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Article

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Assessing the Vulnerability and Adaptation Needs of Mozambique's Health Sector to Climate Change: A Comprehensive Study

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Abstract: Climate change (CC) poses severe consequences, particularly in sub-Saharan Africa, where poverty rates may escalate by 2050 without significant climate and development action. The health 2 impacts are diverse, encompassing communicable and non-communicable diseases. Mozambique, a 3 climate-vulnerable nation, has experienced significant natural disasters in the past 42 years, impacting 4 its health system. This study aims to assess Mozambique's health sector vulnerability and adaptation needs to climate change. Following a methodology proposed by the World Health Organization and Intergovernmental Panel for Climate Change, a six-step vulnerability and adaptation assessment was conducted. The Health Vulnerability Index (HVI), integrating historical climate, epidemiological, and socio-economic data at the district level (n=162), was computed using exposure, sensitivity, and 9 adaptive capacity dimensions. The Results revealed spatial patterns in exposure to climate variables, 10 extreme weather events, and variations in sensitivity and adaptive capacity across the country. The 11 HVI mirrored the exposure findings. Notably, high vulnerability was observed in several districts, 12 while major urban centers displayed lower vulnerability. These findings highlight the country's 13 vulnerability to climate change and underscore the potential for adverse impacts on livelihoods, 14 the economy, and human health. The study provides a foundation for developing strategies and 15 adaptation actions. 16

Keywords: Climate change; vulnerability; adaptation; health vulnerability index, Mozambique

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1. Introduction

There is a growing body of evidence that Earth is warming at an unprecedented rate as 19 a result of anthropogenic activities, such as deforestation, urbanization, population growth, 20 industrialization and the release of greenhouse gases [1]. The Intergovernmental Panel on 21 Climate Change (IPCC) predicts an increase of 1.5–5.8 °C in global average temperature by 22 2100 as a result of greenhouse emissions [2]. Despite the fact that the wealthiest countries 23 are disproportionately responsible for more emissions than developing countries, the 24 consequences of climate change are more severe in the poorest countries, particularly 25 in sub-Saharan Africa. In fact, developing countries have the least adaptive capacity 26 justified by limited access to climate information, financial, human, natural resources, and 27 Preprints (www.preprints.org) | NOT PEER-REVIEWED | Posted: 2 June 2023

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armed conflicts [3]. As a consequence of climate change, it is estimated that more than 30 million people in sub-Saharan Africa will fall into poverty if no substantial climate and development action is taken by 2050 [4]. Furthermore, it is expected that the gross domestic product to decrease by 3%, a scenario that poses a significant challenge to climate adaptation and resilience efforts, as it will lead to an increase in the number of people affected [5].

The effects of CC on disease prevalence and human health are multifaceted. Studies 34 show that CC is behind the unprecedented increase in a myriad of non-communicable 35 and communicable diseases, such as vector and waterborne diseases, respiratory, and 36 cardiovascular diseases [6,7]. For instance, rainfall affects water-borne diseases, and a 37 large number of diarrhea pathogens are water-borne and will therefore be affected by CC 38 through water availability and temperature. Moreover, CC can potentially trigger heat 39 waves, floods, droughts, and storms, leading to high fatalities and injuries and altering 40 disease scenarios [8]. Additionally, these events have other negative health consequences, 41 such as depriving the health facilities of water, sanitation systems and electricity (thus 42 interrupting the cold chain of vaccines, reagents and some drugs), blocking access routes to 43 health facilities, destroying warehouses and stores of sanitary consumables, causing delays 44 and absences in health personnel, etc.

Mozambique is considered to be at a high risk of being influenced by climate change 46 due to its geographical position, along the coastline and downstream of main rivers in 47 southern Africa. As a matter of fact, among the most vulnerable countries to natural 48 disasters, the country ranks third [9]. In the last 42 years, the country has registered 15 droughts, 20 floods and 26 tropical cyclones [10]. In March 2019, the country was hit 50 by the deadliest tropical cyclone to ever hit Africa (cyclone Idai), ranked as the second-51 deadliest on record [11,12]. The tropical cyclone caused significant flooding in Madagascar, 52 Mozambique, Malawi, and Zimbabwe, killing more than 1500 people. In Mozambique, 53 the cyclone affected mainly the provinces of Inhambane, Manica, Sofala and Zambézia, 54 leading to 1.85 million people in need of humanitarian assistance and protection [13]. In The 55 province of Sofala, which was the most affected, the cyclone prompted a cholera outbreak, 56 with more than two thousand cholera cases [13]. Subsequently, in less than two months, 57 the country was again struck by another intense tropical cyclone, named Cyclone Kenneth. 58 Tropical cyclone Kenneth affected the northern provinces of the country, Cabo Delgado and 59 Nampula, displacing more than 18 thousand people and causing 45 deaths [14,15].

Amid increased vulnerability, it is worth stressing that the impact of CC can be miti-61 gated through preparedness, adaptation and strengthening of the health system. Therefore, 62 this calls for the creation of policies that will strengthen the health system and other social 63 sectors. Nevertheless, the creation of conducive policies is somehow dependent on the 64 vulnerability and adaptation assessment of the health sector. With these grounds, the 65 present study aims at assessing the vulnerability and adaptation needs of the health sector to climate change in Mozambique. To the best of our knowledge, this is the first study in 67 Mozambique, as for the first time an assessment of the vulnerability of the health sector to 68 climate change on a national scale is carried out in the country.

