 (3.6)
<b>Communication and awareness raising</b>			
The health workforce is provided with a safe internal	49 (59.0)	26 (31.3)	8 (9.6)



communication system, especially in emergency situations			
The health workforce is informed on how to use and follow a surveillance system to track health outcomes	33 (39.8)	31 (37.4)	19 (22.9)
The health workforce is aware of contingency plans for accessing and leaving the facility during landslide emergencies, and health workforce transportation	58 (70.7)	15 (18.3)	9 (11.0)
The health workforce regularly participates in community disaster planning committees to: improve knowledge of how to reduce risks, be prepared and respond to landslides, and recover better than before through adaptation measures	59 (71.1)	19 (22.9)	5 (6.0)
The health workforce is prepared with clear messaging about water and food safety during and after landslides	54 (65.1)	25 (30.1)	4 (4.8)
The health workforce is prepared with clear messaging, and staff trained on exit and evacuation routes that are clearly marked and free of obstacles to enable emergency evacuation	68 (81.9)	15 (18.1)	0 (0.0)
The health workforce is equipped with a landslide plan or Programme with clear instructions on how to proceed during landslide emergency situations	70 (85.4)	10 (12.2)	2 (2.4)
The health workforce is equipped with a community health educational Programme to assist the community in reducing vulnerabilities to landslide impacts	49 (59.0)	29 (35.0)	5 (6.0)
The health workforce is equipped with a community health educational Programme to improve community health in the face of a landslide	50 (60.2)	25 (30.1)	8 (9.6)

### 5.7.2 Vulnerability of the WASH component to landslides

About 65.5% of the HCFs were highly vulnerable since they were unable to verify water safety conditions, including updated risk assessments to map water resources and water supplies for the facility. About 71.1% of the HCFs were highly vulnerable since they were unable to have a quality monitoring plan for drinking water during and after the landslide event. About 53.6% of the HCFs were highly vulnerable since they were unable to regularly assess their sanitation system for any possible damage in the event of a landslide (Table 57).

**Table 18: Vulnerability of the WASH component to landslides**

WATER, SANITATION AND HEALTHCARE WASTE	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Monitoring and assessment	High	Medium	Low
The health care facility verifies water safety conditions, including updated risk assessments to map water resources and water supplies for the facility	55 (65.5)	25 (29.8)	4 (4.8)
The healthcare facility has a quality monitoring plan for drinking water during and after the landslide event	59 (71.1)	19 (22.9)	5 (6.0)
The healthcare facility regularly assesses its sanitation system for any possible damage in the event of a landslide	45 (53.6)	31 (36.9)	8 (9.5)
The healthcare facility monitors sewer overflows in order	47 (75.8)	12 (19.4)	3 (4.8)

to fix pumps in advance of the landslide season			
The healthcare facility regularly verifies safety conditions and proper functioning of all elements of the water distribution system, including storage tanks, cisterns, valves, pipes and connections and water disinfection	44 (55.0)	23 (28.8)	13 (16.3)
The healthcare facility has information on water system installation that ensures lower risk of contamination	45 (54.9)	27 (32.9)	10 (12.2)
The healthcare facility conducts a waste audit to reduce waste as much as possible	42 (50.6)	24 (28.9)	17 (20.5)
<b>Risk management</b>			
The healthcare facility has anti-mosquito breeding measures	18 (21.4)	29 (34.5)	37 (44.1)
The healthcare facility has a schedule for emptying latrines in advance of the landslide season to avoid over flows	58 (72.5)	14 (17.5)	8 (10.0)
The healthcare facility has a safe health care waste storage	26 (31.3)	31 (37.4)	26 (31.3)
The healthcare facility has a safe waste disposal system before, during and after a landslide	36 (43.9)	25 (30.5)	21 (25.6)
The healthcare facility has an established safe management approach to health care waste transport (including hazardous waste) in case of Landsides	57 (68.7)	18 (21.7)	8 (9.6)
The healthcare facility has chemical, radioactive and biological hazardous waste stored in a safe place and on a level above the ground floor	50 (67.6)	16 (21.6)	8 (10.8)
The healthcare facility has water storage tanks appropriately covered to prevent access or contamination, and safety located for landslide events	29 (36.3)	25 (31.3)	26 (32.5)
The healthcare facility has an onsite water purification equipment to provide safe drinking water	42 (50.6)	29 (34.9)	12 (14.5)
The healthcare facility has a non-return valve installed on the water supply pipes to prevent backflows	51 (68.9)	12 (16.2)	11 (14.9)
The healthcare facility has waste pits able to withstand landslide events	48 (57.1)	20 (23.8)	16 (19.1)
The healthcare facility has a surveillance system for diseases related to water quality and sanitation	22 (26.2)	35 (41.7)	27 (32.1)
The healthcare facility has a keep waste sealed in rubbish bins to avoid rodents	18 (21.4)	28 (33.3)	38 (45.2)
<b>Health and safety regulation</b>			
The health care facility has an emergency water supply plan	56 (66.7)	19 (22.6)	9 (10.7)
The health care facility has staff who are trained to an appropriate standard to maintain the correct level of safety of water quality controls, use of supplies and alternative sources	54 (65.0)	21 (25.3)	8 (9.6)
The health care facility has a water safety plan in place, in case of water contamination	49 (58.3)	25 (29.8)	10 (11.9)
The health care facility has a mechanism or regulation to carry out sanitary inspections of water supply and when necessary, establish a temporary ban on use until improvements are made	41 (48.8)	31 (36.9)	12 (14.3)
The health care facility has a contingency plan to ensure	64 (76.2)	15 (17.9)	5 (96.0)

effective and timely delivery of safe water during landslides and emergencies over the short- and long-term			
The health care facility has a plan to provide and maintain adequate cleaning and disinfection supplies (such as chlorine, filters or other water treatment technology, rapid water testing kit) for water safety	39 (46.4)	25 (29.8)	20 (23.8)
The health care facility has an emergency plan for maintenance and restoration of waste management systems	55 (65.5)	22 (26.2)	7 (8.3)

### 5.7.3 Vulnerability of the energy component to landslides

About 68.0% of the HCFs were highly vulnerable since they were unable to regularly assesses their energy system to ensure that it can cope with landslide events. About 82.8% of the HCFs were highly vulnerable since they were unable to have an emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during and after a landslide event (Table 58).

**Table 19: Vulnerability of the energy component to landslides**

ENERGY	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Monitoring and assessment	High	Medium	Low
The healthcare facility regularly assesses its energy system to ensure that it can cope with landslide events	53 (68.0)	13 (16.7)	12 (15.4)
The health care facility has an emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during and after a landslide event	53 (82.8)	4 (6.3)	7 (10.9)
The healthcare facility periodically checks emergency backup generators (including fuel, where assess whether renewable energy (If available. such as solar)	39 (76.5)	7 (13.7)	5 (9.8)
The health care facility identifies priority areas within the facility which would require emergency power during and after a landslide event	28 (35.0)	33 (41.3)	19 (23.8)
Risk management			
The health care facility has a secure place to protect the backup generator (e.g., an elevated place; including fuel or battery storage. where relevant) from landslide waters	39 (73.58)	8(15.09)	6 (11.32)
The health care facility has adequate daylight to ensure proper visibility during a power outage	13 (15.9)	33 (40.2)	36 (43.9)
The health care facility has power-operated doors that can easily be opened manually to permit exit in case of power failure	31 (59.6)	9 (17.3)	12 (23.1)
The health care facility has appliance thermometers in the refrigerator and freezer to determine if food, vaccines and other essential refrigeration-dependent medical supplies are safe	23 (28.4)	15 (18.5)	43 (53.1)

The health care facility has a clear guidance to alert staff on safety measures e.g never restore power	49 (64.5)	17 (22.4)	10 (13.2)
<b>Health and safety regulation</b>			
The health care facility has an emergency plan for power outages in the short- and long-term (before, during and after a landslide)	56 (69.1)	19 (23.5)	6 (7.4)
The health care facility has work with energy utility agencies to prevent the suspension of electricity services	56 (76.7)	12 (16.4)	5 (6.6)
The health care facility has a management plan for intermittent energy supplies or system failure	52 (65.0)	17 (21.3)	11 (13.8)
The health care facility has a plan or regulation to determine ways to reduce overall energy use	53 (67.0)	13 (16.5)	13 (16.5)
The health care facility has an emergency plan to ensure availability of adequate lighting, communication and Information systems, and refrigeration and sterilization equipment during a landslide	54 (67.5)	18 (22.5)	8 (10.0)

#### 5.7.4 Vulnerability of infrastructure, technologies, products and processes to landslides

About 69.9% of the HCFs were highly vulnerable since they were unable to have knowledge, experience (considering previous damages), and resources (including, material, financial, supplies chain, and logistics) to manage landslide risk reduction. About 69.1% of the HCFs were highly vulnerable since they were unable to provide greater advocacy on health workforce education to cover climate change risks and responses. About 41.7% of the HCFs were highly vulnerable since they were unable to work with the local government to support vulnerable local populations to actively participate in risk reduction management, policy making, planning, and implementation (Table 59).

**Table 20: Vulnerability of infrastructure, technologies, products and processes to landslides**

Infrastructure, technologies, products, and processes	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Adaptation of current systems and infrastructures	High	Medium	Low
Healthcare facilities have the knowledge, experience (considering previous damages), and resources (including, material, financial, supplies chain, and logistics) to manage landslide risk reduction	58 (69.9)	22 (26.5)	3 (3.6)
Healthcare facilities provide greater advocacy on health workforce education to cover climate change risks and responses	58 (69.1)	22 (26.2)	4 (4.8)
Healthcare facilities work with the local government to support vulnerable local populations to actively participate in risk reduction management, policy making, planning, and implementation	35 (41.7)	41 (48.8)	8 (9.5)

Healthcare facilities conduct climate risk and vulnerability assessments for all facility sectors to identify risk scenarios, vulnerabilities, and the facility's response capacity	62 (73.8)	17 (20.2)	5 (6.0)
Healthcare facilities utilize the assessed information as a basis to plan and prioritize measures to reduce risk impact	57 (67.9)	25 (29.8)	2 (2.4)
Healthcare facilities in their annual planning consider how climate risks may change in the future	58 (69.0)	24 (28.6)	2 (2.3)
Healthcare facilities have resources available to adopt risk reduction measures on the building and its infrastructure, technologies, products, and processes	61 (73.5)	18 (21.5)	4 (4.8)
Healthcare facilities regularly update these assessments, considering emerging scientific information	70 (83.3)	12 (14.3)	2 (3.4)
Healthcare facilities have a schedule to inspect the facility regularly, both internally and externally, for signs of deterioration (e.g. cracks or sinking structural elements) to avoid or reduce landslide impacts	37 (44.0)	32 (38.1)	15 (17.9)
Healthcare facilities evaluate the condition and safety of structural and non-structural elements impacted by previous exposure to landslide	48 (57.1)	27 (32.1)	9 (10.7)
Healthcare facilities have a safe location for critical services and equipment in a landslide emergency situation	64 (77.1)	13 (15.7)	6 (7.2)
Healthcare facilities have a safety plan to prevent medical and laboratory equipment supplies, and food packages to be exposed to landslide waters	54 (67.5)	22 (27.5)	4 (5.0)
Healthcare facilities have procedures to store food and bottled water on shelves that will be safely out of the way of contaminated water in case of a landslide	56 (71.8)	13 (16.7)	9 (11.5)
Healthcare facilities have an effective emergency risk communication plan to reduce risks and impacts for health workers and patients	61 (73.5)	18 (21.7)	4 (4.8)
Healthcare facilities have a contingency plan in place for safe and efficient personnel evacuation (including staff and patients) before, during and following a landslide	65 (77.4)	16 (19.0)	3 (3.6)
Healthcare facilities have a clear and consistent mechanism for secure evacuation of health workers and patients	67 (80.7)	14 (16.9)	2 (2.4)
Healthcare facilities have a plan to transfer critical equipment and medical supplies to another healthcare	56 (66.7)	21 (25.0)	7 (8.3)

facility or to a secure storage			
Healthcare facilities explore the relationship between social learning and adaptation measures in the face of landslide threats to identify and implement the best behavioral responses from successful health facilities	60 (72.3)	20 (24.1)	3 (3.6)
Healthcare facilities have evaluation tools (e.g. forms) to identify damages and minimum needs in terms of health workers and medical supplies to ensure the continuous functioning of services	64 (76.2)	11 (13.1)	9 (10.7)
Healthcare facilities have a mechanism for providing prompt maintenance and repair of equipment required for essential services	58 (69.0)	16 (19.0)	10 (11.9)
Healthcare facilities have a plan for relocating medical devices, medicines, mobile equipment, and other supplies and services in case of operational disruption or outbreaks and epidemics that overwhelm the facility	62 (74.7)	17 (20.5)	4 (4.8)
Healthcare facilities have walls protected and insulated against moisture and mould	34 (40.5)	31 (36.9)	19 (22.6)
Healthcare facilities assess the performance and vulnerabilities of each critical part of the facility (structural and non-structural elements) that can be affected by a landslide	48 (57.1)	31 (36.9)	5 (6.0)
Healthcare facilities have measures to remove mosquito breeding sites	17 (20.2)	32 (38.1)	35 (41.7)
Healthcare facilities have roof drainage systems for rainfall	28 (33.3)	25 (29.8)	31 (36.9)
Healthcare facilities have rooftop structures and equipment revised for anticipated increased rainfall	44 (52.4)	15 (17.9)	25 (29.8)
Healthcare facilities have roofs that are leak-proof and insulated	35 (42.2)	21 (25.3)	27 (32.5)
Healthcare facilities have machine rooms that are resistant to landslide or rooftop damage	56 (76.7)	8 (11.0)	9 (12.3)
Healthcare facilities have a coordinated mechanism across the health sector in different levels of government, to manage the response and risks resulting from public health emergencies and disasters (including sharing of resources and supplies, transferring of patients, and health workforce support	51 (61.5)	21 (25.3)	11 (13.3)
Healthcare facilities have established procedures for procuring, safely transporting, and storing medical devices, pharmaceuticals, vaccines, laboratory	44 (55.0)	26 (32.5)	10 (12.5)



supplies, parenteral nutrition and blood supplies, and other essential medical supplies			
Healthcare facilities have established procedures or plans for procuring, transporting, and storing bottled water and food supplies during an emergency	62 (78.5)	13 (16.5)	4 (5.1)
Healthcare facilities have a space within or external to the facility for the storage and stockpiling of additional supplies, considering ease of access, security, temperature, ventilation, light exposure and humidity	50 (60.2)	24 (28.9)	9 (10.8)
Healthcare facilities have a plan to house staff at the healthcare facility if shelter is required (sleeping areas, food, water)	42 (50.6)	27 (32.5)	14 (16.9)
Healthcare facilities have an established post-landslide recovery plan for all infrastructure facilities (structural and non-structural elements)	68 (81.0)	13 (15.5)	3 (3.6)

**Landslide checklist on infrastructure, technologies, products and processes**

<b>Infrastructure, technologies, products and processes</b>	<b>Vulnerability level</b>		
<b>Promotion of new systems and technologies</b>			
<b><i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i></b>			
<b>The healthcare facility has</b>	<b>High</b>	<b>Medium</b>	<b>Low</b>
Promotion of new systems and technologies	48 (71.6)	13 (19.4)	6 (9.0)
Healthcare facilities have an information system between the health sector and meteorological services to communicate about climate hazards	68 (81.9)	12 (14.5)	3 (3.6)
Healthcare facilities have an established plan to review, evaluate, and catalogue climate risks related to landslides for the healthcare facility's location	69 (83.1)	13 (15.7)	1 (1.2)
Healthcare facilities have an established plan to review, evaluate and catalogue risks related to landslides for the healthcare facility's supply chain	67 (80.7)	13 (15.7)	3 (3.6)
Healthcare facilities have electronic patient health records to make available to others receiving healthcare facilities, in case of evacuation	59 (74.7)	12 (15.2)	8 (10.1)
Healthcare facilities have information and communication systems safely secured with backup arrangements (via cloud, satellite) to satisfy the facility's demand	63 (81.8)	8 (10.4)	6 (7.8)
Healthcare facilities ensure information and communication flow between the health workforce and policymakers, particularly during high-stress situations and demands created by emergencies	41 (50.0)	30 (36.6)	11 (13.4)
Healthcare facilities have an established, clear, and consistent knowledge transfer procedure for public health emergency	56 (67.5)	23 (27.7)	4 (4.8)

Healthcare facilities have identified capacities, resources, and needs to better cope and manage landslides	61 (73.5)	19 (22.9)	3 (3.6)
Healthcare facilities perform site and building maintenance procedures that include specifications on how the weather may affect the safety and continued functioning of the facility	60 (72.3)	18 (21.7)	5 (6.0)
Healthcare facilities have an information system for tracking and monitoring diseases following landslide events	37 (44.6)	33 (39.8)	13 (15.7)