2. Materials and Methods

2.1. Study area and climate

Mozambique is situated on the southeastern coast of the African continent between 72 the latitudes 10°27' S and 26°57' S and longitudes 30°12' E and 40°51' E (see Figure 1), along 73 the inter-tropic convergence zone, is responsible for Southern Africa's rainfall patterns. 74 To the north, the country is bordered by Tanzania, and to the west are Malawi, Zambia, 75 Zimbabwe, South Africa and Eswatini. The country covers an area of 801, 590 km² of which 76 13,000 km² is water. The country is comprised of ten agroecological zones distributed 77 throughout the 11 provinces of the countries [16]. Furthermore, the country has about 2, 470 78 kilometers of coastline along the Mozambique Channel and the Indian Ocean, spanning 79 10 of its provinces. The geographic location of Mozambique along the coast in the Indian 80

Ocean makes the country vulnerable to tropical cyclones, floods and droughts [17,18].



Figure 1. Study area map. On the left we have the African continent marked with a red square in the study area. On the right, we have the map of Mozambique corresponding to the study area.

The climatic conditions in Mozambique vary from tropical to subtropical. The country 83 has two seasons, a cool and dry season — which starts from May to September—, and a hot-humid season, between October and April. Precipitation is more abundant in the 85 Center and Northern regions of the country, with values ranging from 800 to 1200 mm per 86 year. The southern region of the country is generally drier inland than on the coast, where 87 annual rainfall reaches around 800 mm and decreases to about 300 mm. The average air temperatures, in general, vary between 25 °C and 27 °C in the summer and 20 °C and 23 °C 89 in the winter [19]. Furthermore, following the climatic classification of Köppen Geiger, it is 90 possible to find four predominant climatic regimes: tropical rain savannah, dry savannah, 91 dry desert climate and humid temperate climate. The tropical rain savanna climate occurs 92 mainly in the northern and coastal regions of the country. In contrast, the dry savannah 93 climate occurs in the inland parts of the central and southern sedimentary terrains. The dry 94 desert climate is not so abundant, occurring in a small area around the Limpopo River in 95 the province of Gaza. The humid temperate climate can be found in the upland areas of 96 Gurué, Manica, Angónia and Lichinga [19,20].

2.2. Study design

The vulnerability and adaptation assessment (VAA) study was conducted following a methodology proposed by the World Health Organization [21], which is in line with the IPCC's fourth assessment report. As recommended by the IPCC and the vulnerability sourcebook, in this VAA, we considered an integrated approach, that is, this VA took into account the climate-sensitive health aspects, social, economic and environmental aspects of vulnerability [22]. In accordance with WHO [21], Fritzsche *et al.* [22] recommendations, 103 the VAA was carried out following six steps: 1) define the scope of the VAA — at this stage, we specified the objectives of the VAA, the geographic area (for this assessment, 106 we decided to work at district level for the whole country), review of relevant policies, 107 stakeholder engagement for disease/health priorities (this involved a form of workshops, 108 drawing experts from different organizations, including public health disease surveillance, 109 epidemiologist, climatologist, etc.); 2) Identification and selection of indicators — this 110 included defining the necessary data to be collected as well as mapping the existing data 111 sources; 3) Obtaining data —this phase consisted in gathering all relevant data for the 112 VAA (climatological, socio-demographic, health and economic data), data cleaning and 113 formatting; 4) vulnerability analysis— at this stage we defined and quantified the HVI, 114 which was first preceded by normalization of all the indicators; 5) results validation — the 115 results validation process was done by discussing the computed HVI with Ministry of 116 Health, different stakeholders and experts; and the sixth step involved the dissemination 117 of the results. Additionally, we compared the HVI computed using the approach defined 118 herein to that computed using principal component analysis. The VAA terminated with a 119 final report that included recommendations for health sector adaptation and climate change 120 monitoring and technical presentation to stakeholders. It is essential to mention that the 121 VAA report also included a case study on the situation of two emerging climate-sensitive diseases (dengue and chikungunya) in Mozambique and an assessment of the impact of 123 climate change on Malaria, watery diarrhea, and cholera. Nevertheless, for the current 124 paper, we focused on the analysis of the HVI. 125

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2.3.1. Climate data

To evaluate the contribution of climate variables in the HVI, historical monthly data 128 on temperature, rainfall and relative humidity from 1970 to 2016 were used. Historical 129 monthly data was used to compute the average annual variation. The data were obtained 130 from the National Institute of Meteorology (INAM), which provides data collected from 131 weather stations. Additionally, due to the poor geographic coverage of national weather 132 stations, the data from INAM was complemented by data obtained from satellites. Data on 133 temperature were extracted from publicly available National Oceanic and Atmospheric 134 Administration (NOAA) at a spatial resolution of 0.5, available at https://www.esrl.noaa. 135 gov/psd/. Monthly rainfall data was obtained from Multi-Source Weighted-Ensemble 136 Precipitation (MSWEP) version 2.1 (http://www.gloh2o.org/), which is based on ground interpolation data obtained from ERA5. Similarly, data on relative humidity, with a spatial 138 resolution of 0.25, was obtained from ERA5, available at European Centre for Medium-Range Weather Forecasts. To compute the annual changes, the monthly climate data were 140 averaged over a year. 141