<b>Infrastructure, technologies, products and processes</b>	<b>High</b>	<b>Medium</b>	<b>Low</b>
<b>Sustainability of healthcare facility operations</b>			
have adaptive governance capacity regarding evaluation and measures for risk identification, risk reduction, and response	60 (72.3)	18 (21.7)	5 (6.0)
have partnerships established between the facility, community, and local authorities to reduce vulnerabilities in the surrounding areas	35 (42.1)	35 (42.2)	13 (15.7)
have secure storage for hazardous chemicals to avoid their damage or release during a landslide event	57 (69.5)	17 (20.7)	8 (9.8)
Healthcare facilities have a defined and sustained budget as part of core budgeting for emergency preparedness and response to landslide events	69 (83.1)	12 (14.5)	2 (2.4)
Healthcare facilities have an access route for public transportation which is likely to remain operational during or immediately following a landslide event	46 (55.4)	17 (20.4)	20 (24.1)
Healthcare facilities review building code design baselines against rainfall volumes, and map each risk	61 (73.5)	18 (21.7)	4 (4.9)
Healthcare facilities have trees planted in a secure place that will not block access to the facility or fall on the building during an event	25 (30.1)	31 (37.4)	27 (32.5)
Healthcare facilities have estimates of the consumption (such as the amount used per week) of essential, pharmaceutical, nutritional, and laboratorial supplies, personal protective equipment, food, etc., using the most likely landslide scenario	43 (52.4)	24 (29.3)	15 (18.3)
Healthcare facilities undertake risk assessments of the supply chain for essential medical and nonmedical products	45 (54.2)	27 (32.5)	11 (13.3)
Healthcare facilities have a secure plan to ensure the continuity of the facility's supply and delivery chain	41 (49.4)	23 (27.7)	19 (22.9)

## 5.8 Vulnerability to heat waves

### 5.8.1 Vulnerability of the health workforce to heat waves

About 57.1% of the HCFs were highly vulnerable to heat waves since the health workforce were not equipped with a plan to identify and protect health workers at risk of heat stress. About 78.6% of the HCFs were highly vulnerable since the health workforce were unable to be provided with appropriate clothes (e.g., light, loose-fitting cotton clothes, and when necessary, a hat). About 78.6% of the HCFs were highly vulnerable since the health workforce were unable to be provided with

sunscreen, hats and plenty of drinking water for staff carrying out outdoor activities. About 50.0% of the HCFs were highly vulnerable since the health workforce were unable to be provided with safe water during a heatwave event and stimulated regularly for appropriate water (Table 38).

**Table 21: Vulnerability of the health workforce to heat waves**

HEALTH WORKFORCE	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Human resource	High	Medium	Low
The health workforce is equipped with a plan to identify and protect health workers at risk of heat stress	8 (57.1)	4 (28.6)	2 (14.3)
The health workforce is provided with appropriate clothes (e.g., light, loose-fitting cotton clothes, and when necessary, a hat)	11 (78.6)	2 (14.3)	1 (7.1)
The health workforce is provided with sunscreen, hats and plenty of drinking water for staff carrying out outdoor activities	11 (78.6)	2 (14.3)	1 (7.1)
The health workforce is provided with safe water during a heatwave event and stimulated regularly for appropriate water intake	7 (50.0)	5 (35.7)	2 (14.3)
The health workforce is provided with a cool space or a shower room for staff	9 (64.3)	3 (21.4)	2 (14.3)
The health workforce is provided with an information system to manage occupational safety and health in the facility during a heatwave, including rest for staff	8 (57.1)	4 (28.6)	2 (14.3)
The health workforce is provided with an information system to manage occupational safety and health in the facility during a heatwave, including rest for staff	8 (57.1)	5 (35.7)	1 (7.1)
Capacity development			
The health workforce is trained to manage hazardous waste (chemical, biological, radiological)	4 (28.6)	4 (28.6)	6 (42.9)
The health workforce is trained on public health and climate change hazards, including health impacts related to heatwaves	10 (71.4)	4 (28.6)	0 (0.0)
The health workforce is prepared and able to follow up a contingency plan for emerging health workforce heat stress, water- and air-borne diseases, and cardiovascular and respiratory problems	10 (71.4)	2 (14.3)	2 (14.3)
The health workforce is able to implement a contingency plan for public health emergencies, in case of high temperature effects, and water and food contamination	10 (71.4)	2 (14.3)	2 (14.3)
The health workforce is trained and has specific and clear guidance on actions to reduce heat risk factors for staff	10 (71.4)	4 (28.6)	0 (0.0)
The health workforce is aware of the need for an alternative action plan for the health workforce with outdoor functions to limit their activity to morning and evening hours or reduce their activity demands during the hottest part of the day or try alternate work and rest periods, with rest periods in a cooler area? (more frequent work-rest cycles are better)	11 (78.6)	2 (14.3)	1 (7.1)
Communication and awareness-raising			
The health workforce is aware about the impacts of hot temperatures on human health via water quality and quantity (including water- and food-borne diseases) and air quality	3 (21.4)	7 (50.0)	4 (28.6)
The health workforce is aware of the type of patients and symptoms expected during a heatwave	7 (50.0)	3 (21.4)	4 (28.6)

The health workforce is informed on how to use and follow a surveillance system to track health outcomes	7 (50.0)	4 (28.6)	3 (21.4)
The health workforce is aware of the need to keep hydrated and wear appropriate clothing	4 (28.6)	4 (28.6)	6 (42.9)
The health workforce is provided with a community health educational programme to improve community health in the face of heatwave risks	8 (57.1)	4 (28.6)	2 (14.3)
The health workforce is aware of keeping the facility environment cool (e.g., keep windows that are exposed to the sun closed during the day and open at night when the temperature has dropped; close curtains that receive morning or afternoon sun; turn off nonessential lights and electrical equipment that generate heat; sleep in a cooler room or use electric fans for some relief if temperatures are below 35°C)	5 (35.7)	6 (42.9)	3 (21.4)

### Vulnerability of the WASH component to heat waves

About 42.9% of the HCFs were highly vulnerable to heat waves since they were unable to verify water safety conditions, including updated risk assessments to map water resources and water supplies for the facility. About 64.3% of the HCFs were highly vulnerable since they were unable to have an evaluation system to monitor their water system or supply before, during and after the event. About 42.9% of the HCFs were highly vulnerable since they were unable to have information on the water system installation that ensures lower risk of being contaminated. About 50.0% of the HCFs were highly vulnerable since they were unable to have a quality monitoring plan for water meant for human consumption. About 71.4% of the HCFs were highly vulnerable since they were unable to have a monitoring plan for potable water. About 50.0% of the HCFs were highly vulnerable since they were unable to have a water management plan to identify water contamination (Table 39)

**Table 22: Heat wave checklist for assessing vulnerabilities on water, sanitation and healthcare waste**

WATER, SANITATION AND HEALTHCARE WASTE	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Monitoring and assessment	High	Medium	Low
The healthcare facilities verify water safety conditions, including updated risk assessments to map water resources and water supplies for the facility	6 (42.9)	5 (35.7)	3 (21.4)
Healthcare facilities have an evaluation system to monitor their water system or supply before, during and after the event	9 (64.3)	3 (21.4)	2 (14.3)
Healthcare facilities have information on the water system installation that ensures lower risk of being contaminated	6 (42.9)	5 (35.7)	3 (21.4)
Healthcare facilities have a quality monitoring plan for water meant for human consumption	7 (50.0)	5 (35.7)	2 (14.3)
Healthcare facilities have a monitoring plan for potable water	10 (71.4)	3 (21.4)	1 (7.1)
Healthcare facilities have a water management plan to identify water contamination	7 (50.0)	2 (14.3)	5 (35.7)
<b>Risk Management</b>			

Healthcare facilities have onsite water purification equipment to provide safe drinking water	9 (64.3)	3 (21.4)	2 (14.3)
The healthcare facilities provide sufficient drinking water to staff, patients and visitors	2 (14.3)	8 (57.1)	4 (28.6)
Healthcare facilities keep drinking water cool or refrigerated where possible for staff, patients and visitors	9 (69.2)	2 (15.4)	2 (15.4)
Healthcare facilities have a contingency plan for monitoring and reducing contaminant concentrations in the facility water system supplies	9 (64.3)	4 (28.6)	1 (7.1)
Healthcare facilities have water storage protected from direct sunlight	6 (42.9)	5 (35.7)	3 (21.4)
Healthcare facilities have water storage tanks with appropriate covers to protect from excessive heat	6 (42.9)	4 (28.6)	4 (28.6)
Healthcare facilities have chemicals stored away from excessive heat	6 (46.2)	4 (30.8)	3 (23.1)
Healthcare facilities have healthcare waste stored away from excessive heat in cool and covered spaces	6 (42.9)	3 (21.4)	5 (35.7)
<b>Health and safety regulation</b>			
Healthcare facilities work with water utility agencies to prevent suspension of services	8 (57.1)	3 (21.4)	3 (21.4)
Healthcare facilities have an alternative source of water supply	4 (28.6)	5 (35.7)	5 (35.7)
Healthcare facilities have a water safety plan in place, in case of water contamination	8 (57.1)	4 (28.6)	2 (14.3)
Healthcare facilities have a mechanism or regulation to carry out sanitary inspections of water supply, and when necessary, establish a temporary ban on the use, until improvements are made	5 (35.7)	3 (21.4)	6 (42.9)
Healthcare facilities have a contingency plan to ensure effective and timely delivery of safe water during extreme temperatures and emergencies over the short- and long-term	7 (50.0)	3 (21.4)	4 (28.6)
Healthcare facilities have a cross-sectoral water management plan to conserve and protect local or alternative water sources	9 (64.3)	1 (7.1)	4 (28.6)

### 5.8.2 Vulnerability of WASH and healthcare waste to heat waves

About 42.9% of the HCFs were highly vulnerable to heat waves since they were unable to verify water safety conditions, including updated risk assessments to map water resources and water supplies for the facility. About 64.3% of the HCFs were highly vulnerable since they were unable to have an evaluation system to monitor their water system or supply before, during and after the event. About 42.9% of the HCFs were highly vulnerable since they were unable to have information on the water system installation that ensures lower risk of being contaminated. About 50.0% of the HCFs were highly vulnerable since they were unable to have a quality monitoring plan for water meant for human consumption. About 71.4% of the HCFs were highly vulnerable since they were unable to have a monitoring plan for potable water. About 50.0% of the HCFs were highly vulnerable since they were unable to have a water management plan to identify water contamination (Table 39)

**Table 23: Vulnerability of WASH and healthcare waste to heat waves**

WATER, SANITATION AND HEALTHCARE WASTE	Vulnerability level
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**Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)**

Monitoring and assessment	High	Medium	Low
The healthcare facilities verify water safety conditions, including updated risk assessments to map water resources and water supplies for the facility	6 (42.9)	5 (35.7)	3 (21.4)
Healthcare facilities have an evaluation system to monitor their water system or supply before, during and after the event	9 (64.3)	3 (21.4)	2 (14.3)
Healthcare facilities have information on the water system installation that ensures lower risk of being contaminated	6 (42.9)	5 (35.7)	3 (21.4)
Healthcare facilities have a quality monitoring plan for water meant for human consumption	7 (50.0)	5 (35.7)	2 (14.3)
Healthcare facilities have a monitoring plan for potable water	10 (71.4)	3 (21.4)	1 (7.1)
Healthcare facilities have a water management plan to identify water contamination	7 (50.0)	2 (14.3)	5 (35.7)
<b>Risk Management</b>			
Healthcare facilities have onsite water purification equipment to provide safe drinking water	9 (64.3)	3 (21.4)	2 (14.3)
The healthcare facilities provide sufficient drinking water to staff, patients and visitors	2 (14.3)	8 (57.1)	4 (28.6)
Healthcare facilities keep drinking water cool or refrigerated where possible for staff, patients and visitors	9 (69.2)	2 (15.4)	2 (15.4)
Healthcare facilities have a contingency plan for monitoring and reducing contaminant concentrations in the facility water system supplies	9 (64.3)	4 (28.6)	1 (7.1)
Healthcare facilities have water storage protected from direct sunlight	6 (42.9)	5 (35.7)	3 (21.4)
Healthcare facilities have water storage tanks with appropriate covers to protect from excessive heat	6 (42.9)	4 (28.6)	4 (28.6)
Healthcare facilities have chemicals stored away from excessive heat	6 (46.2)	4 (30.8)	3 (23.1)
Healthcare facilities have healthcare waste stored away from excessive heat in cool and covered spaces	6 (42.9)	3 (21.4)	5 (35.7)
<b>Health and safety regulation</b>			
Healthcare facilities work with water utility agencies to prevent suspension of services	8 (57.1)	3 (21.4)	3 (21.4)
Healthcare facilities have an alternative source of water supply	4 (28.6)	5 (35.7)	5 (35.7)
Healthcare facilities have a water safety plan in place, in case of water contamination	8 (57.1)	4 (28.6)	2 (14.3)
Healthcare facilities have a mechanism or regulation to carry out sanitary inspections of water supply, and when necessary, establish a temporary ban on the use, until improvements are made	5 (35.7)	3 (21.4)	6 (42.9)
Healthcare facilities have a contingency plan to ensure effective and timely delivery of safe water during extreme temperatures and emergencies over the short- and long-term	7 (50.0)	3 (21.4)	4 (28.6)



Healthcare facilities have a cross-sectoral water management plan to conserve and protect local or alternative water sources	9 (64.3)	1 (7.1)	4 (28.6)
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### 5.8.3 Vulnerability of the energy component to heat waves

About 64.3% of the HCFs were highly vulnerable to heat waves since they were unable to assess their energy system to ensure they can cope with heatwave conditions. About 78.6% of the HCFs were highly vulnerable since they were unable to have an emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during heatwave events. About 77.0% of the HCFs were highly vulnerable since they were unable to periodically check the emergency backup generator (including fuel, where relevant), even if rarely used (Table 40).

**Table 24: Vulnerability of the energy component to heat waves**

ENERGY	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Monitoring and assessment	High	Medium	Low
HCFs regularly assess their energy system to ensure they can cope with heatwave conditions	9 (64.3)	4 (28.6)	1 (7.1)
HCFs have an emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during heatwave events	11 (78.6)	1 (7.1)	2 (14.3)
HCFs periodically check the emergency backup generator (including fuel, where relevant), even if rarely used	10 (77.0)	1 (7.7)	2 (15.4)
HCFs assess regularly heating, ventilation and air conditioning systems	10 (77.0)	2 (14.3)	1 (7.7)
HCFs assess whether renewable energy (if available, such as solar) is sufficient to power critical equipment	6 (46.2)	5 (38.5)	2 (15.4)
HCFs monitor building humidity and if needed adjust the cooling system to control the humidity in operating room areas	11 (78.6)	1 (7.1)	2 (14.3)
Risk management			
HCFs have a secure place to protect the backup generator (including fuel or battery storage, where relevant) from damage	7 (50.0)	1 (7.1)	6 (42.9)
HCFs have appliance thermometers in the refrigerator and freezer to determine if food, vaccines and other essential refrigeration-dependent medical supplies are safe	2 (15.4)	3 (23.1)	8 (61.5)
HCFs have adequate daylight to ensure proper visibility during power outages	4 (30.8)	2 (15.4)	7 (53.8)
HCFs have power-operated doors that can be opened manually to permit exit in case of power failure	6 (60.0)	2 (16.7)	4 (33.3)
Health and Safety			
HCFs have an emergency plan for power outages in the short- and long-term (during and after the event)	11 (84.6)	1 (7.7)	1 (7.7)
HCFs work with energy utility agencies to prevent suspension of electricity services	8 (61.5)	3 (23.1)	2 (15.4)

HCFs have a management plan for intermittent energy supplies or system failure	10 (71.4)	3 (21.4)	1 (7.1)
HCFs have an emergency plan to ensure the availability of adequate lighting, communication and information systems, and refrigeration and sterilization equipment during the event	9 (64.3)	4 (28.6)	1 (7.1)
HCFs have a plan to evacuate patients to a cooling station if the facility has lost power and has no other source of energy	11 (78.6)	1 (7.1)	2 (14.3)

#### 5.8.4 Vulnerability of infrastructure, technologies, products and processes to heat waves

About 71.4% of the HCFs were vulnerable since they were unable to provide greater advocacy on health workforce education to cover heatwave risks and responses. About 85.7% of the HCFs were vulnerable to heat waves since they were unable to have preparedness and training for periods of extreme heat. About 50.0% of the HCFs were vulnerable since they were unable to assess the performance and vulnerabilities of each critical part of the facility (structural and non-structural elements) that can be affected by hot temperatures (Table 41).

**Table 25: Vulnerability of infrastructure, technologies, products and processes to heat waves**

INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS AND PROCESSES	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Adaptation of current system and infrastructure	High	Medium	Low
Healthcare facilities provide greater advocacy on health workforce education to cover heatwave risks and responses	10 (71.4)	2 (14.3)	2 (14.3)
Healthcare facilities have preparedness and training for periods of extreme heat	12 (85.7)	1 (7.1)	1 (7.1)
Healthcare facility assess the performance and vulnerabilities of each critical part of the facility (structural and non-structural elements) that can be affected by hot temperatures	7 (50.0)	4 (28.6)	3 (21.4)
Healthcare facilities assess the heating, ventilation and air-conditioning systems for capacity to deal with increasing heat and humidity	6 (46.2)	5 (38.5)	2 (15.4)
Healthcare facilities install reflective white roofs to reduce heat impacts	11 (78.6)	1 (7.1)	2 (14.3)
Healthcare facilities install green roofs to mitigate heat impacts	12 (85.7)	0 (0.0)	2 (14.3)
Healthcare facilities have pavements and roofs designed to withstand extreme temperatures or solar radiation	9 (64.3)	5 (35.7)	0 (0.0)
Healthcare facilities have light-coloured paving on parking areas and walkways around the facility	12 (85.7)	0 (0.0)	2 (14.3)
Healthcare facilities review building code design baselines against extreme temperatures to ascertain inventory risks	10 (71.4)	2 (14.3)	2 (14.3)
Healthcare facilities identify vulnerabilities to estimate the possible loss and implement actions to reduce impacts	11 (78.6)	2 (14.3)	1 (7.1)
Healthcare facilities have exterior shading devices, resilient trees or other architectural features that mitigate heat	8 (61.5)	3 (23.1)	2 (15.4)
Healthcare facilities have windows that can be operated to	4 (30.8)	4 (30.8)	5 (38.5)

provide ventilation and maintain habitable and operational conditions			
Healthcare facilities have a system for cooling the environment	9 (64.3)	2 (14.3)	3 (21.4)
Healthcare facilities provide an extra medical supply in case of increased demand for the treatment of heat stress	8 (57.1)	3 (21.4)	3 (21.4)
Healthcare facilities stimulate the increase of water intake by staff and patients	5 (35.7)	3 (21.4)	6 (42.9)
Healthcare facilities have insulated loft and cavity walls	10 (71.4)	3 (21.4)	1 (7.1)
Healthcare facilities store chemicals away from excessive heat	5 (35.7)	3 (21.4)	6 (42.9)
Healthcare facilities have a plan for arranging for extra staffing for emergency support services	12 (85.7)	1 (7.1)	1 (7.1)
Healthcare facilities have a monitoring and early warning system integrated with other areas to manage risks related to heatwave impacts on the facility	11 (78.6)	2 (14.3)	1 (7.1)
Healthcare facilities have an effective emergency risk communication plan to communicate clear messages of the danger of heatwaves, emphasizing health protection as a priority	9 (64.3)	3 (21.4)	2 (14.3)
Promotion of new systems and technologies			
Healthcare facilities receive meteorological information on the likelihood of forthcoming hot weather	13 (92.9)	1 (7.1)	0 (0.0)
Healthcare facilities have a syndromic surveillance system for heat-related illnesses	10 (76.9)	2 (15.4)	1 (7.7)
Healthcare facilities have an updated training programme for the health workforce to detect and track climate change-related human heat stress	12 (85.7)	1 (7.1)	1 (7.1)
Healthcare facilities have a long-term strategy for reducing heat, such as through building insulation	12 (85.7)	2 (14.3)	0 (0.0)
Healthcare facilities perform risk assessments to assist with adaptation measures for heatwaves	12 (85.7)	2 (14.3)	0 (0.0)
Healthcare facilities have an information system for tracking and monitoring diseases following heatwave events	10 (71.4)	2 (14.3)	2 (14.3)
Healthcare facilities have measures that improve health performance, based on a history of climate variability in the region or locality	11 (78.6)	1 (7.1)	2 (14.3)
Healthcare facilities perform evaluations to predict heatwave conditions 1–5 days in advance	13 (92.9)	1 (7.1)	0 (0.0)
Healthcare facilities coordinate public broadcasts of information about the anticipated timing, severity and duration of heatwave conditions in its surrounding communities	12 (85.7)	2 (14.3)	0 (0.0)
<b>Sustainability of healthcare facility operations</b>			
Healthcare facilities have a defined and sustained budget as part of core budgeting for emergency preparedness and response to heatwaves	12 (85.7)	2 (14.3)	0 (0.0)
Healthcare facilities improve adaptive governance capacity regarding evaluation and measures for risk identification,	11 (78.6)	2 (14.3)	1 (7.1)

risk reduction and response			
Healthcare facilities assess the length of time people can remain in a place before it gets overheated, requiring evacuation to another facility	10 (71.4)	2 (14.3)	2 (14.3)
Healthcare facilities have a thermal stress device to assess temperature and identify heat warning environment	11 (78.6)	2 (14.3)	1 (7.1)
Healthcare facilities have trees and leafy plants near windows to provide natural cooling	4 (30.8)	6 (46.2)	3 (23.1)
Healthcare facilities explore the relationship between social learning and adaptation measures in the face of heatwave threats to identify and implement the best behavioural responses from successful health facilities	11 (78.6)	1 (7.1)	2 (14.3)
Healthcare facilities have a coordinated plan with health municipal department heads to ensure appropriate preparations for ongoing heatwave conditions	11 (78.6)	1 (7.1)	2 (14.3)

## 5.9 Vulnerability to lightning

### 5.9.1 Vulnerability of the health workforce to lightning

About 52.5% of the HCFs were highly vulnerable to lightning since the workforce were unable to be provided with programs for supporting staff with regards to mental health, injuries, medical treatment and related support measures. About 57.6% of the HCFs were highly vulnerable since the workforce were unable to be provided with an emergency plan for shift relay or replacement of health professionals to ensure that staff get adequate rest after their high-demand duties from a severe lightning event. About 61.3% of the HCFs were highly vulnerable since the health workforce were unable to be prepared with a contingency plan for accessing additional health workforce to strengthen performance capacity. About 59.7% of the HCFs were highly vulnerable since the health workforce was unable to be prepared with an information system to manage occupational safety and health in the facility during a lightning event (Table 46).

**Table 26: Lightning checklist for assessing vulnerabilities on workforce**

WORKFORCE	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Human resources	High	Low	Medium
The health workforce			
Provided with programs for supporting staff with regards to mental health, injuries, medical treatment and related support measures	32 (52.5)	3 (4.9)	26 (42.6)
Equipped with an emergency plan for shift relay or replacement of health professionals to ensure that staff get adequate rest after their high-demand duties from a	34 (57.6)	4 (6.7)	21(35.6)

severe lightning event			
Prepared with a contingency plan for accessing additional health workforce to strengthen performance capacity	38 (61.3)	3 (4.8)	21 (33.9)
Provided with an information system to manage occupational safety and health in the facility during a lightning event	37 (59.7)	5 (8.1)	20 (32.3)
Equipped with an emergency plan to protect health workers from multiple physical and biological hazards	38 (62.3)	2 (3.3)	21 (34.4)
Provided with a post-lightning employee recovery assistance program according to staff needs	52 (83.9)	1 (1.6)	9 (14.5)
Equipped with a coordinated plan, including volunteers on stand-by, to assist during an emergency or to support health professionals	46 (75.4)	5 (8.2)	10 (16.4)
Provided with full personal protective equipment, especially for clean-up crews (including waterproof safety boots, goggles, work gloves and masks)	25 (39.1)	14 (21.9)	25 (39.1)
Provided with safe water and food during a lightning event	35 (56.5)	13 (21.0)	14 (22.6)
<b>Capacity development</b>			
Trained on public health and climate change hazards, including health impacts related to different kinds of lightning	47 (73.4)	2 (3.1)	15 (23.4)
Equipped with knowledge, experience, training and resources to manage lightning risk reduction at the facility and in the local communities	40 (62.5)	3 (4.7)	21 (32.8)
Engaged in the development of plans and responses to lightning risks	50 (79.4)	2 (3.2)	11 (17.5)
Prepared and able to implement risk reduction actions for protecting themselves	40 (62.5)	3 (4.7)	21 (32.8)
Equipped with a contingency plan for continuing to provide services at other facilities or in the local communities (primary health care), if necessary	39 (60.9)	5 (7.8)	20 (31.3)
Trained in multi-hazard assessments	51 (79.7)	3 (4.7)	10 (15.6)
Trained to maintain correct level of water quality controls in an emergency or disaster situations	49 (76.6)	6 (9.4)	9 (14.1)
Trained to an appropriate standard to maintain the correct level of safety of electrical power supply. in both routine and power supply. in both routine and emergency/disaster	46 (71.9)	4 (6.3)	14 (21.9)

situations			
Trained to detect posttraumatic stress disorder among staff to take prompt action	33 (51.6)	5 (7.8)	26 (40.6)
<b>Communication and awareness raising</b>			
Provided with a safe internal communication system, especially in emergency situations	28 (43.8)	6 (9.4)	30 (46.9)
Aware of contingency plans for accessing and leaving the facility during flood and strong wind emergencies, and health workforce transportation	45 (71.4)	1 (1.6)	17 (27.0)
Regularly participating in community disaster planning committees to: improve knowledge on how to reduce risks. be prepared and respond to lightning hazards, and recover better than before through adaptation measures	44 (68.8)	00 (0.0)	20 (31.3)
Prepared with clear messaging about water and food safety during and after a lightning event	42 (65.6)	1 (1.6)	21 (32.8)
Prepared with clear messaging, and staff trained on exit and evacuation routes that are clearly marked and free of obstacles to enable emergency evacuation	41 (65.1)	3 (4.8)	19 (30.7)
Equipped with a community health educational programme to assist the community in reducing vulnerability to lightning impacts	38 (59.4)	5 (7.8)	21 (32.8)
Equipped with a community health educational programme to improve community health in the face of lightning risks	42 (65.6)	4 (6.3)	18 (28.1)

### 5.9.2 Vulnerability of the water, sanitation and healthcare waste to lightning

About 37.1% of the HCFs were highly vulnerable since they did not verify water safety conditions, including updated risk assessments to map water resources and water supplies for the facility. About 42.9% of the HCFs were highly vulnerable since they were unable to regularly assess its sanitation systems for any possible damage in the event of lightning and severe winds. About 41.3% of the HCFs were highly vulnerable since they were unable to have information on water system installation that ensures lower risk of contamination (Table 48).

**Table 27: Lightning checklist for assessing vulnerability on water, sanitation and healthcare waste**

WATER, SANITATION AND HEALTH CARE WASTE	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
<b>Monitoring and assessment</b>	<b>High</b>	<b>Low</b>	<b>Medium</b>



<b>The healthcare facility</b>			
Verify' water safety conditions, including updated risk assessments to map water resources and water supplies for the facility	23 (37.1)	12 (19.4)	27 (43.6)
Regularly assess its sanitation systems for any possible damage in the event of lightning and severe winds	27 (42.9)	15 (23.8)	21 (33.3)
Have information on water system installation that ensures lower risk of contamination	26 (41.3)	11 (17.5)	26 (41.3)
Have a water quality monitoring plan for drinking water during and after a lightning event	35 (57.4)	6 (9.8)	20 (32.8)
Monitor sewer overflows to fix pumps in advance of a lightning and after the event	32 (68.1)	5 (10.6)	10 (21.3)
<b>Risk management</b>			
Store hazardous chemicals. radioactive and biological wastes in a safe place and on a level above the ground floor	22 (39.3)	19 (33.9)	15 (26.8)
Have a schedule for emptying latrines in advance of lightning to avoid overflows	28 (49.1)	11 (19.3)	18 (31.6)
Have water storage tanks supported and anchored to resist strong winds and rainfall	19 (30.7)	25 (40.3)	18 (29.0)
Have a safe system for waste disposal after a lightning	24 (38.7)	12 (19.4)	26 (41.9)
Have an established safe management approach to health care waste transport (including hazardous waste) during and after a lightning event	32 (50.8)	8 (12.7)	23 (36.5)
Have onsite water purification equipment to provide safe drinking water	36 (61.0)	9 (15.3)	14 (23.7)
Have a surveillance system for diseases related to water quality and sanitation	23 (36.5)	19 (30.2)	21 (33.3)
<b>Health and safety</b>			
Have an assessment plan that maps risks to water and sanitation infrastructures to identify where services could be disrupted during lightning events	39 (62.9)	5 (8.1)	18 (29.0)
Have an emergency water supply plan	37 (58.7)	11 (17.5)	15 (23.8)
Have a plan to verify safety conditions and proper functioning of all elements of water distribution system, including storage tanks. cisterns, valves, pipes and connections, as well as water disinfection to avoid or reduce Impacts from a storm	37 (58.7)	8 (12.7)	18 (28.6)

Have a contingency plan to ensure effective and timely delivery of safe water during extreme temperatures and emergencies over the short- and long-term	39 (62.9)	4 (6.5)	19 (30.7)
Have an emergency plan for maintenance and restoration of waste management systems	38 (61.3)	9 (14.5)	15 (24.2)

### 5.9.3 Vulnerability of energy to lightening

About 59.4% of the HCFs were highly vulnerable since they were unable to assess its energy system to ensure that it can cope with lightning events and minimize their impacts (e.g., solar photovoltaic panels, either rooftop or ground mounted). About 73.2% of the HCFs were highly vulnerable since they were unable to have emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during and after the event. About 66.0% of the HCFs were highly vulnerable since they were unable to Periodically check emergency backup generators (including fuel, where relevant) (Table 49).

**Table 28: Lightning checklist for assessing vulnerabilities on energy**

ENERGY	Vulnerability level		
	High	Low	Medium
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
<b>Monitoring and assessment</b>	High	Low	Medium
<b>The healthcare facility</b>			
Regularly assess its energy system to ensure that it can cope with lightning events and minimize their impacts (e.g., solar photovoltaic panels, either rooftop or ground mounted)	38 (59.4)	7 (10.9)	19 (29.7)
Have an emergency backup generator (including fuel, where relevant) that is able to cover at least all critical service areas and equipment during and after the event	41 (73.2)	8 (14.3)	7 (12.5)
Periodically check emergency backup generators (including fuel, where relevant)	31 (66.0)	8 (17.0)	8 (17.0)
Identify priority areas within the facility which would require emergency power when needed	24 (39.3)	16 (26.2)	21 (34.4)
Assess whether renewable energy (if available. such as solar) is sufficient to power critical equipment	21 (33.9)	14 (22.6)	27 (43.6)
<b>Risk management</b>			
Have a secure place to protect the backup generator (e.g., elevated and anchored in areas prone to floods and strong winds; including fuel or battery storage, where relevant) from	27 (60.0)	8 (17.8)	10 (22.2)

damage			
Have appliance thermometers in the refrigerator and freezer to determine if food, vaccines, and other essential refrigeration-dependent medical supplies are safe	13 (21.3)	31 (50.8)	17 (27.9)
Have adequate daylight to ensure proper visibility during a power outage	13 (20.6)	36 (57.1)	14 (22.2)
Have power-operated doors that can be opened manually to permit exit during power failure	27 (62.8)	9 (20.9)	7 (16.3)
Have a clear guidance to alert staff on safety measures (e.g., never restore power when the power is off, until a professional inspects and ensures the integrity of system; do not use electrical equipment that has been exposed to flood waters until checked by an electrician; unless power is off, never enter flooded areas or touch electrical equipment if the ground is wet)	37 (59.7)	8 (12.9)	17 (27.4)
Have an emergency plan for power outages in the short- and long-term (before, during and after a lightning)	44 (69.8)	7 (11.1)	12 (19.1)
Work with energy utility agencies to prevent suspension of electricity services	34 (56.7)	9 (15.0)	17 (28.3)
Have a management plan for intermittent energy supplies or system failure	37 (60.7)	8 (13.1)	16 (26.2)
Have a plan or regulation to determine ways to reduce overall energy use	34 (54.8)	7 (11.3)	21 (33.9)
Have an emergency plan to ensure the availability of adequate lighting, communication, and information systems, as well as refrigeration and sterilization equipment during a lightning event	34 (54.8)	9 (14.5)	19 (30.7)

#### 5.9.4 Vulnerability of infrastructure, technologies, products and processes to lightning

About 60.3% of the HCFs were highly vulnerable since they were unable to have knowledge, experience (considering previous damages) and resources (including human, material, financial, supplies chain and logistics) to reduce disaster risk related to lightning. About 68.3% of the HCFs were highly vulnerable since they were unable to work with the local government to support vulnerable local populations to actively participate in risk reduction management, policy making, planning and implementation. About 74.6% of the HCFs were vulnerable since they were unable to conduct climate risk and vulnerability assessments for all facility sectors to identify risk scenarios, vulnerabilities and the facility's response capacity. About 74.6% of the HCFs were vulnerable since they were unable to have a monitoring and early warning system to manage and reduce the risks of storm related health effects (Table 50).