Data on extreme event data, such as floods, cyclones, and droughts were extracted from 142 DesInventar, available at http://www.desinventar.net. DesInventar is a methodological 143 tool for generating National Disaster Inventories and constructing databases of damage, 144 losses and in general the causes and impacts of disasters. The tool provides data on losses 145 caused by disasters associated with natural hazards. Further details on the DesInventar 146 can be found elsewhere [23]. From this tool, we were able to extract data on disasters from 147 1979 to 2012. The current study considered data from 1980 to 2019. To fill the gap between 148 data from 2012 and 2019, data from administrative sources were also considered. 149

2.3.2. Epidemiological data

To compute HVI, we also collected epidemiological data on annual cases of malaria, diarrhea, tuberculosis, HIV, and chronic and acute malnutrition. The data comprised a period of 2017 and 2018. These data were obtained from the National Department of Public Health in the Ministry of Health.

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2.3.3. Socio-economic data

For the current study, we also considered socio-economic data, which were collected from various sources, National Institute of Statistics (INE), the Ministry of Health (MISAU) and the Technical Secretariat for Food Security and Nutrition (SETSAN). From INE we extracted demography data, literacy rate, per capita expenditure and the rate of accessibility to water, sanitation and hygiene (WASH). The MISAU provided data on the number of health workers and public health sector per capita expenditure, whereas the SETSAN provided data on food security. The list of all indicators used to conduct the vulnerability and adaptation assessment is provided in Table 1.

2.4. Data analysis

Prior to the computation of the HVI, all the indicators were normalized. Normalization was applied to allow comparability of the HVI across the districts and to remove the effect of scale on the computation of the HVI. The normalization was done using the following mathematical equation:

$$Y_i = \frac{X_i - X_{\max}}{X_{\max} - X_{\min}},\tag{1}$$

where X_i represents the value for a given indicator for the i^{th} district, and X_{min} and X_{max} represent the minimum and maximum values of the indicator, respectively. Note that the normalization through equation (1) ensures that the values are bounded between 0 and 1.

2.4.1. Vulnerability assessment model

Vulnerability is a cross-cutting multidisciplinary research theme. As a result, it can 173 be perceived differently depending on the field to which it is applied. For instance, Adger 174 et al. [24] define vulnerability to climate change as the propensity of human and ecological 175 systems to suffer harm and their ability to respond to stresses imposed by climate change 176 effects. Schröter *et al.* [25] refer to vulnerability to climate change as the likelihood that 177 a specific coupled human-environment system will suffer harm as a result of exposure 178 to stresses associated with societal and environmental changes, taking into account the 179 adaptation process. Rao et al. [26], who look into vulnerability in the context of agriculture, 180 define vulnerability as the propensity of an organism to experience climate shocks and 181 suffer loss in production and/or income from agriculture. In this paper, we rely on 182 the definition of the Intergovernmental Panel on Climate Change, which is defined as 183 "the extent to which a system is susceptible to or unable of dealing with the effects of climate change, including extreme weather events" [27]. Thus, following this definition, 185 vulnerability can be seen as a function of three dimensions, namely, exposure, sensitivity and adaptive capacity. Exposure refers to the extent of climate stress on a particular unit 187 of analysis, while sensitivity can be understood as the extent to which climate-related stimuli have an effect on a system, either favorably or unfavorably [28]. The sensitivity is 189 determined by both socio-economic and ecological factors and measures the extent to which 190 a particular group will be affected by environmental stress [29]. The adaptive capacity, as 191 defined by the IPCC, can be understood as the ability of a system to respond to the effects 192 of climate change. 193

To assess the vulnerability, we considered the framework recommended by the IPCC 194 and WHO, whereby the indicators collected for the assessment can be classified into three 195 dimensions, namely, exposure, sensitivity, and adaptive capacity, allowing each dimension 196 to contribute to the computation of the HVI [21]. The indicator method is well documented 197 in the literature [30–32]. This approach is highly recommended among scholars as it allows 198 one to compute the vulnerability index for the following reasons: the vulnerability index 199 can be computed at any scale — household, district, province and national level; allows 200 one to monitor the trend over time; the approach is multi-dimensional, which means it 201 can capture multiple dimensions of vulnerability; and the approach is appropriate for 202

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identifying most vulnerable places [33,34]. Following Shah *et al.* [35] and Luh *et al.* [34], the HVI, at the district level, was defined as follows:

$$HVI = (E - AC) \times S,$$
(2)

where *E* represents the exposure index, *S* the sensitivity index and *AC* the adaptive capacity index. The exposure index was defined using the weighted sum method, also known as additive or direct summation approach [36,37]. This approach builds as follows: 207

$$E_j = \sum_{i=1}^{N} w_i Y_{i,j},$$
 (3)

where $Y_{i,j}$ denotes the normalized indicator at district *j* and w_j is the weight assigned to Y_i , 208 such that $\sum_{i=1}^{N} w_i = 1$, and N represents the total number of indicators. For the exposure 209 component, N is taken to be four. For the purpose of this study, we consider equal weights 210 for all the normalized indicators, which corresponds to the arithmetic mean. Similarly, 211 the sensitivity index was computed as an average score from a set of 12 indicators (the 212 indicators used for deriving the sensitivity index are listed in Table 1). The derivation of the 213 adaptive capacity index considered a set of 16 indicators, which are also presented in Table 214 1. Likewise the exposure index and sensitivity index, the adaptive capacity was computed 215 as an average score, where all the indicators were assigned the same weight. After deriving 216 all three sub-indices (exposure index, sensitivity index, and adaptive capacity index), the 217 HVI was calculated for a total of 162 districts using the equation (1). The HVI, including 218 the sub-indices, were then classified into quintiles, creating five categories of classification. 219