**Table 29: Lightning checklist on infrastructure, technologies, products and processes**

INFRASTRUCTURE, TECHNOLOGIES, PRODUCTS AND PROCESSES	Vulnerability level		
<i>Vulnerability scale: High: unprepared; unable to respond (Higher risk) Medium: basic or incomplete preparation; low level of response (Medium risk) Low: prepared; able to respond (Lower risk)</i>			
Adaptation of current systems and infrastructures	High	Low	Medium
<b>The healthcare facilities</b>			
Have knowledge, experience (considering previous damages) and resources (including human, material, financial, supplies chain and logistics) to reduce disaster risk related to lightening	38 (60.3)	7 (11.1)	18 (28.6)
Work with the local government to support vulnerable local populations to actively participate in risk reduction management, policy making, planning and implementation	33 (52.4)	5 (7.9)	25 (39.7)
Conduct climate risk and vulnerability assessments for all facility sectors to identify risk scenarios, vulnerabilities and the facility's response capacity	43 (68.3)	3 (4.8)	17 (27.0)
Have a monitoring and early warning system to manage and reduce the risks of storm related health effects	47 (74.6)	2 (3.2)	14 (22.2)
Utilize the assessed information as a basis to plan and prioritize measures to reduce risk impact	34 (54.8)	5 (8.1)	23 (37.1)
In their annual planning consider how climate risks may change in the future	43 (68.3)	5 (7.9)	15 (23.8)
Have resources available to adopt risk reduction measures on the building and infrastructure, technologies, products and processes	40 (64.5)	3 (4.8)	19 (30.7)
Regularly update these assessments, considering emerging scientific information	45 (71.4)	2 (3.2)	16 (25.4)
Have a schedule to inspect the facility regularly. both internally and externally for signs of deterioration (e.g., broken plaster, cracks or sinking structural elements) to avoid or reduce storm impacts (including flood impacts)	23 (37.1)	14 (22.6)	25 (40.3)
Evaluate the condition and safety of structural and non-structural elements of facility, impacted by previous exposures to storms or similar hazards	29 (46.8)	4 (6.5)	29 (46.8)

Have an effective emergency risk communication plan to reduce risks and impacts for health workers and patients	43 (68.3)	4 (6.4)	16 (25.4)
Have a contingency plan in place for safe and efficient personnel evacuation (including health staff and patients) before, during and following a lightning	47 (74.6)	5 (7.9)	11 (17.5)
Have a plan to transfer critical equipment and medical supplies to another health care facility or to a secure storage	37 (62.7)	5 (8.5)	17 (28.8)
Have a plan for relocating medical devices, medicines, mobile equipment and other supplies and services in case of operational disruption or outbreaks and epidemics that overwhelm the facility	33 (54.1)	10 (16.4)	18 (29.5)
Have evaluation tools (e.g., forms) to identify damages and minimum needs in terms of health workers and medical supplies to ensure continuous functioning of services	33 (54.1)	5 (8.2)	23 (37.7)
Have a mechanism for providing prompt maintenance and repair of equipment required for essential services	33 (54.1)	5 (8.2)	23 (37.7)
Have procedures to store food and bottled water on shelves that will be safely out of the way of contaminated water in case of lightning	38 (63.3)	1 (1.7)	21 (35.0)
Have established procedures or plans for procuring, transporting and storing bottled water and food supplies during an emergency	45 (73.8)	2 (3.3)	14 (23.0)
Have established procedures for procuring, and safely transporting and storing medical devices, vaccines, pharmaceuticals, parenteral nutrition and blood supplies, laboratorial supplies, and other essential medical supplies	22 (36.7)	15 (25.0)	23 (38.3)
Assess the performance and vulnerabilities of each critical part of the facility (structural and non-structural elements) that can be affected by lightning hazards	32 (51.6)	5 (8.1)	25 (40.3)
Calculate possible losses and implement measures to reduce impacts	35 (56.5)	4 (6.5)	23 (37.1)
Have a plan to house staff at the health care facility if shelter in place is required (sleeping rooms, food, water)	25 (41.0)	15 (24.6)	21 (34.4)
Have roof drainage systems and adequate capacity in the event of excessive rainfall	19 (29.7)	19 (29.7)	26 (40.6)
Have roofs that are leak-proof and insulated	21 (33.3)	23 (36.5)	19 (30.2)
Have safe roofing designed to withstand wind velocity of 175-250 kph (e.g., in a high intensity tropical lightning)	28 (44.4)	11 (17.5)	24 (38.1)

Have rooftop structures and equipment which have been reviewed for anticipated storm and high wind speeds	29 (46.0)	13 (20.6)	21 (33.3)
Have machine rooms that are resistant to lightening or high wind/rooftop damage	39 (65.0)	6 (10.0)	15 (25.0)
Have stairwell construction fortified against high-wind events	33 (63.5)	6 (11.5)	13 (25.0)
Have measures in place to remove mosquito breeding sites	18 (29.0)	21 (33.9)	23 (37.1)
Have glass walls, doors and windows able to resist basic wind speeds up to 200-250 kph	24 (40.7)	11 (18.6)	24 (40.7)
Have laminated or protected glass windows to prevent risk of shattering during a storm	29 (47.5)	12 (19.7)	20 (32.8)
Have leak proof windows and doors with lightening protection devices	34 (56.7)	5 (8.3)	21 (35.0)
Have walls that are protected against lightening	27 (42.9)	8 (12.7)	28 (44.4)
ensure removal of equipment and power supplies from basements and ground floor level to avoid damage from lightening	31 (51.7)	7 (11.7)	22 (36.7)
have health care agreements with other health care providers for additional health services and clinical resources	27 (42.9)	8 (12.7)	28 (44.4)
Have a coordinated mechanism across the health sector in different levels of government, to manage the response end risks of public health emergencies and disasters (including sharing of resources and supplies, transferring of patients, and health workforce support)	29 (46.0)	8 (12.7)	26 (41.3)
Have a plan on continuity of operational processes during a lightening and for building a beck better through training and workshops	39 (62.9)	6 (9.7)	17 (27.4)
Conduct site and building maintenance procedures that include specifications on how the weather may affect the safety and continued functioning of the facility	36 (56.3)	4 (6.3)	24 (37.5)
Have a space within or external to the facility for the storage and stockpiling of additional supplies, considering ease of access, security. temperature, ventilation, light exposure and humidly	34 (54.0)	4 (6.4)	25 (39.7)
Have an established post storm recovery plan for all infrastructure (structural and non-structural elements) of the facility	51 (79.7)	2 (3.1)	11 (17.2)
<b>Promotion of new systems and technologies</b>			



Have an information system between the health sector and meteorological services to communicate about climate hazards	43 (71.7)	7 (11.7)	10 (16.7)
Have an established plan to review, evaluate, and catalogue climate risks related to lightening for the health care facility location	48 (76.2)	2 (3.2)	13 (20.6)
Have an established plan to review, evaluate and catalogue risks related to lightening for the health care facility supply chain	48 (77.4)	2 (3.2)	12 (19.4)
have an established, clear and consistent knowledge transfer procedure in case of a public health emergency	40 (63.5)	3 (4.8)	20 (31.8)
Have electronic patient health records made available to other receiving facilities in cases of evacuation	40 (66.7)	3 (5.0)	17 (28.3)
Ensure information and communication flow between the health workforce and policymakers, particularly during high-stress situations and demands created by emergencies	27 (42.9)	5 (7.9)	31 (49.2)
Have information and communication systems safely secured with backup arrangement (via cloud, satellite) to satisfy the facility's demand	36 (59.0)	3 (4.9)	22 (36.1)
Have an information system for tracking and monitoring diseases following lightening events	38 (61.3)	4 (6.5)	20 (32.3)
Have more than one access route, especially if the facility is critical to higher demand following a lightening event	27 (43.6)	17 (27.4)	18 (29.0)
<b>Sustainability of health care facility operations</b>			
Review building code design baselines against storm. wind speeds. rainfall volumes, and map each risk	43 (70.5)	3 (4.9)	15 (24.6)
Have defined and sustained budget as part of core budgeting for emergency preparedness and response. including for lightening hazards	50 (79.4)	2 (3.2)	11 (17.5)
Improve adaptive governance capacity regarding evaluation and measures for risk identification. risk reduction and response	44 (69.8)	2 (3.2)	17 (27.0)
Have trees planted in a secure place that will not block access to the facility or fall to the building during an event	19 (30.7)	16 (25.8)	27 (43.6)
Have established partnerships between the facility, community and local authorities to identify and reduce vulnerabilities in the surrounding areas	27 (43.6)	5 (8.1)	30 (48.4)
Have an access route for public transportation which is likely to remain operational during or immediately following a lightning	21 (33.3)	19 (30.2)	23 (36.5)

event			
Have a secure storage for critical chemicals and materials to avoid their damage or release during or following a lightening event	30 (49.2)	7 (11.5)	24 (39.3)
Have estimates of the consumption of essential medical, pharmaceutical, nutritional and laboratorial supplies, personal protective equipment. food. etc. (such as amount used per week), using the most likely storm scenario (including lightening impact	29 (47.5)	10 (16.4)	22 (36.1)
Undertake risk assessments of the supply chain for essential medical and nonmedical products	29 (46.0)	10 (15.9)	24 (38.1)
Have a secure plan to ensure continuity of the facility's supply and delivery chain	27 (43.6)	12 (19.4)	23 (37.1)
Have secure access to essential backup services such as sterilization, laundry and cleaning services. via multiple agreements with different facilities to maintain functioning of critical services during or immediately following a lightning event	34 (55.7)	9 (14.8)	18 (29.5)
Have secure access to essential backup food sources via multiple agreement with different vendors and through cooperative agreements with other health care facilities to maintain functioning of critical services	45 (75.0)	5 (8.3)	10 (16.7)

### 5.10 Impacts of climate change on different HCF components

Of the HCFs that had ever experienced a drought, more than three quarters (76.5%) observed impacts on the health workforce, 73.6% had observed impacts on WASH and health care, and 32.6% had possible impacts with changed conditions on infrastructure, technologies, products, and processes. Over 79.6% of the HCFs that had ever experienced floods had observed impacts on the health workforce, 71.1% had observed impacts on WASH and health care waste, and 68.0% had possible impacts with changed conditions on infrastructure, technologies, products, and processes. More than three quarters, 75.6% of the HCFs that had ever experienced rise of water levels had observed impacts on the health workforce, 73.3% had observed impacts on WASH and health care waste, and 53.5% had possible impacts with changed conditions on infrastructure, technologies, products, and processes. About 71.4% of the HCFs that had ever experienced landslides had observed impacts on the health workforce, 75.0% had observed impacts on WASH and health care, and 70.2% had possible impacts with changed conditions on infrastructure, technologies, products, and processes

**Table 30: Proportion of healthcare facilities that have observed the impacts of climate change related hazards on the different HCF components**

HCF component	Proportion of HCFs impacted						
	Drought	Floods	Storms	Water level	Heat	Lightening	Landslides

	n (%)	n (%)	n (%)	rise n (%)	waves n (%)	n (%)	n (%)
<b>Health workforce</b>	261 (76.5)	226 (79.6)	160 (71.7)	65 (75.6)	11 (78.6)	29 (46.8)	60 (71.4)
<b>WASH and healthcare waste</b>	251 (73.6)	202 (71.1)	142 (63.7)	63 (73.3)	5 (35.7)	16 (25.8)	63 (75.0)
<b>Energy services</b>	106 (31.1)	161 (56.7)	142 (63.7)	46 (53.5)	0 (0.0)	32 (51.6)	35 (41.7)
<b>Infrastructure, technologies, products, and processes</b>	151 (44.3)	193 (68.0)	157 (70.4)	65 (75.6)	9 (64.3)	34 (54.8)	59 70.2)

### 5.10.1 Impacts of drought on health workforce

More than half, 56.8% of HCFs reported an increased threat to the health workforce due to infectious diseases resulting from water contamination and breeding sites for vectors, 31.0% reported drought-related illnesses among health workers, necessitating hospitalization and 31.6% of HCFs reported adverse effects on the mental health of health workers, leading to psychological stress. More than a quarter, 28.5% of HCFs reported valid interruptions in critical programs or services availability, with a possible need for relocation to alternative facilities, 53.8% reported a reduced performance capacity of the health workforce during drought conditions, and 64.7% reported an increased demand for health care services due to drought-related infectious diseases. More than half, 56.4% of HCFs reported service delivery and program delays during drought conditions, 55.5% reported a reduced capacity for the health workforce to perform hygiene procedures, compromising safety during drought conditions and 57.1% of healthcare facilities reported a possible reduced capacity and performance of the health workforce in the case of disease outbreaks during drought conditions. (Table 6).

**Table 31: Impacts of droughts on Health workforce in healthcare facilities in Uganda**

Impact	Yes	No	Don't know
Increased threat to the health workforce from infectious diseases from water contamination and vector breeding sites	191 (56.8)	143 (42.6)	2 (0.6)
Increased threat to the health workforce resulting in impacts to non-communicable diseases (cardiovascular, respiratory diseases), from poor air quality and higher temperatures	174 (51.1)	155 (46.1)	7 (2.1)
Drought-related illness to health workers requiring hospitalization	104 (31.0)	224 (66.9)	7 (2.1)
Effects on mental health of staff leading to psychological stress	106 (31.6)	218 (65.1)	11 (3.3)
Valid Interruption of critical programs or services availability with possible relocation to another facility	95 (28.5)	232 (69.7)	6 (1.8)
Reduced performance capacity of health workforce	180 (53.8)	152 (45.5)	2 (0.6)
Increased demand for health care due to drought-related infectious diseases (water-, food- and vector-borne)	216 (64.7)	113 (33.8)	5 (1.5)
Increased threat to the health workforce resulting in impacts related to high temperature, low air humidity and less water ingestion	178 (53.1)	152 (45.8)	2 (0.6)
Possible illness to health workers requiring medical treatment	175 (51.9)	157 (46.6)	5 (1.5)

Reduction of health workforce functions	174 (51.9)	159 (47.5)	2 (0.6)
Reduced capacity of the health workforce to deliver health care due to lack of conditions to perform hygiene procedures and services (personal and work-related hygiene)	178 (53.3)	153 (45.8)	3 (0.9)
Reduced productivity	185 (55.1)	144 (42.9)	7 (2.1)
Possible increased risk of dust-borne diseases (valley fever, meningococcal meningitis), leading to hospital admissions	162 (48.6)	163 (48.9)	9 (2.4)
Increased risk of mortality associated with drought impacts (cardiopulmonary and respiratory diseases), and increasing demand for services from staff	120 (36.0)	208 (62.5)	5 (1.5)
Drought-related illness among health workers not requiring immediate medical treatment	173 (51.6)	157 (46.9)	5 (1.5)
Service delivery and program delays	190 (56.4)	144 (42.7)	3 (0.9)
Restrictions to provide healthcare services and programs	125 (37.5)	207 (62.2)	1 (0.3)
Reduced capacity for the health workforce to perform hygiene procedures compromising safety	186 (55.5)	147 (43.9)	2 (0.6)
Possible reduced capacity and health workforce performance in case of outbreaks	192 (57.1)	142 (42.3)	2 (0.6)

### 5.10.2 Impacts of other hazards on health workforce

**Storms:** More than a tenth (22%) of the HCFs reported death and life-threatening impacts on health workers as a result of storms, 30.0% experienced a reduction in work capacity, 45.3% reported significantly reduced performance, requiring additional support, 59.2% reported increased demand for healthcare services, leading to work overload and 60.1% reported adverse effects on mental health. Nearly half, 41.7% reported that health professionals were unable to arrive or depart from the facility, 56.5% reported a reduction in health workforce functions and 73.1% reported delays in service delivery and programs as a result of storms. **Floods:** In contrast, 40.8% reported life-threatening impacts on health workers as a result of floods, 38.4% experienced a reduction in work capacity, 47.5% faced interruptions in critical programs or services, 48.2% reported significantly reduced performance, requiring additional support, 66.9% reported increased demand for healthcare services, leading to work overload, 68.0% reported adverse effects on mental health, 49.6% reported health professionals were unable to arrive or depart from the facility, and over 80.3% reported delays in service delivery and programs. **Landslides:** Only 21.4% of the HCFs reported life-threatening impacts on health workers as a result of landslides, 32.1% experienced a reduction in work capacity, 39.3% faced interruptions in critical programs or services, 60.7% reported increased demand for healthcare services, leading to work overload, 82.1% reported adverse effects on mental health, 82.1% reported that health professionals were unable to arrive or depart from the facility, 57.1% reported a reduction in health workforce functions and 78.6% reported delays in service delivery and programs.