It is important to mention that some indicators, such as per capita expenditure, lacked information at the district level. Thus, to derive the HVI for such districts, information at the provincial level was used by assigning the same information to the districts in the same province. In cases where the district lacked information for a particular indicator, a value corresponding to the arithmetic mean of the observed values for the other districts of the same province was imputed. All the analyses herein, we performed using the R software for statistical computing and graphics, version 4.0.3 [38]

Vulnerability determinant	Components	Indicators	Data source
Exposure	Changes on precipitation, temperature and relative	Average temperature variation (1970-2016) Average rainfall variation (1970-2016)	NOAA Multi-Source Weighted- Ensemble Precipitation
1	numenty	Average variation in the relative humidity (1970-2016)	ECMWF (European Center for Medium Weather Fore- cast) - Climate Data Store
	Extreme events	Historical data on the frequency of floods, droughts and cyclones (1979-2019)	DesInventar data [23] .
	Natural capital (Ecosystem /	Frequency of cholera outbreaks	
	Geography - Risks)	Frequency of food insecurity episodes	Ministry of health
Sensitivity	Natural capital (Demography and vulnerable population)	Population density Percent of children under five in the district Percent of children aged 5-15 years in the district Percent of women in the district percent of elderly people (over 60 years old) in the total population in the district	Census data [39]
	Vulnerable population due to health conditions	HIV positivity rate Rate of reported cases of tuberculosis Average number of cases of acute and chronic malnutrition reported between 2017 and 2018 per 100 000 inhabitants Average number of cases of malaria re- ported between 2017 and 2018 per 100 in- habitants Average number of reported cases of diar-	Ministry of Health (National Division of public health)
		rheal diseases between 2017 and 2018 per 100 000 inhabitants	

 Table 1. List of indicators used to compute the exposure, sensitivity, and adaptive capacity index.

Vulnerability determinant	Components	Indicators	Data source
	Financial resources	Per capita public sector health expenditure	Ministry of Health (divi- sion of administration and fi- nance)
	Health services	Ratio of the total number of inhabitants to the total number of health units in the dis- trictPercentage of population living within the coverage radius of a health facility	Census data[39] and SARA report [40]
	Human resources	Ratio of medical workers per 100,000 inhabitantsRatio of nursing workers per 100,000 inhabitantsRatio of workers in the midwifery area per 100,000 inhabitantsNumber of inhabitants per health elementary multipurpose agents (APE)	Ministry of Health (division of human resource) Ministry of Health (a divi- sion of public health) and
Adaptive capacity	Water and sanitation	percentage of population with access to safe water sources Percentage of population with access to safe latrines	Census data [39]
	Social capital (Social determinants of health)	Percentage of literate population, menPercentage of population with primary education, menPercentage of population with secondaryeducation, menPercentage of literate population, womenPercentage of population with primary education, womenPercentage of population with secondaryeducation, womenPercentage of population with secondary	Census data [39]
		Per capita expenditure	INATIONAL household budget

Table 1.Continuation List of indicators used to compute the exposure, sensitivity, and adaptive capacity index

3. Results

3.1. Exposure

Exposure scores were calculated considering information on climate variation (temper-229 ature, rainfall, and relative humidity) and extreme events. Figure 2 shows the geographic distribution of average temperature variation, rainfall variation, and relative humidity 231 variation. The map on average temperature variation highlights two differentiated regions, 232 one with negative variation (suggesting a decrease in the temperature) and another with a 233 positive variation (indicating an increase in the temperature). From the map, it is possible 234 to observe that the heating is more evident in the southern part of the country (Maputo and 235 Gaza province) and in the coastal provinces of the country (Inhambane, Sofala, Zambézia 236 and Cabo Delgado). Additionally, we observe that more inland districts present a reduction 237 in the temperature, as is the case of all the districts of Tete province and the majority of 238 districts of Niassa and Manica province. It is worth noting that districts in the coastal area 239 of Inhambane, Sofala and Zambézia also experience a reduction in the temperature. 240

With regard to the average rainfall variation, as illustrated in Figure 2 (b), it can be observed that the vast majority of the districts, from 1979 to 2016, experienced an increase in the amount of rainfall. Furthermore, it is observed that the increase in the amount of rainfall is substantial in some districts located on the coast of Inhambane and Gaza province (Inhambane, Maxixe, Jangamo, Inharrime, Mandlakazi e Bilene) and some inland districts of Zambézia province (Mulombo, Gurué and Alto-Molocue). Other districts with a sharp

increase in the rainfall amount are the districts of Chifunde in the northern part of Tete province, and Macanhelas and Marrupa in Niassa. Amongst all the 162 districts, only 11 districts are located in Zambézia, in the centre of Manica province, and in Sofala province. 249

Concerning the relative humidity (Figure 2 (c)), the country registered a decrease in the relative humidity (RH), which varied between -0.42 % and -4.59 %. From Figure 2 (c), it can be noted that the reduction in the RH is not substantial across the country. Nevertheless, it is apparent that it tends to decrease sharply as we move away from the coast. This trend is more evident in the northern and central parts of the country, mainly in the provinces of Nampula, Niassa and Zambézia. It is also observed that in Tete province the changes in RH are negligible.



Figure 2. Spatial distribution on climate variable variation. Figure (a) shows the spatial distribution of temperature variation. (b) shows the spatial distribution of rainfall variation and (c) shows the spatial distribution of relative humidity variation for a period between 1979 and 2016.

Figure 3 shows the incidence of extreme events—cvclones, droughts, and floods—in 257 Mozambique by districts in the last 40 years. From this figure, it can be observed that tropical cyclones (Figure 3 (a)) are not evenly distributed across the country, affecting most 259 districts along the coast. The map also suggests that Mozambique has high exposure to tropical cyclones. Additionally, it is possible to observe that tropical cyclones are more frequent 261 Nampula province (in the districts of Moma, Larde and Angoche), Inhambane province 262 (Massinga), Sofala province, Zambézia province and Maputo province. Figure 3 (b) shows 263 the spatial distribution of the occurrence of droughts in Mozambique. From the map, it can 264 be noted that in the last 40 years, 72 districts have been affected by droughts. Furthermore, 265 it is apparent that most often, the droughts affect provinces from the southern region of the 266 country, with particular emphasis on districts from Inhambane and Gaza provinces. The 267 phenomenon also affects, with less frequency, some districts from Maputo, Manica, Sofala, 268 Tete, Nampula (districts located along the coast) and Cabo Delgado province. 269

With regard to floods, the map in Figure 3 (c) shows that, although the frequency of 270 occurrence of floods is not evenly distributed across the country, almost all the districts 271 were once affected by floods in the last 40 years. From the map, one can observe that floods 272 are more frequent in the main river basins in the centre (Zambezi, Púngue and Buzi basins) 273 and in the south of the country (Save, Limpopo, Incomáti, Umbelúzi and Maputo basins), 274 affecting districts across Zambézia, Tete, Sofala, Manica, Gaza and Maputo provinces. In 275 the country's northern region, despite the low frequency in general, it can be observed that 276 the Messalo River basin in Cabo Delgado province is also affected with some frequency by 277 floods. 278



Figure 3. Spatial distribution of extreme events. Maps (a), (b) and (c) show the incidence of cyclones, droughts and floods for each district across the country, respectively. Extreme events are reported between 1979 and 2019.

3.2. Exposure, sensitivity and adaptive capacity index

Figure 4 shows the exposure, sensitivity and adaptive capacity index calculated for 280 162 districts. The exposure and sensitivity maps are displayed in five intervals obtained 281 using the quintiles, where the yellow and the dark red correspond to the lowest and highest 282 exposure (sensitivity), respectively. In contrast, for the adaptive capacity map, the yellow 283 and the dark red represent otherwise (as the lowest adaptive capacity represents a critical situation). From Figure 4 (a), it is noted that exposure to climatic hazards is considerably 285 higher in the southern region of Mozambique, especially in districts located on the coastline of Inhambane province. The map also shows that exposure is higher in some districts 287 of Sofala, Zambézia and Nampula province, alongside the Zambeze watershed. Cabo Delgado and Niassa province, in the northern region of Mozambique, appear to have lower 289 exposure to climate change. 290

With regard to sensitivity to climate change, Figure 4 (b) exhibits a spatial pattern, 291 where low sensitivity to climatic hazard is vastly observed in the northern region of Mozam-292 bique, whereas moderate sensitivity is observed in the central and southern region, mainly 293 in Gaza, Inhambane and Sofala province. Adaptive capacity, which reflects the district's 294 ability to cope with climatic hazards, is presented in Figure 4 (c). Overall, the adaptive 295 capacity (AC) across the country is very. Despite the apparent homogeneity of AC, it is 296 evident that the southern region of Mozambique has a greater AC index when compared 297 to the central and northern regions of Mozambique. Moreover, the map shows that the AC 298 is higher in urban areas, which coincides with the capital provinces than in rural areas. The 299 AC index was also visualized on a map excluding the extreme values corresponding to the 300 main capital cities (Figure 4 (d)). It can be noted that after excluding the urban districts a 301 clear pattern emerges, where the southern region appears to have high AC and the northern 302 region low AC. Furthermore, it is observed that Maputo province, which is located in the 303 southern part of Mozambique, has all of its districts with high AC. 304

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Figure 4. Spatial distribution of exposure (a), sensitivity (b), and adaptive capacity index (c) at the district level across the country. Map (d) shows the spatial distribution of adaptive capacity excluding extreme values, which coincide with the main capital cities.

3.3. Adaptive capacity determinants

For a better understanding of the adaptive capacity index and to investigate which of the determinants contributes the most in the resilience of a particular district, we analyzed the sub-indices of each of the determinants for the adaptive capacity components, namely, access to health services, human resources for the medical sector, wash and sanitation and financial resources for the medical sector. Likewise, the exposure, sensitivity, and adaptive capacity index, the sub-indices for each of the adaptive capacity components' determinants, was computed following the approach described in section 2.4.1.