**Table 32: Impacts of other hazards on Health Workforce in healthcare facilities in Uganda**

Impacts	Hazards					
	Storms n (%)	Floods n (%)	Landslides n (%)	Water level rise n (%)	Heat waves n (%)	Lightening n (%)
Deaths, life-threatening injuries or illness among health workers	49 (22.0)	116 (40.8)	6 (21.4)	N/A		11 (17.2)
Loss of work capacity	67 (30.0)	109 (38.4)	9 (32.1)	40 (50.0)	6 (42.9)	14 (21.9)
Cessation of critical programs or service availability with possible overflow to other locations	74 (33.2)	135 (47.5)	11 (39.3)	46 (57.5)		19 (29.7)
Significantly reduced performance capacity of health workforce; needing additional support (local, regional or national)	101 (45.3)	137 (48.2)	11 (39.3)	N/A	6 (42.9)	21 (32.8)
Increased health care demand for infectious diseases, animal bites, non-communicable diseases, and toxic chemicals exposure, increasing health workforce overload and availability	132 (59.2)	190 (66.9)	17 (60.7)	49 (61.3)	N/A	33 (51.6)
Increased work overload with stress	134 (60.1)	193 (68.0)	23 (82.1)	51 (63.8)	N/A	35 (54.7)
Serious harm, injury or illness causing hospitalization and medical treatment	55 (24.7)	90 (31.7)	7 (25.0)	N/A	N/A	22 (34.4)
Health professionals not able to arrive at or depart from the healthcare facility	93 (41.7)	141 (49.6)	23 (82.1)	41 (51.3)	N/A	17 (26.6)
Reduction of health workforce functions	126 (56.5)	182 (64.1)	16 (57.1)	49 (61.3)	4 (30.8)	26 (40.6)
Restrictions to the provision of some healthcare services and programs	127(57.0)		12 (42.9)	41 (51.3)	N/A	25 (39.1)
Effects on mental health due to disaster trauma resulting in diminishing ability to provide adequate care to patients	72 (32.3)	109 (38.4)	N/A	N/A	N/A	24 (37.5)
Increased respiratory diseases from dust storms/dust lightening	125 (56.1)	N/A	N/A	N/A	N/A	20 (31.3)
Minor injuries to health workers requiring minimal or short-term medical treatment	90 (40.4)	139 (48.9)	14 (50.0)	47 (58.8)	N/A	23 (35.9)
Difficulty in providing medications and primary healthcare services to the communities	130 (58.3)	148 (52.1)	12 (42.9)	N/A	N/A	27 (42.2)
Reduced functioning of health workers if the facility lacks a plan to respond to overcrowding of patients and visitors	120 (53.8)	N/A	N/A	N/A	N/A	24 (37.5)
Service delivery and program delays	163(73.1)	228 (80.3)	22 (78.6)	53 (66.3)	N/A	31 (48.4)
Restrictions to provide services and programmes	N/A	149 (52.5)	N/A	N/A	N/A	N/A
Unable to provide adequate care to patients	N/A	164 (57.7)	17 (60.7)	N/A	N/A	N/A
Increased work overload along with stress	N/A	190 (66.9)	N/A	N/A	N/A	N/A
Facilities overcrowding	N/A	165 (58.1)	15 (53.6)	N/A	N/A	N/A
Increased infectious disease cases among health workers from water and	N/A	148 (52.1)	12 (42.9)	54 (67.5)	5 (38.5)	N/A

healthcare waste contamination						
Reduced primary healthcare services in communities	N/A	193 (68.0)	21 (75.0)	N/A	N/A	N/A
Increased risk of indoor mould growth from excess dampness, with impacts on respiratory disease	N/A	N/A	N/A	49 (61.3)	N/A	N/A
High water salinity leads to an increased risk of hypertension in the health workforce	N/A	N/A	N/A	30 (37.5)	N/A	N/A
Significantly reduced performance capacity needing additional support	N/A	N/A	N/A	42 (52.5)	N/A	N/A
Danger of life-threatening heat stroke	N/A	N/A	N/A	N/A	3 (21.4)	N/A
Increased likelihood of heat stress effects (heat exhaustion and heat stroke)	N/A	N/A	N/A	N/A	3 (25.0)	N/A
Increased threat to staff with pre-existing health conditions such as heart conditions, cardiovascular diseases, diabetes, lung diseases, respiratory diseases, fluid/electrolyte disorders and some neurological disorders	N/A	N/A	N/A	N/A	4 (28.6)	N/A
Increase in the number of respiratory diseases due to elevated ozone levels	N/A	N/A	N/A	N/A	6 (42.9)	N/A
Increased workforce absenteeism	N/A	N/A	N/A	N/A	3 (21.4)	N/A
Increased hospital admissions and emergency services overwhelming health workers	N/A	N/A	N/A	N/A	4 (28.6)	N/A
Increased heat affecting day and nocturnal conditions that heighten health workforce exposures	N/A	N/A	N/A	N/A	5 (35.7)	N/A
Diseases requiring medical treatment, specifically for those with pre-existing health conditions such as asthma, COPD, respiratory tract infections, diabetes, heart conditions, renal conditions	N/A	N/A	N/A	N/A	5 (38.5)	N/A
Excessive heat exposure results in effects related to cardiovascular and renal systems, and dehydration	N/A	N/A	N/A	N/A	5 (38.5)	N/A
Increased heat stress effects (heat syncope, heat cramps)	N/A	N/A	N/A	N/A	3 (23.1)	N/A
Increased thirst and headaches	N/A	N/A	N/A	N/A	7 (53.8)	N/A

*N/A: Indicator not assessed for that hazard*

### 5.10.3 Impacts of the hazards on WASH and healthcare waste

**Floods:** Over 62.3% reported severe damage to water supply, 54.2% experienced severe disruption of wastewater and sewage systems, 74.6% reported large-scale water contamination reported contamination, 69.7% experienced shortage of safe water, and 56.3% experienced inability to provide sanitation and hygiene services. Nearly half, 45.1% experienced damage to emergency water sources and 47.2% faced reduced capacity for disinfection due to floods. Over 59.9% reported reduced capacity to provide safe water for drinking or cooking, 64.4% reported reduced water quality and 53.5% reported rodent infestation. **Storms:** About 32.3% of the HCFs experienced overflow of storm water and wastewater containment systems leading to surpassing the capacity of water treatment and distribution systems, 39.0% reported severe damage to water supply system and infrastructure, 29.1% experienced severe



disruption of wastewater and sewage systems, and 38.6% had large-scale water contamination. About 55.6% of the HCFs experienced shortage of safe water, 37.2% had no access to drinking water, 42.6% experienced inability to provide sanitation and hygiene services and 46.2% reported damage to waste storage causing environmental contamination from biological and chemical hazards. **Landslides:** About 71.4% of the HCFs had damage to water supply and storage infrastructure, 46.4% had disruption of waste water and sewage systems, 85.7% had water contamination, 78.6% had shortage of safe water, 60.7% had unable to provide hygiene services and 50.0% had damage to waste storage causing environmental contamination by biological and chemical hazards, 17.9% had lost sharps containers and hazardous waste bins and 42.9% had damage to emergency water sources. **Drought:** About 66.9% of HCFs had a disrupted water system supply, 76.8% had shortage or lack of water, 53.1% had increased water pollution due to pollutant concentration resulting from low flows and reduced water levels (arsenic, iron, manganese, fluoride), 39.9% had increased water pollution due to nutrient concentration (phosphorus) resulting from reduced dissolved oxygen levels caused by higher temperatures, and reduced flows that increase phytoplankton activity and 40.1% had increased water contamination by cyanobacterial blooms due to increased temperature.

**Table 33: Impact of hazards on WASH and healthcare waste management in healthcare facilities in Uganda**

Impact	Hazard						
	Floods n (%)	Storms n (%)	Water level rise n (%)	Land slides n (%)	Drought n (%)	Heat waves n (%)	Lightening n (%)
Severe damage to water supply and storage infrastructure	177 (62.3)	87 (39.0)	N/A	20 (71.4)	N/A	N/A	18 (28.1)
Overflow of storm water and wastewater containment systems surpassing the capacity of water treatment and distribution systems	N/A	72 (32.3)	N/A	N/A	N/A	N/A	N/A
Severe disruption of wastewater and sewage systems	154 (54.2)	65 (29.1)	33 (41.3)	13 (46.4)	N/A	N/A	13 (20.3)
Large-scale water contamination	212 (74.6)	86 (38.6)	58 (72.5)	24 (85.7)	N/A	4 (28.6)	12 (18.8)
Shortage of safe water	198 (69.7)	124 (55.6)	51 (63.8)	22 (78.6)	261 (76.8)	10 (71.4)	14 (21.9)
Unable to provide sanitation and hygiene services	160 (56.3)	95 (42.6)		17 (60.7)	N/A	4 (28.6)	12 (18.8)
Damage to waste storage causing environmental contamination by biological and chemical hazards	157 (55.3)	103 (46.2)	103(46.2)	14 (50.0)	N/A	N/A	N/A
Sharps containers and specific biological and medical bins damaged, potentially releasing hazardous materials	86 (30.3)	65 (29.1)	37 (46.3)	5 (17.9)	N/A	N/A	15 (23.4)
Damage to emergency water sources	128 (45.1)	94 (42.2)	49 (61.3)	12 (42.9)	N/A	N/A	18 (28.1)
Temporal water supply interruption	163 (57.4)	N/A	N/A	20 (71.4)	N/A	N/A	
Reduced capacity to provide safe water for drinking or cooking	170 (59.9)	99 (44.4)	51 (63.8)	19 (67.9)	N/A	6 (42.9)	13 (20.3)
Reduced capacity to provide disinfection or sterilization processes	134 (47.2)	N/A	46 (57.5)	11 (39.3)	N/A	N/A	N/A
Cross-contamination from damages to the sewage system	132 (46.5)	55(24.7)	N/A	13 (46.4)	N/A	N/A	N/A

Reduced water quality as animal faeces and sewage get washed into surface water	183 (64.4)	N/A	N/A	20 (71.4)	N/A	N/A	N/A
Reduced capacity to maintain waste collection and treatment systems	165 (58.1)	N/A	N/A	16 (57.1)	N/A	N/A	N/A
Reduced capacity to access drinking water	177 (62.3)	N/A	N/A	23 (82.1)	N/A	N/A	11 ((17.2)
Reduced capacity to use toilets, showers, etc.	142 (50.0)	N/A	N/A	13 (46.4)	N/A	N/A	16 (25.0)
Reduced capacity to use laundry and dishwashing machines	88 (31.0)	67 (30.0)	35 (43.8)	3 (10.7)	N/A	7 (50.0)	
Reduced capacity to provide cleaning services for facility rooms	150 (52.8)	111(49.8)	46 (57.5)	19 (67.9)	N/A	N/A	24 (37.5)
Heavy sediment and pollution loads making treatment ineffective	121 (42.6)	76 (34.1)	N/A	15 (53.6)	N/A	N/A	14 (21.9)
Possible rodent infestation around rubbish bins	152 (53.5)	N/A	N/A	13 (46.4)	N/A	N/A	
Heavy rainfall risks the flushing of pathogens into water sources	N/A	130 (58.3)		N/A	N/A	N/A	
Increased risk of contamination of medical devices, instruments and equipment, and other medical supplies	N/A	84 (37.7)	38 (47.5)	N/A	N/A	N/A	19 (29.7)
Increased health workforce infections from water and health care waste contamination	N/A	81 (36.3)	N/A	N/A	N/A	N/A	15 (23.4)
Reduced functioning of sanitation systems and hygiene practices (flush toilets, showers, sewerage, treatment, hand washing, medical procedures, etc.)	N/A	96 (43.0)	N/A	N/A	N/A	3 (23.1)	16 (25.0)
Increased nutrient loads	N/A	66 (29.6)	N/A	N/A	N/A	N/A	12 (18.8)
Possible overflow of effluents into streams and rivers if surface water enters septic tanks	N/A	88 (39.5)	N/A	N/A	N/A	N/A	14 (21.9)
Increased possibility of contamination of groundwater due to infiltration of pollutants	N/A	111 (49.8)	N/A	N/A	N/A	N/A	23 (35.9)
Reduced access to water for health care practices	N/A	113 (50.7)	N/A	N/A	N/A	6 (42.9)	20 (31.3)
Increased risk of breakdown of final waste collection and transportation systems within/outside the health care facilities	N/A	107 (48.0)	N/A	N/A	N/A	N/A	22 (34.4)
Increased saltwater intrusion into aquifers, resulting in increased salinity of groundwater basins and well water	N/A	N/A	33 (41.3)	N/A	N/A	N/A	N/A
Leakage from septic tanks, sewer systems, and instability of storage tanks and pipes	N/A	N/A	38 (47.5)	N/A	N/A	N/A	N/A
Increased corrosion of the water and wastewater drainage system	N/A	N/A	42 (52.5)	N/A	N/A	N/A	N/A

Risk of environmental contamination by biological and chemical hazards	N/A	N/A	48 (60.0)	N/A	N/A	N/A	18 (28.1)
Loss of water pumping and treatment systems	N/A	N/A	43 (53.8)	N/A	N/A	N/A	N/A
Saltwater intrusion in water and wastewater containment systems leading to reduced capacity for water treatment and distribution	N/A	N/A	40 (50.0)	N/A	N/A	N/A	N/A
Reduced volume of stored freshwater	N/A	N/A	50 (62.5)	N/A	N/A	N/A	N/A
Surface water ingress into septic tanks leading to overflow of effluents into streams, rivers and lakes	N/A	N/A	38 (47.5)	N/A	N/A	N/A	N/A
Increased water and wastewater management repairs due to inundation or erosion	N/A	N/A	43 (53.8)	N/A	N/A	N/A	N/A
Increased demand for drinking water from health workers engaged in outdoor activities	N/A	N/A	N/A	N/A	N/A	11 (84.6)	N/A
Reduced effectiveness of chemicals used for water treatment	N/A	N/A	N/A	N/A	N/A	3 (21.4)	N/A

*N/A: Not assessed/doesn't not apply for that particular hazard*

#### 5.10.4 Impacts of the hazards on energy

**Floods:** Over 65.9% of HCFs reported power failure as a result of floods, 69.0% reported the loss of vaccines, laboratorial supplies, and other essential refrigeration-dependent medical supplies, 44.8% reported the interruption of healthcare services requiring electricity, such as dialysis, oxygen supplies, and diagnosis equipment, 34.9% experienced the shutdown of cold storage systems, 33.1% reported disruption of energy-dependent water pumping and treatment and 62.3% reported temporary power supply interruption. About 43.7% reported reduced capacity to provide cleaning services, 48.9% reported reduced capacity to provide disinfection services that require electricity, and 64.8% of facilities reported possible damage to the alternative energy sources.

**Storms:** Over 65.9% of HCFs reported power failure, 62.3% reporting temporary power supply interruption, 34.9% of healthcare facilities experienced the shutdown of cold storage systems, 44.8% reported the interruption of healthcare services requiring electricity, 69.0% reported the loss of vaccines, and other essential supplies, 42.3% of facilities were unable to follow boil water advisories, 33.2% reported disruption in energy-dependent water pumping and treatment. About half, 50.0% of facilities reported difficulty in providing critical healthcare services such as dialysis, oxygen therapy, and diagnostic equipment, 43.7% of healthcare facilities reported reduced capacity to provide cleaning services, 48.9% reported reduced capacity to provide disinfection services.

51.6% of facilities reported damage to solar photovoltaic panels or other energy sources. Over 64.8% reported possible damage to alternative sources of energy as a result of storms. **Drought:** About 33.8% of HCFs reported power failure, 34.9% of healthcare facilities reported disruption in the use of medical equipment that requires electricity, 29.4% experienced the shutdown of cold storage systems, 32.7% reported the interruption of healthcare services requiring electricity, such as dialysis, oxygen supplies, and diagnosis equipment and 31.5% reported the loss of vaccines, laboratorial supplies, drugs, pharmaceuticals, and other essential refrigeration-dependent medical supplies. More than a third, 36.4% experienced intermittent power delivery due to drought, 35.2% reported reduced capacity to use medical and diagnostic equipment that require electricity, 40.2% reported disruption of the cooling system for medicines, vaccines, and medical and laboratorial supplies, 34.4% of facilities reported difficulty providing critical healthcare services, leading to the evacuation of patients to other health facilities, 57.4% of facilities reporting damage to solar photovoltaic panels or other energy sources.

**Table 34: Impact of the hazards on energy in healthcare facilities in Uganda**

Impacts on Energy	Drought	Storms	Floods	Landslides	Water level rise	Lightening	Heat waves
Power failure	110 (33.8)	147 (65.9)	120 (42.3)	14 (50.0)	43 (53.8)	42 (65.6)	3 (21.4)
Disruption in use of medical equipment that require electricity	113 (34.9)	N/A	N/A	N/A	N/A	N/A	5 (41.7)
Shutdown of cold storage systems	97 (29.4)	N/A	99 (34.9)	9 (32.1)	34 (42.5)		
Interruption of health care services which require electricity such as dialysis, oxygen supplies, diagnosis equipment	98 (32.7)	100 (44.8)	117 (41.2)	8 (28.6)	37 (46.3)	26 (40.6)	
Loss of vaccines, laboratorial supplies, drugs, pharmaceuticals and other essential refrigeration-dependent medical supplies	105 (31.5)	57 (25.6)	196 (69.0)	6 (21.4)	30 (37.5)	19 (29.7)	3 (21.4)

Unable to boil water advisories	104 (32.3)	82 (36.8)	120 (42.3)	11 (39.3)	40 (50.0)	26 (40.6)	2 (14.3)
Disruption of the fuel supply chain	79 (25.4)	55 (24.7)	74 (26.1)	3 (10.7)	40 (50.0)	14 (21.9)	2 (14.3)
Disruption of energy-dependent water pumping and treatment	99 (32.1)	74 (33.2)	94 (33.1)	4 (14.3)		19 (29.7)	1 (7.1)
Intermittent power delivery	118 (36.4)	N/A	N/A	N/A	N/A	N/A	3 (23.1)
Temporary power supply interruption	131 (40.4)		177 (62.3)	17 (60.7)	44 (55.0)		
Reduced capacity to use medical and diagnostic equipment that require electricity	112 (35.2)	N/A	N/A	N/A	N/A	N/A	3 (21.4)
Disruption of cooling system for medicines, vaccines, and medical and laboratorial supplies	131 (40.2)	N/A	N/A	N/A	N/A	N/A	N/A
Difficulty providing critical healthcare service deliveries (dialysis, oxygen therapy, diagnosis equipment), causing patients to be evacuated to other health facilities	100 (34.4)	66 (29.6)	101 (35.6)	7 (25.0)	40 (50.0)	24 (37.5)	2 (14.3)
Reduced capacity to provide cleaning services that need electricity (laundry, dishwashing machines)	103 (35.0)	74 (33.2)	124 (43.7)	7 (25.0)	33 (41.3)	20 (31.3)	N/A
Reduced capacity to provide disinfection services that need electricity (autoclave, microwave)	118 (38.2)	90 (40.4)	139 (48.9)	10 (35.1)		27 (42.2)	4 (28.6)
No ambient cooling thereby increasing staff and patient discomfort	116 (37.1)	74 (33.2)	76 (26.8)	4 (14.3)	34 (42.5)	18 (28.1)	7 (53.8)
Loss of food or difficulty in keeping food refrigerated	94 (31.8)	74 (33.2)	100 (35.2)	8 (28.6)	33 (41.3)	20 (31.3)	4 (30.8)
Interruption of internal access systems (elevators, automatic doors)	75 (26.2)	N/A	N/A	N/A	N/A	N/A	N/A
Damage to solar photovoltaic panels or other energy sources	128 (57.4)	N/A	N/A	N/A	N/A	33 (51.6)	N/A
Reduced electricity capacity resulting in loss of medical supplies and decrease in health care services	85 (38.1)	N/A	N/A	N/A	N/A	N/A	N/A
Possible damage to the emergency generator or other sources of energy	72 (32.3)	N/A	184 (64.8)	5 (17.9)	38 (47.5)	19 (29.7)	N/A
Difficulty in providing thermal comfort, affecting health workers and patients	N/A	N/A	N/A	N/A	N/A	N/A	5 (38.5)

## 5.10.5 Impacts of the hazards on infrastructure, products and processes

### 5.10.5.1 Impact of drought on infrastructure, technologies, products and processes in healthcare facilities in Uganda

About 30.2% of the HCFs reported damage to vital equipment from power outages, 44.5% had an interruption of health care services delivery and operation, 32.6% reported disruption of internal communication and information systems, 44.4% reported reduced capacity of routine health care services, 36.2% had an interruption of diagnostics due to equipment damage, 53.9% reported interruption of water and food supply chains, and 53.2% reported increased healthcare costs for attending to all drought-related impacts. About 68.4% of the HCFs reported decreased local food security, 72.2% reported disruption of local food supply and 50.0% reported reduced capacity to deliver critical health care services due to water shortage as a result of drought.

**Table 35: Impact of Drought on Infrastructure, Technologies, Products and Processes in healthcare facilities in Uganda**

Impacts	Yes n (%)	No n (%)	Don't know n (%)
Damage to vital equipment from power outages	98 (30.2)	220 (67.9)	6 (1.8)
Interruption of health care services delivery and operation	147 (44.5)	178 (53.9)	5 (1.5)
Disruption of internal communication and information systems	107 (32.6)	217 (66.2)	4 (1.2)
Reduced capacity of routine health care services such as ambulatory,	148 (44.4)	182 (54.6)	3 (0.9)
Interruption of diagnostics due to equipment damage	118 (36.2)	202 (62.0)	6 (1.8)
Interruption of water and food supply chains	178 (53.9)	148 (44.8)	4 (1.2)
Increased complex and emergency health care services (dialysis, complex treatments, cardiovascular and respiratory hospitalizations)	98 (33.2)	191 (64.7)	6 (2.0)
Increased healthcare costs for attending to all drought-related impacts	176 (53.2)	147 (44.4)	8 (2.4)
Decreased local food security	229 (68.4)	100 (29.8)	6 (1.8)
Disruption of local food supply	242 (72.2)	90 (26.9)	3 (0.9)
Reduced capacity to deliver critical health care services due to water shortage	167 (50.0)	164 (49.1)	3 (0.9)
Reduced capacity to deliver basic health care services	156 (46.3)	179 (53.1)	2 (0.6)
Temporary suspension of service deliveries due to water shortage	119 (35.6)	214 (64.1)	1 (0.3)
Increase in temperature and reduction in air quality within the health care facility	182 (53.8)	152 (45.0)	4 (1.2)
No functioning air conditioning system or electric fans or appropriate window position	117 (39.4)	172 (57.9)	8 (2.7)
Possibility of reduced food supply due to lower access to food production	220 (66.7)	104 (31.5)	6 (1.8)
Increased hospitalization rates requiring extra medical supplies and health workforce	132 (39.8)	192 (57.8)	8 (2.4)
Possibility of higher costs to health care facilities due to lower/reduced food supply and higher prices	213 (64.7)	111 (33.7)	5 (1.5)
Minimal impact on local operations equipment, with no impact on health care service deliveries	120 (36.0)	202 (60.1)	11 (3.3)
Minimal impact on the supply chain	117 (35.0)	205 (61.4)	12 (3.6)
Reduced capacity to provide local food access	203 (61.3)	124 (37.5)	4 (1.2)
Minor impact from high temperatures and reduction in air quality within the facility due to lack of air conditioning or electric fans or appropriate window position	155 (47.5)	165 (50.6)	6 (1.8)



### 5.10.5.2 Impacts of storms on infrastructure, products, and processes

About 63.7% of the HCFs experienced direct damage to infrastructure (water storage tanks, roofs) from high winds, 42.6% experienced structural failure of the building, 42.6% had disruption to building access, and 34.5% had damage to communication and information systems and assets. About 30.5% of the HCFs reported loss or damage of essential supplies, 52.0% of facilities experienced disruption of Health Care Services and Operations, 35.0% of facilities reported cessation or prolonged disruption of services, 40.4% of facilities faced interruptions of supply chains, and 40.8% of facilities experienced increased treatment demand. Over 64.1% of facilities reported road damage, 61.0% of facilities faced difficulty in transporting patients and 57.8% experienced reduced Capacity to deliver Health Care Services.

**Table 36: Impacts of Storms on infrastructure, technology and processes in Uganda**

Impacts	Yes n (%)	No n (%)	Don't know n (%)
Direct damage to infrastructure (water storage tanks, roofs) from high winds	142 (63.7)	81 (36.3)	0 (0.0)
Structural failure of the building	95 (42.6)	127 (57.0)	1 (0.4)
Disruption to building access	95 (42.6)	125 (56.1)	3 (1.3)
Damage to machine rooms	41 (18.4)	167 (74.9)	15 (6.7)
Damage to communication and information systems and assets	77 (34.5)	137 (61.4)	9 (4.0)
Loss or damage of essential supplies (medications, treatments, medical devices, drugs, pharmaceuticals, vaccines, etc.)	68 (30.5)	153 (68.6)	2 (0.9)
Interruption of complex and emergency health care services (surgery, complex treatment, urgent health care, etc.)	64 (28.7)	145 (65.0)	14 (6.3)
Disruption of health care services and operations	116 (52.0)	102 (45.7)	5 (2.2)
Cessation of services or prolonged disruption of services due to loss or damage	78 (35.0)	143 (64.1)	2 (0.9)
Breakdown of routine healthcare services (such as ambulatory, immunization, maternity room, pharmacy, medication for chronic diseases, and other primary healthcare services)	120 (53.8)	101 (45.3)	2 (0.9)
Interruption of diagnosis due to equipment damages	76 (34.1)	144 (64.6)	3 (1.3)
Interruption of supply chains	90 (40.4)	131 (58.7)	2 (0.9)
Long-term effect on the environment, requiring external assistance/interventions	88 (39.5)	132 (59.2)	3 (1.3)
Damage to internal transportation systems (elevators, ramps, corridors, garage, etc.)	54 (24.2)	160 (71.7)	9 (4.0)
Increased treatment demand for infectious, cardiovascular and respiratory diseases Increase in complex and emergency health care services (complex treatments, outbreaks, etc.)	91 (40.8)	128 (57.4)	4 (1.8)
Structural damage to the building	138 (61.9)	84 (37.7)	1 (0.4)
Damage to road, impairing access	143 (64.1)	80 (35.9)	0 (0.0)
Difficult to transport patients due to damaged or disabled transportation systems	136 (61.0)	86 (38.6)	1 (0.4)
Reduced capacity to deliver health care services due to damaged and reduced supplies	129 (57.8)	94 (42.2)	0 (0.0)
Temporary suspension of service deliveries	88 (39.5)	135 (60.5)	0 (0.0)
Damage to paper medical record storage	78 (35.0)	143 (64.1)	2 (0.9)
Reduced capacity to access clinical and laboratorial supplies	74 (33.2)	147 (65.9)	2 (0.9)
Impacts from trees falling on the facility causing damage to building infrastructure and injuries to people	92 (41.3)	129 (57.8)	2 (0.9)
Increased hospitalization rates requiring extra medical supplies and health workforce	73 (32.7)	146 (65.5)	4 (1.8)

Increased costs due to high demand of critical supplies during and after the event	97 (43.5)	123 (55.2)	3 (1.3)
Increased costs due to necessary financial investment in the recovery of facility infrastructure (structural and nonstructural), post event	111 (49.8)	109 (48.9)	3 (1.3)
Localized disruption of services with minor losses and damage	125 (56.1)	93 (41.7)	5 (2.2)
Damage or loss of documents and records	98 (43.9)	124 (55.6)	1 (0.4)
No lasting effect on the external environment of the facility	84 (37.7)	133 (59.6)	6 (2.7)
Minimal impact on local operations and equipment, without compromising health care service deliveries Minimal impact on the supply chain	117 (52.5)	102 (45.7)	4 (1.8)

### 5.10.5.3 Impacts of floods on infrastructure, products, and processes

Majority, 76.1% of HCFs reported damage to roads, disrupting access, 71.5% of healthcare facilities faced difficulty in transporting patients, 58.1% of facilities had reduced capacity to deliver healthcare services, and 45.8% of facilities temporarily suspended service deliveries. About 43.0% of the HCFs reported flood damage or destruction of structural components, 62.3% of facilities experienced partial destruction due to floods and land erosion, 64.1% had blocked transport systems and flooded ambulance stations and 50.4% experienced damage to building access. About 23.9% of the HCFs had damage to the machine room, 24.3% had damage to critical equipment, 30.6% had damaged internal and external communication and information systems and 31.0% had loss or damage of healthcare facility essential supplies. More than half, 56.7% reported disruption in services and about a third (30.6%) of facilities had damage to communication systems, 31.0% experienced loss or damage to essential supplies, 32.4% faced interruptions in complex and emergency healthcare services. Over 52.1% of facilities experienced a breakdown in routine healthcare services, 35.6% faced interruptions in diagnosis due to equipment damage, 31.3% reported contamination of medical devices, instruments, and equipment, and 45.8% of facilities experienced interruptions in supply chains. Nearly half, 40.5% of facilities experienced disruption to communication and information systems, 37.7% of facilities faced damage to internal transportation systems. About 34.5% of healthcare facilities experienced damage to paper medical record storage, 53.2% of facilities reported increased costs in repairing damaged administrative equipment and furniture, and 38.7% of facilities experienced damage or loss of documents and records. Over 54.2% HCFs incurred increased costs due to necessary post-flood repairs, 66.9% of healthcare facilities experienced increased demand for providing cleaning and disinfection supplies, 52.1% of facilities faced mold, indoor and outdoor, requiring special cleaning-up or essential protective equipment for cleaners

**Table 37: Impact of floods on infrastructure, technologies, products and processes**

Impacts	Characteristic		
	Yes n (%)	No n (%)	Don't know n (%)
Flood damage or destruction of structural components (full or parts of the facility)	122 (43.0)	159 (56.0)	3 (1.1)
Partial destruction by floods causing land erosion	177 (62.3)	105 (37.0)	2 (0.7)
Blocked transport systems and flooded ambulance stations	182 (64.1)	98 (34.5)	4 (1.4)
Damage to building access	143 (50.4)	137 (48.2)	4 (1.4)
Damage to the machine room	68 (23.9)	202 (71.1)	14 (4.9)
Damage to critical equipment	69 (24.3)	207 (72.9)	8 (2.8)
Damaged internal and external communication and information systems	87 (30.6)	189 (66.5)	8 (2.8)
Loss or damage of health care facility essential supplies (medications, medical devices, drugs,	88 (31.0)	194 (68.3)	2 (0.7)

laboratory supplies, blood, pharmaceuticals, vaccines)			
Interruption of complex and emergency healthcare services (surgery, complex treatments, urgent care)	92 (32.4)	179 (63.0)	13 (4.6)
Disruption of healthcare services delivery and operation	161 (56.7)	119 (41.9)	4 (1.4)
A breakdown of routine healthcare services (such as ambulatory, immunization, maternity room, pharmacy, medication for chronic diseases, dental, and other primary healthcare services)	148 (52.1)	135 (47.5)	1 (0.4)
Interruptions of diagnosis due to equipment damage	101 (35.6)	178 (62.7)	5 (1.8)
Contamination of medical devices, instruments and equipment	89 (31.3)	191 (67.3)	4 (1.4)
Interruption of supply chains	130 (45.8)	154 (54.2)	0 (0.0)
Long-term effects on the environment and need external assistance/interventions	124 (43.7)	156 (54.9)	4 (1.4)
Damage to internal transportation systems (elevators, ramps, corridors)	107 (37.7)	168 (59.2)	9 (3.2)
Increased immediate and long-term costs to recover from damage	137 (48.2)	146 (51.4)	1 (0.4)
Disruption to communication and information systems and assets	115 (40.5)	168 (59.2)	1 (0.4)
Damage to roads, disrupting access to a healthcare facility	216 (76.1)	68 (23.9)	0 (0.0)
Difficulty transporting patients due to damaged or disabled transportation systems	203 (71.5)	80 (28.2)	1 (0.4)
Reduced capacity to deliver healthcare services due to damage and reduced supplies	165 (58.1)	118 (41.5)	1 (0.4)
Temporary suspension of service deliveries	130 (45.8)	152 (53.5)	2 (0.7)
Damage to paper medical record storage	98 (34.5)	183 (64.4)	3 (1.1)
Reduced capacity to access clinical and laboratory supplies	112 (39.4)	170 (59.9)	2 (0.7)
Increased hospitalization rates requiring extra medical supplies and a healthy workforce	117 (41.2)	164 (57.7)	3 (1.1)
A high demand for cleaning services in all facility buildings after flood events requiring extra personal protective equipment	157 (55.3)	125 (44.0)	2 (0.7)
Increased demand for costs for repairing or buying damaged or lost medical equipment and devices, needed for short-term recovery	144 (50.7)	138 (48.6)	2 (0.7)
Increased costs due to necessary post-flood repairs	154 (54.2)	128 (45.1)	2 (0.7)
Increased the costs of repairing all damaged administrative equipment and furniture	151 (53.2)	131 (46.1)	2 (0.7)
Localized disruptions of services with minor loss and damage	167 (58.8)	114 (40.1)	3 (1.1)
Damage or loss to healthcare facility documents and records	110 (38.7)	172 (60.6)	2 (0.7)
No lasting effects on the external healthcare facility environment	113 (39.8)	166 (58.5)	5 (1.8)
Minimal impact on local operations and equipment that do not compromise healthcare service deliveries	138 (48.6)	142 (50.0)	4 (1.4)
Minimal impact on the supply chain, which can continue to support healthcare facility needs	139 (48.9)	142 (50.0)	3 (1.1)
Possible mould, indoor and outdoor, requiring special	148 (52.1)	133 (46.8)	3 (1.1)

cleaning-up or essential personal protective equipment for cleaners			
Increased demand for providing cleaning and disinfection supplies	190 (66.9)	93 (32.7)	1 (0.4)

#### 5.10.5.4 Impacts of water level rise on infrastructure, technology, products, and processes

About 51.3% of the HCFs reported infrastructure destruction, 37.5% faced building collapse from coastal erosion and material corrosion, 40.0% reported the need for increased water treatment, 53.8% faced heightened maintenance demands, 36.3% experienced persistent facility flooding during high tides, impacting day-to-day operations, 57.5% reported blocked transport systems and flooded ambulance stations and 40.0% reported blocked building access. About 42.5% experienced interruptions in complex and emergency healthcare services, 57.5% faced disruption of healthcare service delivery and operations, 50% faced cessation of services or prolonged service disruption and interruptions of supply chains. Nearly half (45%) faced disruption of the food chain due to saline intrusion in agriculture. More than half (63.8%) reported damage to road access and difficulty in transporting patients, 56.3% faced reduced capacity to deliver healthcare services, 52.5% faced increased demand for cleaning services, 56.3% reported increased demand for providing all necessary essential or critical supplies. Over 62.5% faced increased costs in maintenance and repair the facility building and its assets, 42.5% reported damage or loss of documents and medical records and 47.5% faced increased costs due to demand for repositioning of all damaged or lost medical equipment.