3.3.1. Access to health services

Figure A1 maps the index measuring access. In this figure, we present the mapped values considering all the districts (Figure A1 (a)) and excluding districts with extreme values (Figure A1 (b)). It can be observed that when we considered all the districts, only urban districts in Maputo, Matola, Chimoio, Tete and Nampula appear to have high access to health services. We also observe that the vast majority of the country is characterized by districts with very low access to health services. Looking at A1 (b), the scenario that

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excludes districts with extreme values, we observe that, contrary to the previous scenario, 321 the health access index shows some degree of heterogeneity. Furthermore, it can be 322 observed some clustering of districts with very low access to health, some of them located 323 in the southern region of the country (in the provinces of Gaza and Inhambane) and others 324 in the northern region of the country (in the province of Niassa). Moreover, in the southern 325 region, it is possible to see that almost all districts of Gaza province have a very low access 326 to health services. The map (Figure A1) also reveals that most districts from Nampula 327 province have either moderate or high access to health services. 328

3.3.2. Human resources for health services

We further assessed the distribution of the human resources in the health sector. For 330 this purpose, we considered a human resource index, which considers all the human 331 resource indicators listed in Table 1. The human resource index is mapped in Figure 332 A1. Likewise other indices, we present two scenarios, one showing the human resource 333 index (HRI) for all districts (Figure A1 (c)) and another showing the HRI discarding 334 districts with outliers (Figure A1 (d)). From Figure A1 (c), one can see that the HRI is 335 homogeneous across the country, with most of the districts exhibiting a very low HRI, 336 except Inhamabane, Pemba, Lichinga, and Chicualacuala districts, which lie into the moderate category. Nevertheless, when we discard districts with extreme values, we note 338 that the HRI appears to be heterogeneous across the country. Furthermore, it can be noted that districts with high HRI are concentrated in the southern region of the country, whereas 340 districts with low HRI are focused on the central and northern regions of the country.

3.3.3. Water and sanitation

Figure A1 show the country's water and sanitation index (WSI). Similarly, as with 343 other components, we analyze the water and sanitation component by considering all the districts, as shown in Figure A1 (e), and discarding districts with extreme values for WSI 345 (Figure A1 (f)). One can see that when all the districts are considered, the vast majority of 346 the districts exhibit either low or very low WSI. However, we note that, even discarding 347 districts with the highest WSI, the distribution of WSI across the country continues to be critical, where we note that a total of 125 districts, which corresponds to 92% of the 349 country's territory and 71.5% of the total population, has a low WSI. Additionally, it can be 350 noted that almost the entire region in the north has poor access to safe water and sanitation. 351 For instance, we note that in Zambézia province, every district has a very low WSI, except 352 Quelimane. Contrary to what is observed in the country's northern region, a substantial 353 part of the southern region, mainly in Maputo province, appears to have a high WSI. 354

3.4. Global health vulnerability index

Figure 5 shows districts' overall health vulnerability index maps. The maps show 356 that, in general, the HVI is high all over the country, indicating that the health sector is 357 highly vulnerable to climate change. Furthermore, an apparent inequality between urban 358 and rural districts can be observed, whereby urban districts appear to have a lower HVI. 350 The map (Figure 5 (a)) also suggests that districts from the northern region in Niassa 360 and Cabo Delgado province are less vulnerable than the majority of the districts in the 361 southern region of Mozambique. The HIV was also visualized discarding urban districts 362 that coincide with provincial capitals, allowing one to have a clear picture of districts that 363 are, in fact, vulnerable to climate change. The map that shows the HVI discarding urban districts with extreme values is shown in Figure 5 (b). From this map, it can be noted that 365 only eight districts have a very high HVI and, contrary to the scenario in Figure 5 (a) where the majority of the country was characterized by districts with high vulnerability 367 index—, majority of the districts fall into moderate HVI. Moreover, we observe that Cabo Delgado province, despite having a vast coastal area and a lower adaptive capacity, has 369 lower HVI than many districts in Mozambique's southern and central regions. 370

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Figure 5. Maps of health vulnerability index by districts. Map (a) presents the global health vulnerability index (GHVI) without discarding extreme values, and Map (b) shows the GHVI discarding extreme values.

3.5. Vulnerability index to droughts, floods and cyclones

In the current study, we also computed the HVI for each extreme event, drought, flood, 372 and cyclone. This index allows one to identify more vulnerable districts to a particular, 373 extreme event. The HVI to particular, extreme events is presented in Figure 6. This figure 374 shows that the southern region of Mozambique, emphasising the provinces of Inhambane 375 and Gaza, is highly vulnerable to droughts. The map in Figure 6 (a) also shows that Cabo 376 Delgado province in the northern region of Mozambique is more vulnerable to droughts 377 among provinces located in this region, particularly districts of Mueda and Mossuril. The geographic distribution of the HVI to floods is presented in Figure 6 (b). From this 379 figure, we can observe that the geographic distribution of incidence of floods in Figure 380 3 (c), the geographic distribution of districts highly vulnerable to floods, follows the 381 main watersheds in the country. Furthermore, we observe that among districts with a 382 high vulnerability index, four are located in Zambézia province, three in Tete and Sofala 383 province, and two in Gaza province. Overall, the Sofala province appears to be much more 384 vulnerable to floods than other provinces. With regard to vulnerability to cyclones, Figure 385 6 (c) suggests that districts that are much more vulnerable to cyclones are those located 386 in the coastal area of the country. Additionally, we observe that in Nampula and Sofala 387 province, not only districts situated on the coast appear to be vulnerable to cyclones but 388 also inland districts. 389



Figure 6. Spatial distribution of health vulnerability index (HVI) to specific hazards. Maps (a), (b) and (c) show the HVI to droughts, floods, and cyclones, respectively. The HVI to specific hazards was computed for each of the 161 districts.