**Table 38: Impact of water level rise on infrastructure, technology, products, and processes**

Impacts	Characteristic		
	Yes n (%)	No n (%)	Don't know n (%)
Infrastructure destruction (structural and non-structural; full or parts of the facility)	41 (51.3)	38 (47.5)	1 (1.3)
Building collapse from coastal erosion and material corrosion	30 (37.5)	47 (58.8)	3 (3.8)
Increased water treatment (desalinization process)	32 (40.0)	46 (57.5)	2 (2.5)
Increased maintenance and repair of the facility building	43 (53.8)	37 (46.3)	0 (0.0)
Ongoing facility flooding during high tides	29 (36.3)	49 (61.3)	2 (2.5)
Blocked transport systems and flooded ambulance stations	46 (57.5)	33 (41.3)	1 (1.3)
Blocked building access	32 (40.0)	46 (57.5)	2 (2.5)
Damage to critical medical equipment	32 (40.0)	47 (58.8)	1 (1.3)
Damage to essential supplies (medications, treatments, medical devices, drugs, laboratory supplies, pharmaceuticals, vaccines, blood, milk, nutritional supplies and other critical supplies) requiring prompt repositioning	33 (41.3)	46 (57.5)	1 (1.3)
Interruption in complex and emergency healthcare services (surgery, complex treatments, urgent care, blood banks, etc.)	34 (42.5)	44 (55.0)	2 (2.5)
Disruption of healthcare service delivery and operations, such as ambulatory, immunization, maternity room, pharmacy, medication for chronic diseases, and other primary healthcare services	46 (57.5)	32 (40.0)	2 (2.5)
Cessation of services or prolonged service disruption due to loss or damage	40 (50.0)	38 (47.5)	2 (2.5)

Interruption of supply chains	40 (50.0)	40 (50.0)	0 (0.0)
Damage to internal access systems (e.g., elevators, ramps, corridors, garage)	28 (35.0)	48 (60.0)	4 (5.0)
Increased costs of building maintenance	50 (62.5)	30 (37.5)	0 (0.0)
Damage to medical and administration equipment and furniture	33 (41.3)	46 (57.5)	1 (1.3)
Infrastructure damage (structural and non-structural; full or parts of the facility)	43 (53.8)	36 (45.0)	1 (1.3)
Partial disruption of healthcare facility functions resulting from coastal erosion or corrosion	38 (47.5)	40 (50.0)	2 (2.5)
Disruption of the food chain due to saline intrusion in agriculture	36 (45.0)	41 (51.3)	3 (3.8)
Damage to road access	51 (63.8)	29 (36.3)	0 (0.0)
Difficulty in transporting patients due to damaged or disabled transportation systems	51 (63.8)	28 (35.0)	1 (1.3)
Reduced capacity to deliver health care services due to damage and reduced access to clinical, laboratory and medical supplies	45 (56.3)	35 (43.8)	0 (0.0)
Temporary suspension of service deliveries	38 (47.5)	41 (51.3)	1 (1.3)
High demand for cleaning services for the entire facility building, after a flood event	42 (52.5)	38 (47.5)	0 (0.0)
The long-term effect on the environment needing external assistance/interventions	41 (51.3)	37 (46.3)	2 (2.5)
Increased costs from the water desalinization process	36 (45.0)	40 (50.0)	4 (5.0)
Possible replacement of sections of the health facility's building	35 (43.8)	44 (55.0)	1 (1.3)
Increased costs due to demand for repositioning all damaged or lost medical equipment and devices	38 (47.5)	40 (50.0)	2 (2.5)
Increased demand for providing all necessary essential or critical supplies (medications, treatments, medical devices, drugs, laboratory supplies, pharmaceuticals, vaccines, and other critical supplies)	45 (56.3)	32 (40.0)	3 (3.8)
Increased costs of recovery of infrastructure, post- event	41 (51.3)	37 (46.3)	2 (2.5)
Localized disruption of services with minor loss and damage	48 (60.0)	31 (38.8)	1 (1.3)
Increase in costs to maintain and repair the facility building and its assets	47 (58.8)	32 (40.0)	1 (1.3)
Damage or loss of documents and medical records	34 (42.5)	46 (57.5)	0 (0.0)
Minor impact on local operations without compromising health care services	48 (60.0)	32 (40.0)	0 (0.0)
Minimal impact on the supply chain	46 (57.5)	34 (42.5)	0 (0.0)
Short-term negative effects on the environment	47 (58.8)	33 (41.3)	0 (0.0)

#### **5.10.5.5 Impacts of lightning on infrastructure**

More than a third, 42.2% reported direct damage to infrastructure (water storage tanks, roofs) from high winds, 40.6% reported structural damage of the building, posing risks to overall facility stability, 35.9% experienced breakdown of routine healthcare services, 34.4% reported interruption of supply chains, and 32.8% reported damage to communication and information systems. More than a quarter (26.6%) reported interruptions of complex and emergency health care services, 21.9% reported damage to internal transportation systems, 28.1% reported damage to roads impairing access and 25% reported damage to machine rooms.

**Table 39: Impact of lightning on infrastructure, technologies, products and processes**

Impact	Characteristic		
	Yes n (%)	No n (%)	Don't know n (%)
Direct damage to infrastructure (water storage tanks roofs) from high winds	27 (42.2)	34 (53.1)	3 (4.7)
Structural failure of the building	23 (35.9)	39 (60.9)	2 (3.1)
Disruption to building access	15 (23.4)	47 (73.4)	2 (3.1)
Damage to machine rooms	16 (25.0)	43 (67.2)	5 (7.8)
Damage to communication and information systems and assets	21 (32.8)	39 (60.9)	4 (6.3)
Loss or damage of essential supplies (medications, treatments, medical devices, drugs, pharmaceuticals, vaccines, etc.)	20 (31.3)	42 (65.6)	2 (3.1)
Interruption of complex and emergency health care services (surgery, complex treatment. urgent health care. etc.)	17 (26.6)	43 (67.2)	4 (6.3)
Disruption of health care services and operations	25 (39.1)	36 (56.3)	3 (4.7)
Cessation of services or prolonged disruption of services due to loss or damage	21 (32.8)	39 (60.9)	4 (6.3)
Breakdown of routine health care services (such as ambulatory, immunization, maternity room, pharmacy, medication for chronic diseases, and other primary healthcare services)	23 (35.9)	39 (60.9)	2 (3.1)
Interruption of diagnosis due to equipment damages	19 (29.7)	40 (62.5)	5 (7.8)
Interruption of supply chains	22 (34.4)	40 (62.5)	2 (3.1)
Long-term effect on the environment, requiring external assistance/interventions	17 (26.6)	44 (68.8)	3 (4.7)
Damage to internal transportation systems (elevator, ramps, corridors, garage, etc.)	14 (21.9)	48 (75.0)	2 (3.1)
Increased treatment demand for infectious, cardiovascular and respiratory diseases	19 (29.7)	41 (64.1)	4 (6.3)
Structural damage to the building	26 (40.6)	36 (56.3)	2 (3.1)
Damage to road, impairing access	18 (28.1)	43 (67.2)	3 (4.7)
Difficult to transport patients due to damaged or disabled transportation systems	19 (29.7)	42 (65.6)	3 (4.7)
Reduced capacity to deliver health care services due to damaged and reduced supplies	25 (39.1)	37 (57.8)	2 (3.1)
Temporary suspension of service deliveries	21 (32.8)	41 (64.1)	2 (3.1)
Damage to paper medical record storage	15 (23.4)	47 (73.4)	2 (3.1)
Reduced capacity to access clinical and laboratorial supplies	20 (31.3)	40 (62.5)	4 (6.3)
Impacts from trees falling on the facility causing damage to building infrastructure and injuries to people	24 (37.5)	38 (59.4)	2 (3.1)
Increased hospitalization rates requiring extra medical supplies and health workforce	22 (34.4)	39 (60.9)	3 (4.7)
Increased costs due to high demand of critical supplies during and after the event	26 (40.6)	36 (56.3)	2 (3.1)
Increased costs due to necessary financial investment in the recovery of facility infrastructure (structural and non-structural), post event	23 (35.9)	38 (59.4)	3 (4.7)
Localized disruption of services with minor losses and damage	27 (42.2)	35 (54.7)	2 (3.1)
Damage or loss of documents and records	15 (23.4)	47 (73.4)	2 (3.1)
No lasting effect on the external environment of the facility	26 (40.6)	36 (56.3)	2 (3.1)
Minimal impact on local operations and equipment, without compromising health care service deliveries Minimal impact on the supply chain	27 (42.2)	34 (53.1)	3 (4.7)



### 5.10.5.6 Impacts of Landslides on infrastructure, technologies, products and processes

Majority, 64.3% of the HCFs reported partial destruction by floods causing land erosion, 71.4% experienced blocked transport systems and flooded ambulance stations, 53.6% reported damage to building access, 60.7% reported disruption of healthcare services delivery and operation, 53.6% experienced breakdown of routine healthcare services impacting essential services like immunization, maternity care, and 28.6% experienced interruptions in diagnostic services. About half (50%) reported increased immediate and long-term costs to recover from damage, 67.9% experienced heightened demand for cleaning services, and 46.4% reported increased costs related to medical equipment repair or replacement. A vast majority, 89.3% faced road damage, impacting access to healthcare, 82.1% reported challenges in patient transportation, and more than a third, 32.1% reported increased

**Table 40: Impacts of Landslides on infrastructure, technologies, products and processes in healthcare facilities in Uganda**

Impact	Characteristic		
	Yes n (%)	No n (%)	Don't know n (%)
Partial destruction by floods causing land erosion	18 (64.3)	10 (35.7)	0 (0.0)
Blocked transport systems and flooded ambulance stations	20 (71.4)	8 (28.6)	0 (0.0)
Damage to building access	15 (53.6)	13 (46.4)	0 (0.0)
Damage to machine room	2 (7.1)	23 (82.1)	3 (10.7)
Damage to critical equipment	3 (10.7)	25 (89.3)	0 (0.0)
Damage of internal and external communication and information systems	5 (17.9)	23 (82.1)	0 (0.0)
Loss or damage of health care facility essential supplies (medications, medical devices, drugs, Laboratorial supplies, etc.)	5 (17.9)	23 (82.1)	0 (0.0)
Interruption of complex and emergency health care services (surgery, complex treatments, urgent care)	5 (17.9)	18 (64.3)	5 (17.9)
Disruption of health care services delivery and operation	17 (60.7)	11 (39.3)	0 (0.0)
Breakdown of routine health care services (such as ambulatory immunization, maternity room. pharmacy. medication for chronic diseases. dental, and other primary healthcare services)	15 (53.6)	12 (42.9)	1 (3.6)
Interruption of diagnosis due to equipment damage	8 (28.6)	20 (71.4)	0 (0.0)
Contamination of medical devices, instruments and equipment	9 (32.1)	19 (67.9)	0 (0.0)
Interruption of supply chains	12 (42.9)	16 (57.1)	0 (0.0)
Long-term effect on the environment needing external assistance/interventions	12 (42.9)	16 (57.1)	0 (0.0)
Damage to internal transportation systems (elevators, ramps, corridors)	6 (21.4)	18 (64.3)	4 (14.3)
Increased immediate and long-term costs to recover from damage	14 (50.0)	14 (50.0)	0 (0.0)
Disruption to communication and information systems and assets	6 (21.4)	22 (78.6)	0 (0.0)
Damage to road. disrupting access to health care facility	25 (89.3)	3 (10.7)	0 (0.0)

Difficulty in transporting patients due to damaged or disabled transportation systems	23 (82.1)	5 (17.9)	0 (0.0)
Reduced capacity to deliver health care services due to damage and reduced supplies	14 (50.0)	14 (50.0)	0 (0.0)
Temporary suspension of service deliveries	11 (39.3)	17 (60.7)	0 (0.0)
Damage to paper medical record storage	4 (14.3)	23 (82.1)	1 (3.6)
Reduced capacity to access clinical and laboratorial supplies	7 (25.0)	21 (75.0)	0 (0.0)
Increased hospitalization rates requiring extra medical supplies and health workforce	9 (32.1)	19 (67.9)	0 (0.0)
High demand for cleaning services in all facility buildings after landslide event requiring extra personal protective equipment	17 (60.7)	11 (39.3)	0 (0.0)
Increased demand in costs for repairing or buying damaged or lost medical equipment and devices.	13 (46.4)	15 (53.6)	0 (0.0)
Increased costs due to necessary post landscape repairs	15 (53.6)	13 (46.4)	0 (0.0)
Increased costs for repairing all damaged administrative equipment and furniture	17 (60.7)	11 (39.3)	0 (0.0)
Localized disruption of services with minor loss and damage	17 (60.7)	11 (39.3)	0 (0.0)
Damage or loss to health care facility documents and records	5 (17.9)	23 (82.1)	0 (0.0)
No lasting effects on the external health care facility environment	10 (35.7)	17 (60.7)	1 (3.6)
Minimal impact on local operations and equipment that do not compromise health care service deliveries	12 (42.9)	15 (53.6)	1 (3.6)
Minimal impact on the supply chain, which can continue to support health care facility needs	14 (50.0)	13 (46.4)	1 (3.6)
Possible mold indoor and outdoor, requiring special cleaning-up or essential personal protective equipment for cleaners	11 (39.3)	16 (57.1)	1 (3.6)
Increased demand for providing cleaning and disinfection supplies	19 (67.9)	9 (32.1)	0 (0.0)

## 6 Climate Sensitive Health Outcomes in Uganda

### 6.1 Injury and mortality from extreme weather events

Extreme weather events like floods on the banks of river Manafwa and landslides at the foot of Mt. Elgon have in the past decade caused 1,000 deaths and displacement of over 5,000 individuals (Relief Web, 2019, Atuyambe et al., 2011). More than 400 deaths resulting from landslides occurred in Bududa district in 2010, while over 150 injuries and 45 fatalities from landslides and floods were reported in Eastern and Western Uganda in 2019 (OCHA, 2019). Landslides induced by heavy precipitation, in 2022, also led to 46 fatalities in Kasese and Mbale (Relief Web, 2022). The proportion of injuries arising from floods is; 31.7% for bruises or abrasions, 21.8% for broken bones or fractures, and 11.9% for sprains or strains. The proportion of injuries arising from landslides is; 44.4% for broken bones and fractures, 27.8% for bruises and abrasions, and 11.1% for internal organ injuries (Agrawal et al., 2013).

### 6.2 Water-borne diseases

Climate hazards such as flooding and surface runoff compromise water quality, accelerate the breeding of disease vectors such as flies, and enhance pathogen transmission. An increase in temperature also contributes to the proliferation of pathogens in food and water sources, further amplifying disease transmission (MWE, 2022, USAID, 2014, Adams, 2019, Godfrey et al., 2023). Thus, waterborne diseases, such as typhoid fever and cholera, remain on the increase, largely affecting children. The incidence of diarrheal diseases rose from 3.3 per 10,000 in 2020 to 3.7 per 10,000 in 2023, with the Kampala region reporting the highest incidence at 12.2 per 10,000, followed by Bugisu at 6.4 per 10,000 and Tooro at 5.7 per 10,000. The impacts of climate change on the incidence of diarrhoeal diseases are likely to aggravate the occurrence of cholera and typhoid outbreaks. Cholera outbreaks have been reported almost annually over the past two decades (Bwire et al., 2021, Bwire et al., 2013), while typhoid remains endemic, with over 56,000 cases reported per year (Ismail et al., 2020).