4. Discussion

The present study, which is the first of its kind in the country, sought to derive a health 391 vulnerability index for assessing the vulnerability and adaptation needs of the health sector 392 to climate change in Mozambique at the district level. The health vulnerability index was operationalized following the IPCC definition of vulnerability, generating measures for 394 exposure, sensitivity and adaptive capacity at the district level. This allowed us to assess 395 how the vulnerability and its dimensions vary within the country. Our results pointed out 396 that exposure to climate change is substantially high in the southern region of Mozambique. 307 Additionally, the results showed that coastal districts located in other regions of the country 398 are also highly exposed to climate hazards, emphasizing Nhamatanda, Buzi, Beira and 399 Marromeu districts in Sofala province. The elevated exposure index among districts located 400 in the coastal area can be justified because this region of the country is exposed to several 401 coastal hazards, including tropical cyclones, storm surges, and sea level rise [42]. In fact, 402 Mozambique has a vast coastline of about 2,500 km in an area highly prone to tropical 403 depressions and typhoons. In the last 40 years, Mozambique has been hit by about 21 cyclones, most of them affecting coastal districts. These results are consistent with the study 405 by Cabral et al. [43] on Mozambique's exposure to coastal climate hazards and erosion. The 406 authors found that districts on the central coast had more than 10% of their coastal areas 407 with higher exposure to climate hazards.

The results also revealed that the southern region of Mozambique is highly exposed 409 to climatic hazards. In fact, it is worth noting that this part of the country locates in one 410 of the largest river basins of the Southern African development community (SADC). This 411 Limpopo River basin has been subject to several floods of great magnitude. In the last 70 412 years, in 1955, 1967, 1972, 1975, 1977, 1981, 2000, and 2013, severe floods have affected 413 the Limpopo river basin, caused mainly by heavy localized rainfall, tropical cyclones and 414 inadequate management of upstream dams and wetlands [44,45]. The analysis also showed 415 that contrary to other regions that only experience one time of climatic hazard, the southern 416 region experiences all the climatic hazards, which could be behind the elevated exposure 417 index in this part of the country. The results also indicated that the districts along the 418 Zambezi River basins (the second largest river basin in the African continent) in Zambézia 419 and Nampula province are also susceptible to extreme weather events, mainly to floods. 420

With regard to the sensitivity of the country's population to climate change, the results suggested that despite the country being highly exposed to extreme weather events, the country is vastly characterized by districts with either low or moderate sensitivity. The reasons behind the generalized low sensitivity index nationwide are not evident.

Nevertheless, a more in-depth analysis (not shown herein) suggested that although the country is prone to extreme weather events, the country experiences few cholera outbreaks. 426 Districts located in Mozambique's central and northern regions, such as Beira, Caia, Tete, 427 Nampula Melucu, and Mecufi, which have frequent cholera outbreaks, are the ones that 428 stood out with high sensitivity. In fact, Mozambique's central and northern regions are more prone to floods than the southern region, which often cause people to move and 430 settle into overcrowded places with poor sanitation conditions, increasing the risk of 431 cholera outbreaks. For instance, in 2019, an intense tropical cyclone hit the central region of 432 Mozambique, leaving the region entirely devastated, causing a cholera outbreak with more 433 than six thousand cases [46,47]. Additionally, it is worth emphasizing that districts with 434 high sensitivity also had a high risk of food insecurity between 2016 and 2019. With the 435 northern region being prone to floods as a result of climate change, it is expected that there 436 is a destruction of food crops, storehouses and livestock, and as a result, a decline in food 437 availability and an increase in the risk of food insecurity, which in turn would increase nutritional problems among children under five [48,49]. According to the Mozambique 439 Technical Secretariat for Food Security and Nutrition (SETSAN), chronic food insecurity is most prominent in the central and northern regions of the country [50]. 441

The results further revealed that Mozambique generally has a low adaptive capacity, being more pronounced in the central and northern regions of the country. Poverty, limited 443 investments in advanced technology, and the fragility of infrastructure and social services, 444 particularly health and sanitation, may explain the country's poor adaptive capacity to 445 climate change [51]. As a matter of fact, it is expected that regions or districts with welldeveloped institutions coupled with higher levels of capital and stores of human knowledge 447 are considered to have higher adaptive capacity [52]. For instance, data on the fourth 118 national evaluation on poverty and wellness in Mozambique indicate that the northern 449 region has the highest poverty rates, with the province of Niassa standing out with rates 450 around 60%. Hence, the expected low adaptive capacity among districts from this province. 451 Additionally, a critical examination of the results revealed that the central and northern 452 regions have poor sanitation conditions, which is more evident in rural districts. Add to 453 that, the prevalence of water-borne diseases among children under five is more pronounced 454 in the central and northern regions of Mozambique, varying between 4.1% and 14.5% [53]. 455

Among the determinants contributing to high adaptive capacity, we also found that 456 access to health services is still deficient in Mozambique, mainly in Niassa (northern region) 457 and the provinces of Gaza and Inhambane (southern region). Nevertheless, it is worth 458 emphasizing that the identified provinces have the least population density with sparse households, which at some point hinders the provision of health services and access to 460 them. These findings align with a study by dos Anjos Luis and Cabral [54]. The authors analyzed the geographic accessibility to primary healthcare centres in Mozambique. They 462 found that the provinces of Nampula (northern region), Zambézia and Tete (central region), and Inhambane (southern region) have the highest number of villages outside 60 min of 464 a health facility. The results also indicated that access to healthcare is urban-biased. The 465 inequalities in the distribution of health facilities can be justified by the fact that many 466 infrastructures in the rural area were destroyed during the civil war, which makes many 467 of the rural districts much more vulnerable to the impact of climate change [55]. The lack 468 of access to healthcare not only puts the districts into an increased vulnerability but also 460 affects the abilities of districts to cope with the effects of climate change, such as the rise of 470 cases of cholera, diarrhea, malaria and food insecurity, after the country has experienced 471 an extreme weather event.

Although the study clarifies the spatial trend of the HVI in Mozambique, there are some limitations. Due to a lack of data on some indicators for some of the districts, proxy indicators were used to assess the exposure, sensitivity and adaptive capacity. Therefore, one should consider the limitations associated with using proxies: under-representation or over-representation. Another major limitation was the lack of weather stations for all the country districts, which made it impossible to obtain in-situ data. Additionally, for

several reasons, the historical series of climatic data collected by the operational weather stations present numerous gaps, making it even more difficult to obtain complete and 480 consistent databases to conduct an analysis of this nature. To overcome this situation, the 181 study used reanalysis data. Nevertheless, climate data from reanalysis can be over- or 482 under-estimated in specific periods and zones of the country [56]. For some indicators, it 483 was not possible to obtain recent data. For instance, for health determinants and water 484 and sanitation data, we had to rely on 2007 census data, despite the 2017 census having 485 been carried out, as by the time of VAA the 2017 census data was not publicly available. 486 Therefore, it is possible that the adaptive capacity index is somehow underestimated, as 487 indicators for these components might have improved over the last 12 years. 488

5. Conclusion

Overall, the results suggested that the country is highly vulnerable to climate change, 490 except for the main capital cities, which can be explained by the fact that the country is 491 situated in the zone of inter-tropical convergence and downstream of shared watersheds, 492 and frequently endure severe weather-related natural disasters [57]. The country's high 493 exposure to tropical cyclones, floods and droughts is particularly significant. It is worth 101 emphasizing that most people in Mozambique fully depend on subsistence farming. Thus, climate variations have to potential to influence the livelihood and the economy of such 496 areas, which in most cases lead to the destruction of crops, livestock and wildlife, loss of 497 human lives, damage to social and economic infrastructure, harm to human health and the 498 environment, etc. For instance, more recently, the cyclones Idai and Kenneth have caused tens of thousands of families, leaving many needing basic supplies such as water and food. 500 The storms also destroyed about 780,000 hectares of agricultural crops, which triggered a 501 nutritional crisis that affected about one million people, including 160,000 children under 502 five [58]. The natural disasters not only destroyed crops but also schools, hospitals and 503 other infrastructures were destroyed, leaving the country more fragile. Knowing that it 504 is not possible to modify the country's exposure to climate change or its sensitivity in the 505 short term, it is important to develop policies, strategies, plans and activities that reinforce 506 the ability of the system, communities and individuals to better adapt to climate changes 507 and deal with the changes they bring. 508

The results provided in this study within the vulnerability analysis framework give 509 a baseline for developing strategies and adaptation actions. Nevertheless, it is essential 510 to consider the multi-sectoral nature of the country's vulnerability. As we have seen in 511 previous chapters, the HVI on which this analysis is based includes indicators relating to 512 water and sanitation, nutritional status and food security, education, development, etc., 513 which are outside the direct control of the health sector. While each sector must develop 514 its own analyses, strategies and plans, a coordinated multisectoral approach is essential 515 to be able to mitigate the effects of climate changes, implement effective measures to improve adaptive capacity and optimize the limited existing resources. Furthermore, the 517 current study will allow competent authorities to design targeted district-specific policies to mitigate the effect of climate change in the communities. The results showed that coastal 519 districts are highly vulnerable to tropical cyclones. Therefore, the health authorities must ensure the construction of resilient infrastructure to minimize the impacts of climate change. 521 Another point that is worth being emphasized is women's empowerment. Worldwide, 522 women dominate poverty; in urban areas, about 40% of poor households are headed by 523 women. Additionally, women represent the largest share that is dependent on natural 524 resources (which are often affected by climate change) for livelihood [59]. Therefore, it 525 is essential to build strategies and policies that promote women's empowerment in the 526 communities, assuring gender equality in many sectors of the country, mainly among 527 adolescents and youth. 528

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Figure A1. Herein, we present the maps of the adaptive capacity determinants. Map A and B show the distribution of the health access index with and without outliers, respectively. Map C and D show the distribution of the human resource index with and without outliers, respectively. In Map E and F, we display the water and sanitation index with outliers and discarding outliers, respectively.

Appendix A

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