### 6.3 Non-communicable diseases

Climate change indirectly influences the prevalence and severity of non-communicable diseases (NCDs) in Uganda. Rising temperatures and changes in precipitation patterns can affect agricultural productivity and food security, leading to shifts in dietary patterns and nutritional deficiencies. Extreme weather events and natural disasters also disrupt healthcare systems, limiting access to essential medications and healthcare services for individuals living with chronic illnesses (Siiba et al., 2024). Failure to build health system resilience will increase the already high burden, where 36% of deaths were attributed to NCDs in 2019 (WHO, 2023). Additionally, the age-standardized mortality rate for major NCDs is as high as 709 per 100,000 in males and 506 per 100,000 in females in 2021 (WHO, 2023).

#### **6.4 Respiratory illnesses**

Climate change leads to changes in allergen concentrations, prolonged allergen seasons, declining air quality, increased presence of microbes and particulate matter, and air pollution, which increase the risk of respiratory illnesses (D'Amato et al., 2014, Tong et al., 2022, De Sario et al., 2013, Bernstein and Rice, 2013). Heightened heat and sunlight in congested areas can result in increased ozone exposure among the urban population. The impact of extensive exposure to smoke and pollution from wildfires is exacerbated by concurrent heat and drought conditions. Furthermore, intense precipitation events and flooding contribute to increased exposure to indoor humidity and mold. Molds add burden to individuals with asthma and allergies (Zuo et al., 2021). These increase bronchoconstriction and cough among individuals with asthma as they struggle to breathe in hot and humid air conditions (Khosravi et al., 2014).

#### **6.5 Malnutrition and food-borne diseases**

Utilization of fossil fuels, deforestation, encroachment on wetlands, and unsustainable agricultural practices diminish the accessibility of nourishing food and clean water thus contributing to dehydration, food insecurity, food-borne diseases, and malnutrition (Nuwagaba and Kisekka Namateefu, 2013, FAO, 2024). In Eastern and Northern Uganda, droughts have dried crops in the fields leading to diminished food production, thereby subjecting many to starvation and malnutrition (UNICEF, 2022). Uganda exhibits increased rates of undernutrition, with approximately 29% and 3.5% of children under the age of 5 experiencing stunted growth and body wasting respectively (Maniragaba et al., 2023). Toro region records the highest prevalence of stunting among children under five, while, the Arua region registers the highest levels of wasting, all partly attributed to climate change (Maniragaba et al., 2023). In a certain year, floods and hailstones led to crop losses and some farmers struggled to harvest even a single bag (100kgs) of maize (Forestry and environment department, 2011).

#### **6.6 Zoonoses**

According to the Uganda One Health Strategic Plan 2018 – 2022, climate change is exacerbating zoonotic disease outbreaks (MOH et al., 2018). Extreme weather events, including intense rainfall and flooding, in Uganda have led to an upsurge in epidemics caused by zoonotic diseases. For instance, in March 2016, Uganda experienced its first-ever outbreak of Rift Valley fever (RVF) in Kabale, following a period of heavy rainfall and extensive flooding. Additionally, the country has seen more serious outbreaks such as Ebola, Marburg, yellow fever, Crimean-Congo hemorrhagic fever (CCHF), plague, COVID-19, and avian influenza (MOH et al., 2018, Sekamatte et al., 2018, Buregyeya et al., 2020). These incidents indicate the interaction between climate change and the emergence of zoonotic diseases (MOH et al., 2018). Several zoonotic diseases are endemic in Uganda including Anthrax, Rabies, Brucellosis, and Trypanosomiasis (MOH et al., 2018, CDC, 2017).

## 6.7 Vector-borne diseases (Malaria, Schistosomiasis, lymphatic filariasis)

Extreme weather, heat waves, floods, and rising temperatures, mosquitoes, which are known vectors of a range of infectious diseases like dengue, malaria, chikungunya, yellow fever, RVFs, West Nile fever, Japanese encephalitis and Zika (Wong, 2023). Figure 2 shows dengue cases per region.

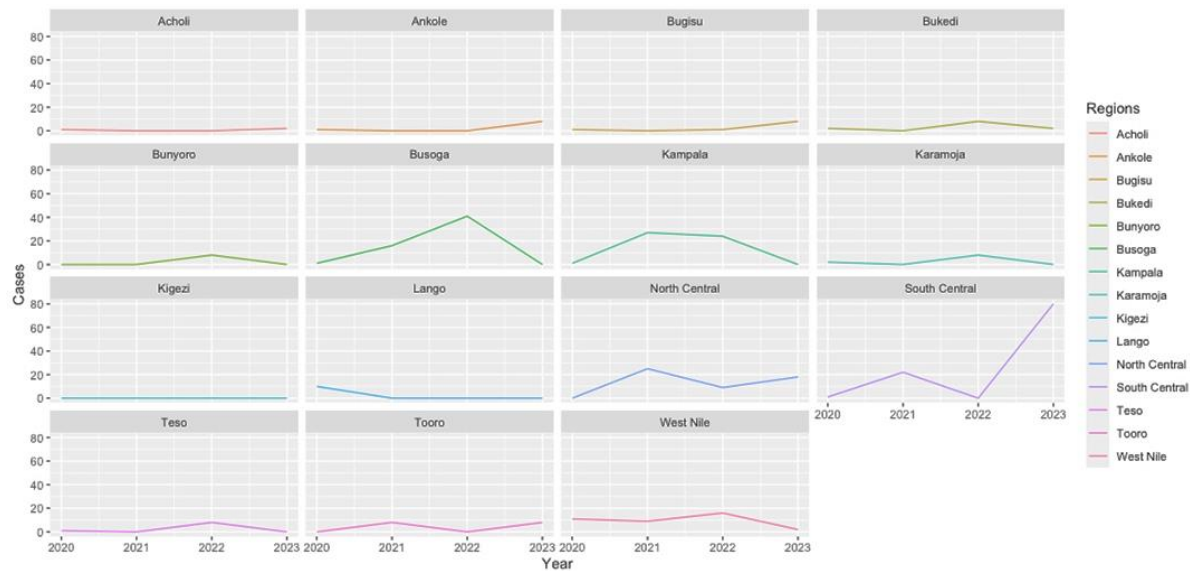


Figure 14: Total Dengue Cases per Region in Uganda

### 6.7.1 Malaria

Climate change threatens progress made towards malaria elimination (Ost et al., 2022). Uganda holds the unfortunate distinction of having the world's highest malaria incidence rate, with 478 cases per 1,000 population annually (MOH, 2023). The disease is endemic in 95% of the country, with even higher incidence (63%) in the mid-northern region. An estimated 60 million fever cases are treated annually across healthcare facilities (Okia et al., 2016). A study examining the consequences of variations in climatic factors such as temperature and rainfall on the malaria incidence among the Ugandan population revealed that (Muwanika et al., 2019). An increase in maximum temperature (hotter days) over three consecutive months led to an 8.1% decrease in monthly malaria cases in the long term. Conversely, a three-month rise in minimum temperature (warmer nights) was associated with a 16.7% increase in monthly malaria incidence over time. Rainfall also played a role: a sustained increase in rainfall over three months resulted in a 14% reduction in long-term monthly malaria cases (Muwanika et al., 2019). Figure 3 shows total malaria incidence per region.

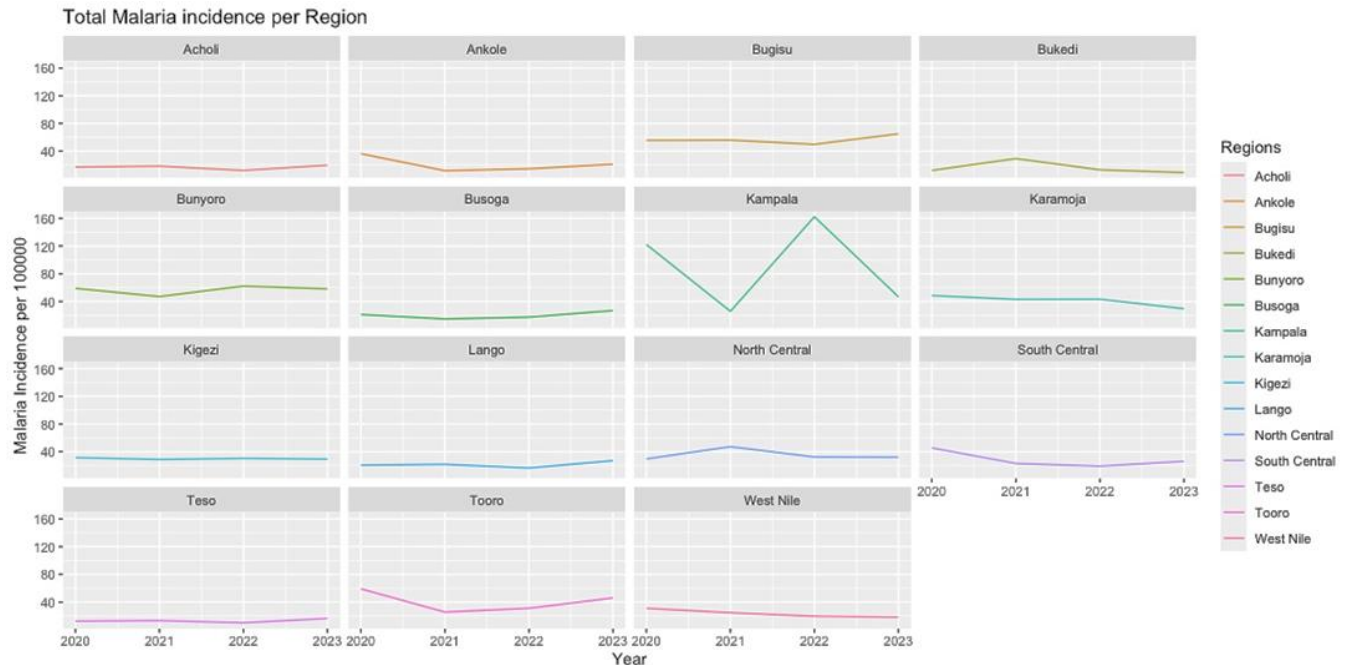


Figure 15: Total Malaria incidence per Region in Uganda

### 6.7.2 Schistosomiasis

The effects of climate change, particularly change in temperature, rainfall, flooding, and drought, have significant impacts on the transmission dynamics of schistosomiasis, primarily through their effects on the intermediate snail hosts and the *Schistosoma* parasites themselves Uganda (Adekiya et al., 2020, Tabo et al., 2024). These climatic factors influence the lifecycle, distribution, and population density of the snail hosts, thereby affecting the transmission rates of schistosomiasis (Adekiya et al., 2020, De Leo et al., 2020). Flooding events can significantly impact schistosomiasis transmission by expanding snail habitats and dispersing snails and parasites into new areas. Also, increased rainfall can lead to expanded snail habitats and higher snail populations, thereby increasing the risk of schistosomiasis transmission (Adekiya et al., 2020, De Leo et al., 2020). Schistosomiasis threatens millions in Uganda, with its prevalence ranging from 11–91% (Okia et al., 2016). An estimated 5.7 million people living near lakes, rivers, and irrigated areas across 63 districts are at risk of infection (Okia et al., 2016).

### 6.7.3 Lymphatic filariasis (elephantiasis, hydrocele)

In Uganda, an estimated 14.5 million people are at risk of infection in 54 districts (east, north, Bundibugyo), and are susceptible to a mosquito-borne disease called lymphatic filariasis (elephantiasis and hydrocele). The risk is highest in the eastern, northern, and Bundibugyo districts, where infection rates can reach over 40% in northeastern Uganda, compared to just 0.5% in western Uganda (Okia et al., 2016).

## 6.8 Mental and psychosocial health

Environmental experts in Uganda suggest a link between the country's changing climate and a rise in mental health issues among its citizens (New Vision, 2023). Climate change exacerbates various social and environmental factors that undermine mental health and psychosocial well-being. This escalation can manifest as emotional distress, the emergence of new mental health disorders, and the deterioration of existing conditions (IFCR, 2023). The spectrum of mental health impacts attributable to climate change spans from mild stress and discomfort to severe clinical disorders, including anxiety, sleep issues, depression, post-traumatic stress disorder (PTSD), and suicidal ideation (IFCR, 2023). Moreover, climate change affects individuals and communities by altering daily lives, perceptions, and experiences, compelling them to adapt, comprehend, and effectively address its consequences. Exposure to news about climate change further contributes to feelings of uncertainty, stress, and depression, leading to a pervasive sense of helplessness (IFCR, 2023).

## 7 Policies, guidelines, and directives that have been disseminated to support response to climate change concerns or to increase the resilience of communities and the health workforce

Several policies, guidelines, and directives have been developed especially by the Ministry of Water and Environment to tackle climate change-related hazards, irrespective of the sectors, in Uganda. These include the 2019 National Environment Management Act (NEMA).

**The National Environment Management Act 2019:** The NEMA Act calls for the prioritization of public and private projects or approaches that increase both the environment and people's resilience to the impacts of climate change. The Act also calls upon the lead agencies to promptly notify other relevant agencies and departments in case of a disaster to obtain any necessary support. The NEMA Act aimed among others at 1) reforming the law relating to environmental management, 2) providing for the management of the environment for sustainable development, and 3) providing for emerging environmental issues including climate change and associated disasters. The NEMA Act also provides for the strategic environmental assessment; addresses environmental concerns arising out of petroleum activities and midstream operations, provides for the management of plastics and plastic products; provides for the establishment of the Environmental Protection Force; provides for enhanced penalties for offenses under the Act; and procedural and administrative matters.

**Government directives:** Several directives aimed at mitigating the impacts of climate change. These directives for example prohibit cultivation in swampy areas, charcoal burning and transportation, and fines for unauthorised tree felling. In some districts, it was the responsibility of the agricultural officers and the National Forest Authority (NFA) to enforce these guidelines. According to these directives, approval for tree felling was upon agreement that for every tree cut down, ten new ones had to be planted. However, directives such as planting ten new trees for every tree cut down were challenging since these were individually owned. Nonetheless, the respondents acknowledged a shift in peoples' mindsets due to ongoing awareness campaigns on climate change. Adoption of the different directives aimed at mitigating the impacts of climate change was difficult in all settings, including those delivering healthcare. Despite electricity being available, it remained expensive just as the accessibility to alternative energy sources such as coal. Furthermore, the adoption of biogas by the healthcare workforce was hindered by significant cattle losses thus making it impractical.



## 8 Conclusion and recommendations

The VAA revealed that a significant proportion of the healthcare facilities exhibited high vulnerability to climate change-related hazards because they were unprepared in the different components of the healthcare facility. For instance, there was high vulnerability in the energy component because HCFs lacked secure locations for protection of emergency energy sources from hazards, inadequate coverage of all critical service areas and inconsistent checks of those alternative sources. The study also found a lack of participation of the health workforce in climate adaptation plans, inadequate preparedness of the workforce for outdoor work during extreme conditions, and gaps in their capacity to identify and manage health conditions worsened by climate impacts. Regarding WASH and Healthcare Waste, there were insufficient strategies for monitoring and reducing water contamination, limited preparedness to prevent vector breeding in facility water systems, and a lack of robust water safety and contingency plans. The assessment also revealed limited post-hazard recovery plans, absence of safe locations for critical equipment during emergencies, lack of safety plans to protect vital supplies from hazards, and inconsistent mechanisms for secure evacuation of health workers and patients. These findings highlight a need for implementation of climate change adaptation plans and policies, building capacity of the health workers, and strengthening of WASH management systems and water safety plans. There's also a need for improvement of the reliability of energy infrastructure, development of contingency plans and enhancement of infrastructure resilience, evacuation plans, and post-disaster recovery.

The study also revealed substantial impacts of climate change on the various components of HCFs in Uganda, with droughts, floods, rise of water levels, and landslides significantly affecting health workforce, WASH, energy, and infrastructure. Significant impacts included fatalities, reduced work capacity, mental health effects, interruptions in supply chains and disruptions in service delivery, emphasizing the need for mental health support and emergency plans. Additionally, infrastructure destruction, damage to vital equipment, water contamination, and disruption of waste management systems were also reported. The reported power failures, loss of essential supplies, and damage to alternative energy sources highlighted the critical importance of energy resilience for healthcare facilities during extreme weather events. Thus, proactive measures and adaptive strategies are imperative to enhance the climate resilience of HCFs and safeguard public health in the face of a changing climate.

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